

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

Diagnostic, screening, preventive, and rehabilitative services are covered when provided by qualified providers, licensed in accordance with applicable state laws and regulations. Reimbursement for services under this authority will not be made when delivered in a long-term care environment as such services are reimbursable as a routine cost to the institution.

13a. Diagnostic Services

Diagnostic Services are described under other sections of this State Plan.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13b. Screening Services

Screening Services are described under other sections of this State Plan.

State: Kentucky

13. Other diagnostic, screening, preventive and rehabilitative services, ie. other than those provided elsewhere in this plan.

13c. Preventive Services

- A. Eligible preventive services include all of the preventive services assigned a grade of A or B by the United States Preventive Services Task Force (USPSTF), and all approved adult vaccines and their administration, recommended by the Advisory Committee on Immunization Practices (ACIP). Such services are provided in accordance with Section 4106 of the Affordable Care Act. The state has documentation available to support the claim of the enhanced FMAP for preventive services beginning January 1, 2014. The state assures that it has a method to ensure that, as changes are made to USPSTF or ACIP recommendations, the state will update their coverage and billing codes to comply with those revisions.

In conjunction with the above and in compliance with Section 2713 of the Public Health Service Act, eligible preventive services also include preventive care and screening for infants, children and adults recommended by HRSA's Bright Futures program project, and additional preventive services for women recommended by the Institute of Medicine.

No cost sharing shall be applied to preventive services.

B. **Community Health Worker Services:**

Community Health Worker services is a preventive health service to prevent disease, disability, and other health conditions or their progression. Community health worker services must be ordered by a physician, physician assistant, nurse practitioner, certified nurse midwife, or dentist and delivered according to a care plan.

Services must be related to a medical intervention outlined in the individual's care plan and may include the following:

- a. Health system navigation and resource coordination includes helping a recipient find Medicaid providers to receive a covered service, helping a recipient make an appointment for a Medicaid covered service, arranging transportation to a medical appointment, attending an appointment with the recipient for a covered medical service, and helping a recipient find other relevant community resources such as support groups.
- b. Health promotion and coaching includes providing information or training to recipients that make positive contributions to their health status such as cessation of tobacco use, reduction in the misuse of alcohol or drugs, improvement in nutrition, improvement of physical fitness, family planning, control of stress, pregnancy and infant care including prevention of fetal alcohol syndrome.
- c. Health education and training to train and/or promote to recipients' methods and measures that have been proven effective in avoiding illness and/or lessening its effects including, but not limited to, immunizations, control of high blood pressure, control of sexually transmittable disease, prevention and control of diabetes, control of toxic agents, occupational safety and health, and accident prevention. The content of the education must be consistent with established or recognized healthcare standards.

Qualifications of CHWs:

In order to be eligible to apply for community health worker certification, an individual shall:

- (a) Be a legal United States resident;
- (b) 1. Be a resident of Kentucky; or
2. Be employed as a community health worker in the state of Kentucky;
- (c) Be at least eighteen (18) years of age; and
1. Complete a competency-based community health worker training and mentorship program offered by an organization approved by the Kentucky Department for Public Health to provide community health worker training and mentorship; or
2. Meet requirements established by the Department for Public Health for certification based on relevant and verifiable past community health worker related work experience.

Community health worker certifications shall be renewed annually and shall expire on October 31 of the year following the date of certification or recertification.

In order to be eligible for recertification, a certified community health worker shall annually complete continuing education, as required by the Department for Public Health related to the core competencies of community health work provided by an organization approved by the department to provide continuing education for certified community health workers.

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TN # 14-001
Supersedes
TN # 13-018

Approval Date: 04-25-14

Effective Date: 01/01/2014

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TN # 14-001
Supersedes
TN # 13-018

Approval Date: 04-25-14

Effective Date: 01/01/2014

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

Rehabilitative substance use and mental health services are medical or remedial services that have been recommended by a physician or other licensed practitioner of the healing arts within the scope of his practice, under Kentucky State Law and consistent with federal regulations at 42 CFR 440.130(d).

Each medical professional is given the choice of whether or not to participate in the Kentucky Medicaid Program. From those professionals who have chosen to participate, the recipient may choose the one from whom he wishes to receive his medical care.

