

1. DATE ISSUED MM/DD/YYYY 11/02/2018	2. CFDA NO. 93.073	3. ASSISTANCE TYPE Cooperative Agreement	DEPARTMENT OF HEALTH AND HUMAN SERVICES Centers for Disease Control and Prevention CDC Office of Financial Resources 1600 Clifton Road Atlanta, GA 30329																																															
1a. SUPERSEDES AWARD NOTICE dated 04/26/2018 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded			NOTICE OF AWARD AUTHORIZATION (Legislation/Regulations) 42 USC 241 31 USC 6305 42 CFR 52																																															
4. GRANT NO. 6 NU50DD004938-03-02 Formerly	5. ACTION TYPE Post Award Amendment																																																	
6. PROJECT PERIOD MM/DD/YYYY From 02/01/2016	Through MM/DD/YYYY 01/31/2020																																																	
7. BUDGET PERIOD MM/DD/YYYY From 02/01/2018	Through MM/DD/YYYY 01/31/2019																																																	
8. TITLE OF PROJECT (OR PROGRAM) Kentucky Population-Based Surveillance of Birth Defects and Data Utilization for Public Health Action																																																		
9a. GRANTEE NAME AND ADDRESS Health & Family Services, Kentucky Cabinet for 275 E Main St # 5wa Kentucky Cabinet for Health and Family Services Frankfort, KY 40601-2321			9b. GRANTEE PROJECT DIRECTOR Monica Clouse 275 E Main St Maternal and Child Health Frankfort, KY 40621-0001 Phone: 502/564/4830 x 4394																																															
10a. GRANTEE AUTHORIZING OFFICIAL Ms. Martie Kupchinsky 275 E Main St Frankfort, KY 40601-2321 Phone: 5025643756x3768			10b. FEDERAL PROJECT OFFICER William Paradies Centers for Disease Control and Prevention CCHP/NCBDDDD/DBDDDD/DBD Atlanta, GA 30333 Phone: 404-498-3919																																															
ALL AMOUNTS ARE SHOWN IN USD																																																		
11. APPROVED BUDGET (Excludes Direct Assistance) I Financial Assistance from the Federal Awarding Agency Only II Total project costs including grant funds and all other financial participation			12. AWARD COMPUTATION a. Amount of Federal Financial Assistance (from item 11m) 243,390.00 b. Less Unobligated Balance From Prior Budget Periods 33,390.00 c. Less Cumulative Prior Award(s) This Budget Period 210,000.00 d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 0.00 13. Total Federal Funds Awarded to Date for Project Period 630,000.00																																															
<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;">a. Salaries and Wages</td> <td style="width:40%; text-align: right;">60,212.00</td> </tr> <tr> <td>b. Fringe Benefits</td> <td style="text-align: right;">58,562.00</td> </tr> <tr> <td>c. Total Personnel Costs</td> <td style="text-align: right;">118,774.00</td> </tr> <tr> <td>d. Equipment</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>e. Supplies</td> <td style="text-align: right;">2,324.00</td> </tr> <tr> <td>f. Travel</td> <td style="text-align: right;">4,468.00</td> </tr> <tr> <td>g. Construction</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>h. Other</td> <td style="text-align: right;">33,390.00</td> </tr> <tr> <td>i. Contractual</td> <td style="text-align: right;">63,360.00</td> </tr> <tr> <td>j. TOTAL DIRECT COSTS →</td> <td style="text-align: right;">222,316.00</td> </tr> <tr> <td>k. INDIRECT COSTS</td> <td style="text-align: right;">21,074.00</td> </tr> <tr> <td>l. TOTAL APPROVED BUDGET</td> <td style="text-align: right;">243,390.00</td> </tr> <tr> <td>m. Federal Share</td> <td style="text-align: right;">243,390.00</td> </tr> <tr> <td>n. Non-Federal Share</td> <td style="text-align: right;">0.00</td> </tr> </table>			a. Salaries and Wages	60,212.00	b. Fringe Benefits	58,562.00	c. Total Personnel Costs	118,774.00	d. Equipment	0.00	e. Supplies	2,324.00	f. Travel	4,468.00	g. Construction	0.00	h. Other	33,390.00	i. Contractual	63,360.00	j. TOTAL DIRECT COSTS →	222,316.00	k. INDIRECT COSTS	21,074.00	l. TOTAL APPROVED BUDGET	243,390.00	m. Federal Share	243,390.00	n. Non-Federal Share	0.00	14. RECOMMENDED FUTURE SUPPORT <i>(Subject to the availability of funds and satisfactory progress of the project):</i> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width:15%;">YEAR</th> <th style="width:35%;">TOTAL DIRECT COSTS</th> <th style="width:15%;">YEAR</th> <th style="width:35%;">TOTAL DIRECT COSTS</th> </tr> <tr> <td>a. 4</td> <td></td> <td>d. 7</td> <td></td> </tr> <tr> <td>b. 5</td> <td></td> <td>e. 8</td> <td></td> </tr> <tr> <td>c. 6</td> <td></td> <td>f. 9</td> <td></td> </tr> </table>				YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS	a. 4		d. 7		b. 5		e. 8		c. 6		f. 9	
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15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES: a. DEDUCTION b. ADDITIONAL COSTS c. MATCHING d. OTHER RESEARCH (Add / Deduct Option) e. OTHER (See REMARKS)			<div style="border: 1px solid black; width: 40px; height: 40px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">e</div>																																															
16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING: a. The grant program legislation b. The grant program regulations. c. This award notice including terms and conditions, if any, noted below under REMARKS. d. Federal administrative requirements, cost principles and audit requirements applicable to this grant. In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.																																																		
REMARKS (Other Terms and Conditions Attached - <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No)																																																		

