



CABINET FOR HEALTH
AND FAMILY SERVICES

1915i RISE Initiative

New Medicaid State Plan Home and Community Based Program for Individuals with Serious Mental Illness

January 2026

Agenda

Introduction to the Kentucky 1915(i) RISE Initiative

Requirements and Assessment

Overview of Services

Participant Journey

Resources

Evidence of the Need for the 1915(i) RISE Initiative

Legislative Directive to Implement a New Medicaid Program:

- Senate Joint Resolution 72 directed the Cabinet for Health and Human Services (The Cabinet) to create a Medicaid program to address current needs for individuals living with serious mental illness (SMI) in Kentucky.
 - The resolution stated the need for supported housing, supported employment, and medical respite.

Additional Indication of Behavioral Health Needs:

- The Cabinet interviewed multiple behavioral health advocates and stakeholders to identify additional needs for individuals living with SMI and substance use disorder (SUD).
- The Cabinet decided to create a program to support individuals with a primary diagnosis of SMI or individuals with co-occurring SMI and SUD.

What is a 1915(i) State Plan Amendment?

States can develop a 1915(i) State Plan Amendment (SPA) program to do the following:

- Establish a new Medicaid eligibility group to receive home and community-based services (HCBS).
- Define supports and services included in the benefit.
- Tailor a program and its services to one or more populations using needs-based eligibility criteria.
- Offer the benefit statewide to eligible individuals. Services offered within Medicaid state plans are available statewide with no capped slots.
- Offer HCBS to people who do not yet meet the institutional level of care requirements (e.g., qualify for a nursing home).

1915(i) RISE Initiative Principles

1915(i) RISE reflects the initiative's focus: helping individuals RISE above their challenges through services that promote and support recovery, independence, and community engagement.

Recovery • Independence • Support • Engagement



Enhance
Community-Based
Supports



Foster Participant
Independence



Prevent
Institutionalization



Promote Person-
Centered Care
Approach



Eligibility and Assessment Tool

1915(i) RISE Initiative Eligibility Criteria – Medicaid Enrolled



Age and Diagnosis

18+ with a primary diagnosis of SMI or SMI with co-occurring SUD with specific duration and functional need criteria.



Assessed Level of Need

Determined by the interRAI Community Mental Health (CMH) functional assessment tool.



Housing-Related Services

To be eligible for housing-related services, a participant must demonstrate one of the following homelessness risk factors:

- Homeless.
- At risk of homelessness (per 24 CFR § 578.3).
- History of frequent (i.e., more than one per year) stays in nursing home/inpatient settings.
- Experienced homelessness in the past 24 months or formerly homeless; now residing in U.S. Department of Housing and Urban Development assisted housing.

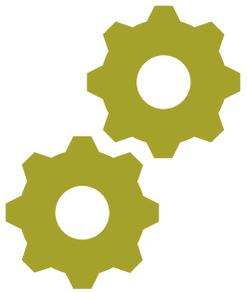
Selected Assessment Tool

interRAI CMH:

- Community-based settings.
- Ages 18 and up.
- Informs PCSP.

Assessment Cadence:

- Upon initial eligibility.
- At least annually.
- Significant needs change.
- Change in care setting.
- Long-term change in unpaid caregiver capacity.
- Limited progress toward goals and objectives.



Overview of Services

1915(i) RISE Initiative Services



1. **Assistive Technology**



2. **Case Management**



3. **Housing and Tenancy Supports**



4. **In-Home Independent Living Supports**



5. **Medication Management**



6. **Planned Respite for Caregivers**



7. **Supervised Residential Care**



8. **Supported Education**



9. **Supported Employment**



10. **Transportation**

How Case Management Connects to This Initiative



Person-Centered Service Planning

- The goal of person-centered service planning is to empower participants to build the life they choose or aspire to at any age across their lifespan.
- The PCSP must reflect both the participant's needs identified through assessments and their preferences for service delivery.
- The setting in which the participant resides is chosen by them, supporting full access to the community, employment opportunities, and control over personal resources.
- Reflects the participant's strengths, preferences, goals, and desired outcomes.
- The participant leads the planning process where possible with support from chosen individuals.



Housing-Related Services



1. Tenancy Supports

- Pre-tenancy and tenancy sustaining supports.
- Housing model based upon evidence-based practice of permanent supportive housing model.
- Service goal is to assist participants in identifying, obtaining, and sustaining housing in independent community settings.



2. In-Home Independent Living Supports

- Independent living supports.
- Housing model based upon evidence-based practice of permanent supportive housing model.
- Service goal is to provide participants with assistance and training related to activities of daily living and instrumental activities of daily living.



