

# **ICC MEETING**

Kentucky Early Intervention Services (KEIS) Interagency Coordination Council (ICC)  
Virtual Zoom Meeting

**January 12, 2023**

**ICC Members:** Liz Schumacher (Norton/UofL), Scott Tomchek (UofL), Beth Jordan (DBHDID), Anne Raispis (Provider), Holly Lafavers (Parent Rep), Catherine Lowe (Parent Rep), Dr. Judy Theriot (DMS)

**SLA:** Paula Goff, Christina Miller, Kelly Tharpe, Julie Sandifer, Savannah Propst, Melissa Hardison, Tammie Isenberg, Casey Turner, Kathy Long, Shawn Bailey, Monique Hollis, Donna Deal, Colleen Meszaros, Keri Gregory, Karen McCracken, Meagan Preston

**MASTER COACHING STAFF:** Julie Leezer, Denise Insley, Michele Magness, Serena Wheeler, Minda Kohner-Coogle, Lisa Simpson

**POE:** Amy Meadows, Angie Casey, Mitzi Helton, Melissa Phillips, Hope Rice, Gina Begluitti Otterson, Jessica Wood, Clorissa McConnell, Johnna Canter, Rebecca Alley, Barbara Sweeney, Theresa Wicklund, Christy Jones, Lisa Conner

**Guests:** Steve Brown, Cindy Angel, Leigh Ann Roden, Ellen Strand, Kathy Mullen, Ann Miller, Renea Sageser, Jennifer Cartwright, Jaime Grove, Sarah (last name not given)

*SLA=State Lead Agency POE=Point of Entry UofL=University of Louisville KDE=Kentucky Department of Education DBHDID=Department for Behavioral Health, Developmental and Intellectual Disabilities DMS= Department for Medicaid Services KEDS=Kentucky Early Childhood Data System*

## **I. Call to Order**

- Liz Schumacher, chair, welcomed everyone and called the meeting to order.
- There was not quorum at this point in the meeting so review of minutes and roll call was postponed.
- Discussion that members may have to do an internet poll as there are a couple of items requiring a vote.

## **II. Federal Update (Paula Goff)**

- December 1 Child Count – Pre-pandemic child count was growing about 5% each year. Post-pandemic count is still lower than 2019 number, but growing. Need to continue working on referral system.
- OSEP Monitoring – DMS – KY will be monitored this year. Current stage is Document Review. OSEP (Office of Special Education Programs) has sent list of required documents that will be uploaded to their system. Paula and Casey (Turner, SLA) have

regularly attended calls that include other states that have already gone through some of the monitoring. Those states have advised that there be Power Point presentations, etc. that adequately explain KEIS and how it works. OSEP is monitoring Part B and Part C at the same time. The infrastructure and lead agencies involved in Part B are similar between the states. The lead agencies involved with Part C however, vary greatly from state to state. Paula has also already stressed to OSEP, that KEIS is a STATE ran organization and the documentation is provided to the POEs by the state, so OSEP will not see many differences in the materials submitted by the different regions, and if there is a concern on a form from one POE, it will also be found on the forms from the other POEs. Stakeholder interviews by OSEP will begin in March 2023. May 2023 will bring the onsite visits. Per the states that have gone through this previously, KEIS will be aware of areas of concern based on the questions asked by the onsite evaluators. Findings will be final stage.

Q. If ICC members are contacted by OSEP for interview, is this mandatory?

A. OSEP does not force anyone to participate. Paula encouraged participation.

Q. Do they do interviews in a group or individually?

A. Depends on who is being interviewed. They do the parent interviews as a group, others could be individual.

Q. How is the information that is needed different than that collected for APR (Annual Performance Report)?

A. OSEP wants everything; all written guidance documents for data/supervision, all forms, all brochures, all manuals, user guides. All will be submitted, and more detail added.

- FFY 2021 SPP/APR (State Performance Plan/Annual Performance Review)  
Based on Federal Fiscal Year (FFY) 2021 July 1, 2021 – June 30, 2022.  
ICC responsibilities explained. Please see the attached FFY21 APR AT A GLANCE.  
Discussion and explanations of findings were given for the following indicators:
  - Indicator 1: Percent of infants and toddlers with IFSPs who receive the IFSP services within 30 days of IFSP meeting
  - Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive services in the home or community–based settings
  - Indicator 3: Child Outcomes KEDS Participation Rate
  - Indicator 4: Family Outcomes
  - Indicator 5: Percent infants and toddlers birth to 1 with IFSPs
  - Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs
  - Indicator 7: % eligible infants and toddlers with an initial evaluation and assessment and an initial IFSP meeting were conducted within 45-day timeline
  - Indicator 8

- A: % of toddlers exiting with transition steps and services in the IFSP at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.
- 8B: % of toddlers exiting has notified the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for potentially eligible Part B preschool services
- 8C: % of toddlers exiting with timely transition planning for whom the lead agency has conducted the transition conference at least 90 days and not more than nine months, prior to the toddler's third birthday.
- Indicator 9: Resolution Meetings
- Indicator 10: Mediation
- Indicator 11: State Systemic Improvement Plan (SSIP)

Tools used, how targets are established, what qualifies as a “substantial” increase, when did KIPDA restrict to AEPS only, family survey issues, rate re-structuring, etc. were all discussed.

### **III. SLA Staff and KEIS Operations**

- New Staff (Donna Deal) – Meagan Preston hired for Billing and Keri Gregory hired for Provider Enrollment, Family Share, billing when possible. Also, in process of hiring temporary staff and a budget specialist.
- Compliance Monitoring (Casey Turner) – No new formal complaints this quarter. The one formal complaint from last quarter has been resolved with professional development plan. Four billing audits resulted in findings of non-compliance.
- Provider Training (Tammie Isenberg) – SLA is developing a new mandatory online training: Improving Practice in KEIS: Effective Use of Provider Performance Standards and the Self-Assessment Tool. Confidentiality and Record-Keeping Training required by federal regulations and contract. Non-compliance resulted in suspensions and contract terminations.

### **IV. Record Review (Scott Tomchek)**

- Questions and discussion in meeting chat relating to providers. Will be added as a new business item for next meeting.
- Slight increase in record reviews.

### **V. Public Comment (Liz Schumacher)**

- Email read aloud. Concerns with IFSP as a legal document and how some service coordinators are setting inappropriate goals. Lots of discussion concerning this issue and other issues raised with providers, service coordinators, and SLA.
- Email to Paula concerning coaching was discussed. One of the points emphasized by Paula is providers need to remember that Early Intervention is different than clinical practice.

## **VI. New Business**

- Did not have quorum, so approvals and/or votes will be done via internet poll or at the next meeting.

## **VII Final Business**

- Next meeting will be April 13, 2023.
- Liz Schumacher adjourned the meeting.