GRANTS MANAGEMENT OFFICE Patricia French, Grants Management Officer

17. OBJ CLASS	41.51	18a. VENDOR CODE	1610600439B5	18b. EIN	610600439	19. DUNS	927049767	20. CONG. DIST.	06
FY-ACCOUNT NO.		DOCUMENT NO.		CFDA		ADMINISTRATIVE CODE		AMT ACTION FIN ASST	
21. a.	8-9211897	b.	16DD004938	c.	93.073	d.	DD	e.	\$0.00
22. a.	8-939ZRAM	b.	16DD004938	c.	93.073	d.	DD	e.	\$0.00
23. a.		b.		c.		d.		e.	

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3	DATE ISSUED 11/02/2018
GRANT NO. 6 NU50DD004938-03-02	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 11/02/2018
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Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
02/01/2016	01/31/2017	Annual	05/01/2017
02/01/2017	01/31/2018	Annual	05/01/2018
02/01/2018	01/31/2019	Annual	05/01/2019

AWARD ATTACHMENTS

Health & Family Services, Kentucky Cabinet for

6 NU50DD004938-03-02

1. Terms and Conditions

ADDITIONAL TERMS AND CONDITIONS OF AWARD

Carryover: The purpose of this amended Notice of Award is to approve carryover of unobligated funds in the amount of \$33,390 from budget period **02** to budget period **03**. This is in response to a request submitted by your organization dated May 24, 2018. These funds have been distributed as indicated in the approved budget of this Notice of Award.

Unobligated funds in the amount of \$33,390 have been applied to this award based on the Federal Financial Report dated April 13, 2018. Please note that if the actual amount of available unobligated funds is less than the amount used in this action, the total approved budget may be reduced by the difference in a subsequent award action, thus reducing the amount of the current award.

These funds are approved for the current year budget period only with no commitment for continued support in future budget periods.

Please be advised that grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, necessary and reasonable.

All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer

PLEASE REFERENCE AWARD NUMBER ON ALL CORRESPONDENCE

Administrative Contacts:

Grants Management Officer, Patricia French
Centers for Disease Control
Office of Grants Services
2960 Brandywine Road
Atlanta, Georgia 30341
Telephone: 770-488-2849
Email: PFrench@cdc.gov

Grants Management Specialist: Keisha Thompson
Center for Disease Control and Prevention
CDC / ATSDR
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Telephone: 770-488-2681
Email: dwt6@cdc.gov