

1. DATE ISSUED MM/DD/YYYY 04/12/2017  
2. CFDA NO. 93.116  
3. ASSISTANCE TYPE Cooperative Agreement

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

CDC Office of Financial Resources

2920 Brandywine Road  
Atlanta, GA 30341

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)  
SEC 301(A), SEC 317 PHS ACT AS AMENDED (42 U.S.C. 247B-6)

1a. SUPERSEDES AWARD NOTICE dated 12/15/2016  
except that any additions or restrictions previously imposed remain  
in effect unless specifically rescinded

4. GRANT NO. 6 NU52PS004680-03-01  
Formerly 5U52PS004680-02  
5. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY  
From 01/01/2015 Through 12/31/2019

7. BUDGET PERIOD MM/DD/YYYY  
From 01/01/2017 Through 12/31/2017

8. TITLE OF PROJECT (OR PROGRAM)

Kentucky Tuberculosis Elimination and Laboratory Program Cooperative Agreement 2015 to 2019

9a. GRANTEE NAME AND ADDRESS

Kentucky Cabinet for Health & Family Services  
275 E Main St # hslgwa  
Frankfort, KY 40621-1000

9b. GRANTEE PROJECT DIRECTOR

Dr Robert Lee Brawley  
275 E. MAIN STREET  
HS2E-B  
KENTUCKY DEPT FOR PUBLIC HEALTH  
FRANKFORT, KY 40621

10a. GRANTEE AUTHORIZING OFFICIAL

Dr Robert Lee Brawley  
275 E. MAIN STREET  
HS2E-B  
KENTUCKY DEPT FOR PUBLIC HEALTH  
FRANKFORT, KY 40621  
Phone: 502-564-4478

10b. FEDERAL PROJECT OFFICER

Paul Regan  
1600 Clifton Rd  
Atlanta, GA 30333  
Phone: 404-639-8120

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

I Financial Assistance from the Federal Awarding Agency Only

II Total project costs including grant funds and all other financial participation

I

a. Salaries and Wages .....	118,621.00
b. Fringe Benefits .....	92,982.00
c. Total Personnel Costs .....	211,603.00
d. Equipment .....	0.00
e. Supplies .....	4,078.00
f. Travel .....	34,630.00
g. Construction .....	0.00
h. Other .....	19,758.00
i. Contractual .....	73,207.00
j. TOTAL DIRECT COSTS	343,276.00
k. INDIRECT COSTS	41,517.00
l. TOTAL APPROVED BUDGET	384,793.00
m. Federal Share	384,793.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION

a. Amount of Federal Financial Assistance (from item 11m)	384,793.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	147,998.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	236,795.00
13. Total Federal Funds Awarded to Date for Project Period	1,528,283.00

14. RECOMMENDED FUTURE SUPPORT

(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 4		d. 7	
b. 5		e. 8	
c. 6		f. 9	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

- a. DEDUCTION  
b. ADDITIONAL COSTS  
c. MATCHING  
d. OTHER RESEARCH (Add / Deduct Option)  
e. OTHER (See REMARKS)

b

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation  
b. The grant program regulations.  
c. This award notice including terms and conditions, if any, noted below under REMARKS.  
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached -

☒ Yes

☐ No

2ND ROUND FUNDING AT 40%

GRANTS MANAGEMENT OFFICIAL: Constance J Jarvis

17. OBJ CLASS 41.51		18a. VENDOR CODE 1610600439B5		18b. EIN 610600439		19. DUNS 927049767		20. CONG. DIST. 06			
FY-ACCOUNT NO.		DOCUMENT NO.		CFDA		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION	
21. a. 7-9211183		b. 004680PS15		c. 93.116		d. PS		e. \$37,717.00		f. 75-17-0950	
22. a. 7-9213485		b. 004680PS15		c. 93.116		d. PS		e. \$171,795.00		f. 75-17-0950	
23. a. 7-9214095		b. 004680PS15		c. 93.116		d. PS		e. \$27,283.00		f. 75-17-0950	

## NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3	DATE ISSUED 04/12/2017
GRANT NO. 6 NU52PS004680-03-01	

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 04/12/2017
GRANT NO. 6 NU52PS004680-03-01	

---

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
01/01/2015	12/31/2015	Annual	03/30/2016
01/01/2016	12/31/2016	Annual	03/31/2017
01/01/2017	12/31/2017	Annual	03/31/2018

## AWARD ATTACHMENTS

Kentucky Cabinet for Health & Family Services

6 NU52PS004680-03-01

1. PS4680-03

**Funding Opportunity Announcement (FOA): PS15-1501**

**Award Number: U52PS004680(Amendment)**

**Grantee Name: Kentucky**

**Applicable Cost Principles: 45 Code of Federal Regulations (CFR) Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards**

<b>AWARD INFORMATION</b>
--------------------------

**PURPOSE:** This revised Notice of Award is to award partial funding in the amount of **\$ 236,795**. Previously, **\$ 147,998** had been awarded, making the current total available award amount **\$ 384,793** of the approved **\$ 591,988** for the Year **03** budget period which is **01/01/2017** through **12/31/2017**.

**Available Funding:** The CDC is operating under a continuing resolution; as a result, the total available funding for the Fiscal Year (FY) 01/01/2017-12/31/2017 budget period is contingent upon the enactment of applicable appropriation bill(s). Funding in the amount of **\$ 236,795** in Financial Assistance (FA) is awarded on this NoA. The remainder of the budget period Approved Funding amount is subject to the availability of funds.

The awarding of the remaining **\$ 207,195** during the budget period is subject to funds availability.

Additionally, this amendment is to make an administrative correction on the Notice of Cooperative Agreement Project Period ending date to 01//1/2015 – 12/31/2019.

All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.

Please be advised that grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, necessary and reasonable.

**PLEASE REFERENCE AWARD NUMBER ON ALL CORRESPONDENCE**