3. Supervised Residential Care

- 24/7 staffed residential care.
- Housing model based on evidence-based practice of permanent supportive housing model.
- Service goal is to provide participants with residential supports while empowering community transitions, as appropriate.

Supported Education and Supported Employment

The 1915(i) RISE Initiative will offer supported education and supported employment, both evidence-based practices, to promote engagement and sustain participation in a community setting.



Supported Education

Individualized Placement & Support (IPS) Model: Promotes engagement and sustained participation and restores a participant's ability to function in the learning environment.



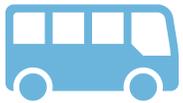
Supported Employment

IPS Model: Offers supports and services to obtain and maintain employment in a competitive environment.

Additional Services



Assistive Technology: Provides funding and support to obtain equipment, software, and/or products that increase independence and support education, employment, recreation, and activities of daily living.



Non-Medical Transportation Services: Provides access to covered, **non-medical transportation** to community services, leisure activities, and resources.



Medication Management: Provides support and monitoring for program participants, as well as **education** and **training** to facilitate a participant's adherence to their prescribed medication regimen.



Planned Respite for Caregivers: Provides opportunities for primary caregivers to receive **short-term relief** from the duties associated with providing unpaid care for participants that require ongoing supervision.

Kentucky

1915(i) RISE Initiative – Participant Journey

A Step-by-Step Guide for New Participants

Contact Us

 502-564-9189

 1915iRISEInitiative@ky.gov

 <https://dbhdid.ky.gov/1915iriseinitiative>

1 Learn About the Opportunity

- Discover and understand the 1915(i) RISE Initiative through a peer, provider, or other outreach.
- Learn about the covered services offered through the 1915(i) RISE Initiative.

2 Connect with 1915(i) RISE

- You or a family member may reach out to a provider or trusted support network member for help getting connected.
- You or your support network member reach out to the 1915(i) RISE Initiative via:
 - The 1915(i) RISE Initiative website: dbhhdid.ky.gov/1915iriseinitiative.
 - The 1915(i) RISE Initiative inbox: 1915iRISEInitiative@ky.gov.

3 Complete the Screening Process

- You receive help from an intake specialist in completing an initial eligibility screening, including the following:
 - Medicaid enrollment or eligibility.
 - Diagnosed primary SMI or primary SMI with co-occurring SUD.
 - Housing and safety needs.

4 Eligibility Assessment

- You meet with a trained assessor to complete a personalized assessment to understand your situation better and determine eligibility.
- You may be asked to provide relevant documentation for the assessment.
- Assessment discussion includes the following:
 - Mental health and substance use history.
 - What you are able to do in your daily life, such as walking, cooking, working, and taking care of yourself.
 - Housing and hospitalization history.
 - Living environment and educational and/or employment status.

5 Approval

- Assessment results are reviewed by trained staff.
- You receive an approval and enrollment notice from 1915(i) RISE Initiative staff.
- Your intake specialist assists you in choosing a 1915(i) RISE Initiative case manager and scheduling your first meeting.

6 Case Management Onboarding and Person-Centered Service Planning with 1915(i) RISE Case Manager

- You meet with your case manager and your chosen person-centered planning team.
- Get to know each other and begin planning services together:
 - Review of assessment results, identified strengths, and service needs.
 - Discuss your preferences and goals.

7 Service Matching with 1915(i) RISE Case Manager

- Begin building a customized plan that incorporates 1915(i) RISE and other Medicaid services that are important to your unique needs and preferences.
- Select services and providers.
- Develop a specific plan for each chosen service and align progression goals with your personal goals.

8 Services Begin

- You receive a comprehensive wellness plan, a walkthrough on service expectations, and any additional tools or technologies needed to assist you in achieving your goals.
- Your 1915(i)RISE case manager coordinates the referral process with your selected service providers once your plan is developed and approved.
- **Services Begin!** Providers from the chosen provider agency contact you to begin services.

9 Continued Support and Adjustment

- Receive monthly support with your 1915(i) RISE case manager.
- Meet regularly (weekly-monthly) with other service providers.
- Provide feedback to 1915(i) RISE to help improve services for others.
- Complete re-assessment every year to continue eligibility and make any needed service plan changes.
- You are always able to change your plan and join in on new opportunities.

Resources/Contact Information

General inquiries email:
1915iriseinitiative@ky.gov

WEBSITE:
<https://dbhdid.ky.gov/1915iriseinitiative>

Phone: 502-564-9189

Mailing Address: 275 East Main St.,
Frankfort, KY 40621