When the Department makes payment for a covered service and the provider accepts the payment made by the Department in accordance with the Department's fee structure, the amounts paid shall be considered payment in full except any applicable co-payment; and no bill for the same service shall be sent to the recipient for any amount above the Medicaid allowed charges (with the exception of any applicable co-payments). The provider may bill the recipient for services not covered by Kentucky Medicaid; however, the provider must make the recipient aware of the non-covered services prior to rendering those services.

Providers of medical service attest by their signatures that the presented claims are valid and in good faith. Fraudulent claims are punishable by fine and/or imprisonment.

Diagnoses shall be recorded in the health record within three (3) visits, in order to receive Medicaid payment. The exception is for crisis services, screening, and assessment.

A billable unit of service is the actual time spent face-to-face delivering an actual service. Time spent in traveling to and from an off-site visit shall not be billed.

1. Limitations on Amount, Duration or Scope of Services

Unless a diagnosis is made and documented in the medical record within three (3) visits, the service will not be covered. The exception is for crisis services, screening, and assessment. An appropriate mental health or substance use disorder diagnosis is required for coverage, with the exception for crisis services, screening, and assessment.

Some rehabilitative services are furnished with limitations on amount, duration, or scope of service. The limitations of these services are indicated in the service description. If there is no limitation noted within the description of the service, there are no limits on the amount, duration or scope of the service. All services must meet medical necessity. All services, including those without specific limitations, with the exception of crisis services, screening, and assessment must be provided in accordance with a documented diagnosis and plan of treatment.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

1. Limitations on Amount, Duration or Scope of Services (continued)

The following services will NOT be covered by Medicaid under this State Plan Amendment:

- (a) Services provided to residents of:
 - (1) nursing facilities;
 - (2) hospital;
 - (3) Intermediate Care Facility – Individual with Intellection Disability (ICF-IID)
 - (4) Psychiatric Residential Treatment Facility (PRTF)
- (b) Services provided to inmates of local, state or federal jails, detention centers or prisons
- (c) Services to those with developmental and intellectual disabilities, without documentation of an additional psychiatric diagnosis for adults only.
- (d) Psychological assessment or testing for other agencies such as courts or schools, which does not result in the recipient receiving psychiatric intervention or therapy from the independent provider. If the testing results in behavioral health treatment, then the testing was medically necessary and would be covered. School services included in a child's Individual Education Plan (IEP) may be coverable under the Medicaid School-Based Services Program.
- (e) Consultation or educational services provided to Medicaid recipients or others
- (f) Collateral Outpatient therapy for ages 21 and over
- (g) Consultation or third party contacts shall be outside the scope of covered benefits, except for consultation provided as a part of collateral outpatient therapy or family outpatient therapy. Covered services require direct patient contact except collateral services for children under age twenty-one (21), when a part of the treatment plan
- (h) Telephone calls, emails, texts or other electronic contacts (excluding telehealth, as described on page 7.1 of the State Plan)
- (i) Travel time
- (j) Field trips, recreational, social, and physical exercise activity groups
- (k) Any applicable exclusion listed under the description of each service.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

2. Eligible Recipients

All services, except for the following nine services are considered mental health, substance use, or co-occurring mental health and substance use services. These services are available for all Medicaid beneficiaries who meet the medical necessity criteria for these services and are described in Pages 7.6.1 (t – x):

- (a) Residential Services for Substance Use Disorders (substance use only);
- (b) Screening, Brief Intervention and Referral to Treatment (substance use only);
- (c) Assertive Community Treatment (mental health only);
- (d) Comprehensive Community Support Services (mental health only);
- (e) Therapeutic Rehabilitation (mental health only);
- (f) Withdrawal Management (substance use only);
- (g) Medication Assisted Treatment (substance use only);
- (h) Applied Behavior Analysis (mental health only); and
- (i) Inpatient Chemical Dependency Treatment (substance use only).

Except where indicated, all services will apply to both children and adults.

3. Categories of Providers:

Kentucky defines the following categories of providers:

- (a) Individual Practitioner: An individual practitioner who is licensed by the respective board in the Commonwealth of Kentucky or who is supervised by a licensed practitioner to render health services and/or bill Kentucky Medicaid.
- (b) Provider group: A group of more than one individually licensed practitioner who forms a business entity to render behavioral health services and bill Kentucky Medicaid.
- (c) Licensed organization: A business entity that employs licensed and non-licensed health professionals and is licensed to render behavioral health services and bill Kentucky Medicaid. This organization must also meet the following criteria:
 - (1) Be enrolled as a Medicaid provider in the Commonwealth of Kentucky;
 - (2) Demonstrate experience serving the population of individuals with behavioral health disorders relevant to the particular services provided;
 - (3) Have the administrative capacity to provide quality of services in accordance with state and federal requirements;
 - (4) Use a financial management system that provides documentation of services and costs; and

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

- (5) Demonstrate capacity to document and maintain individual case records in accordance with state and federal requirements.

All providers must operate within the scope of their license. Providing services to Medicaid recipients outside a provider's licensure is considered fraud.

4. Rendering Providers:

Rendering Provider Codes for chart beginning on next page

- * Billed through supervisor
- ✓ Individual Practitioner, Provider Group or Licensed Organization
- ❖ Provider Group or Licensed Organization
- ◆ Rendering Practitioners practicing as part of a Licensed Organizations

LCADC, LCADCA, and CADC can only provide services for recipients with substance use disorders and within the scope of their practice.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

4. Rendering Providers

Service	LP	LPA/CP*	LPP/CP with autonomous functioning	LCSW	CSW*	LPCC	LPCA*	LPAT	LPATA*	LMFT	LMFT*	MD	Psychiatrist	APRN	PA	LCADC	LCADCA*	LADC*	CADC*	BHA*	Peer Support*	SU Peer Support*	Prevention Specialist*	CSA*	LBA	LABA*	RBT*	LO	CMHC	Provider Group
A. Screening	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖					✓	✓	✓	✓	✓	✓
B. Assessment	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖					✓	✓	✓	✓	✓	✓
C. Psychological Testing	✓	✓	✓																								✓	✓	✓	
D. Crisis Intervention	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓						✓	✓	✓	✓	✓	✓
E. Community Based Mobile Crisis Intervention Services (MCIS)	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆			◆	◆		✓	✓	
<u>E. Crisis Stabilization Services</u> (f1) 23 hour Crisis Observation Stabilization Services (COSS)	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆			◆	◆		✓	✓	
(f.2) Residential Crisis Stabilization	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆			◆	◆		✓	✓	
G. Day Treatment	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓	✓			✓	✓	✓	✓	✓	✓
H. Peer Support Services																					❖	❖					✓	✓	✓	
I. Intensive Outpatient Program (IOP)	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖								✓	✓	✓	
J. Individual Outpatient Therapy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓								✓	✓	✓	✓
K. Group Outpatient Therapy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓								✓	✓	✓	✓

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

4. Rendering Providers

Service	LP	LPA/CP*	LPP/CP with	LCSW	CSW*	LPCC	LPCA*	LPAT	LPATA*	LMFT	LMFT*	MD	Psychiatrist	APRN	PA	LCADC	LCADCA*	LADC*	CADC*	BHA*	Peer Support*	SU Peer Support*	Prevention Specialist*	CSA*	LBA	LABA*	RBT*	LO	CMHC	Provider Group	
L. Family Outpatient Therapy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖								✓	✓	✓	
M. Collateral Outpatient Therapy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖					✓	✓		✓	✓	✓	
N. Partial Hospitalization	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆					◆	◆		✓	✓	
O. Service Planning	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖					✓	✓		✓	✓	✓	
P. Residential Services for SUD	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆			◆	◆					✓	✓		
Q. SBIRT (SUD only)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖			✓				✓	✓	✓		
R. Assertive Community Treatment (Mental Health only)	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆						◆	◆			◆	◆	◆	✓	✓		
S. Comprehensive Community Support Services (Mental Health only)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓					❖				✓	✓	✓	✓	✓	✓		

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

4. Rendering Providers

Service	LP	LPA/CP*	LPP/CP with autonomous functioning	LCSW	CSW*	LPCC	LPCA*	LPAT	LPATA*	LMFT	LMFT*	MD	Psychiatrist	APRN	PA	LCADC	LCADCA*	LADC*	CADC*	BHA*	Peer Support*	SU Peer Support*	Prevention Specialist*	CSA*	LBA	LABA*	RBT*	LO	CMHC	Provider Group
T. Withdrawal Management	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	❖								✓	✓	✓
U. Medication Assisted Treatment (MAT)	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖	❖								✓	✓	✓
V. Applied Behavior Analysis (Mental Health only)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓										✓	✓	✓	✓	✓	✓
W. Inpatient Chemical Dependency Treatment (SUD only)	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆			◆	◆					✓	✓	✓
X. Therapeutic Rehabilitation (Mental Health only)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓					❖	❖						✓	✓	✓	✓

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

5. Rendering Providers

a. Individual Practitioners

- (1) Licensed Psychologist (LP)
- (2) Licensed Psychological Practitioner (LPP)
- (3) Licensed Clinical Social Worker (LCSW)
- (4) Licensed Professional Clinical Counselor (LPCC)
- (5) Licensed Professional Art Therapist (LPAT)
- (6) Licensed Marriage and Family Therapist (LMFT)
- (7) Licensed Behavioral Analyst (LBA)
- (8) Physician
- (9) Psychiatrist
- (10) Advanced Practice Registered Nurse (APRN)
- (11) Licensed Clinical Alcohol Drug Counselors (LCADC)
- (12) Physician Assistant (PA)

b. Provider Groups

- (1) Licensed Psychologist LP
- (2) Licensed Psychological Practitioner LPP
- (3) Licensed Clinical Social Worker LCSW
- (4) Licensed Professional Clinical Counselor LPCC
- (5) Licensed Professional Art Therapist LPAT
- (6) Licensed Marriage and Family Therapist LMFT
- (7) Licensed Behavioral Analyst LBA
- (8) Physician
- (9) Psychiatrist
- (10) Advanced Practice Registered Nurse (APRN)
- (11) Licensed Clinical Alcohol Drug Counselors (LCADC)
- (12) Behavioral Health Multi-Specialty Group (MSG)
- (13) Physician Assistant Group (PA)

c. Licensed Organizations

- (1) Behavioral Health Services Organizations (BHSO)
- (2) Community Mental Health Center (CMHC)

6. Covered Services

The following services, as defined by the Kentucky Department for Medicaid Services, are considered Medicaid mental health, substance use, or co-occurring mental health and substance use services, unless otherwise indicated:

- (a) Screening
- (b) Assessment
- (c) Psychological Testing
- (d) Crisis Intervention
- (e) Community Based Mobile Crisis Intervention Services (MCIS)

State: Kentucky

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

- (f) Crisis Stabilization Services
 - (f.1) 23-Hour Crisis Observation Stabilization Services (COSS)
 - (f.2) Residential Crisis Stabilization
- (g) Day Treatment
- (h) Peer Support
- (i) Intensive Outpatient Program (IOP)
- (j) Individual Outpatient Therapy
- (k) Group Outpatient Therapy
- (l) Family Outpatient Therapy
- (m) Collateral Outpatient Therapy
- (n) Partial Hospitalization
- (o) Service Planning
- (p) Residential Services for Substance Use Disorders (Substance use only)
- (q) Screening, Brief Intervention and Referral to Treatment (SBIRT) (Substance use only)
- (r) Assertive Community Treatment (Mental health only)
- (s) Comprehensive Community Support Services (Mental health only)
- (t) Therapeutic Rehabilitation (Mental health only)
- (u) Withdrawal Management (Substance use only)
- (v) Medication Assisted Treatment (MAT) (Substance use only)
- (w) Applied Behavior Analysis (ABA) (Mental health only)
- (x) Inpatient Chemical Dependency Treatment (Substance use only)

(a) **Screening**

Screening shall be the determination of the likelihood that a person has a mental health, substance use, or co-occurring mental health and substance use disorder. The purpose is not to establish the presence or specific type of such a disorder but to establish the need for an in-depth assessment.

(b) **Assessment**

Assessment shall include gathering information and engaging in a process with the recipient that enables the provider to establish the presence or absence of a mental health disorder, substance use disorder or co-occurring disorders; determine the recipient's readiness for change; identify recipient's strengths or problem areas that may affect the processes of treatment and recovery; and engage the recipient in the development of an appropriate treatment relationship. The purpose of an assessment is to establish (or rule out) the existence of a clinical disorder or service need and to work with the recipient to develop an individualized treatment and service plan if a clinical disorder or service need is assessed. Also includes functional behavioral assessment utilized when problem behaviors (e.g. aggression, self-injury, destruction of property) are present to identify the reason(s) behavior(s) occur and the skills and strategies necessary to decrease them. This service also includes interpretation and written report of assessments. This does not include psychological evaluations or assessments. Assessments for the treatment of substance use disorders should utilize the most current edition of The American Society of Addiction Medicine's (ASAM) Criteria, Treatment Criteria for Addictive, Substance-Related, and Co-Occurring Conditions criteria for multidimensional assessments.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(c) Psychological Testing

Psychological testing for individuals may include psychodiagnostics assessment of personality, psychopathology, emotionality, and/or intellectual disabilities. The service also includes interpretation and written report of testing results.

(d) Crisis Intervention

Crisis intervention is an immediate short-term de-escalation, usually single session, technique used to address an immediate behavioral health emergency, stabilize the individual in crisis, and create and implement a safe, appropriate plan for next steps and future treatment. Crisis intervention involves connecting to the person in crisis and talking them through specific steps to ensure their immediate safety as well as make appropriate plans for future care.

Community Based Mobile Crisis Intervention Services (MCIS) Community Based Mobile Crisis Intervention Services (MCIS) includes dispatch of the Mobile Crisis Team (MCT) at the individual's location for those experiencing a behavioral health crisis. A behavioral health crisis is defined as any behavioral, SUD, or psychiatric situation perceived to be a crisis by the individual.

MCIS aims to effect symptom or harm reduction, or to safely transition an individual in acute crisis to the appropriate level of care. Delivery of MCIS include conducting a crisis screening and assessment, stabilization and de-escalation; coordination with post-crisis follow-up services, including referrals to health, social and other support services as needed; and follow-up with the individual.

MCI services are:

- Provided in duration of less than 24 hours.
- Available on a 24/7/365 basis.
- Provided outside of a hospital or other facility.

Composition of the MCT:

- Minimum of a two-person team for safety and who are actively participating in the crisis response with the individual.
- Minimum of one (1) MCT members at the location of the individual in person, located in the community, the other MCT member may be available by telehealth or in person. If telehealth is being used, the team member must actively participate in the mobile crisis response.
- Minimum of one (1) behavioral health practitioner that is able to perform an assessment.

Authorized Providers

The MCIS providers must be a licensed organization and also meet the following:

- Capacity to employ practitioners and coordinate service provision among rendering providers.
- Capacity to provide the full range of services included in the definition.
- Access to a board certified or board eligible psychiatrist on a 24/7/365 basis
- For more information on rendering providers, see pages 7.6.1(f-g1)

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(f) [Crisis Stabilization Services](#)

(f.1) 23-Hour Crisis Observation Stabilization Services

23- Hour Crisis Observation Stabilization Services (COSS) is a voluntary, 23 hour direct service, designed to stabilize and restore the individual to a level of functioning in the least restrictive environment. COSS provides assessment and stabilization interventions to individuals experiencing a behavioral health crisis for a period of up to 23 hours in a Crisis Observation Stabilization Services Unit (COSSU). This service is indicated for those situations wherein an individual is in an acute behavioral health crisis and requires a safe environment for observation and assessment prior to determination of whether admission to a higher level of care is necessary. COSS should be person centered, medically necessary, reflect the cultural and linguistic needs of the recipient, evidence based and trauma informed. COSS within a COSSU should be outpatient community

based and not part of a hospital.

COSSUs are community-based, outpatient programs that offer an array of services including screening; assessment; development of a crisis care plan to determine appropriate level of care; individual, group, and family therapy, medication management; ambulatory withdrawal management with extended on-site monitoring; care coordination; psychoeducation; and peer support (For more information on peer supports, see page 7.6.1(m)) in order to stabilize a crisis. In addition, COSSU must have referral relationships to both outpatient and inpatient levels of care as next level of care options.

Care coordination in mental health and substance use disorder is essential in meeting recipients' needs and treatment goals to improve overall health outcomes, and requires continued follow up, progress monitoring and tracking of patient outcomes to ensure these goals are met. Care coordination shall include at minimum;

- Referring the recipient to appropriate community services through a warm handoff;
- Transferring of medical records;
- Facilitating medical and behavioral health follow-ups;

- Linking to appropriate levels of substance use treatment within the continuum in order to provide on-going support;
- Providing a discharge summary;
- Facilitating medication assisted treatment as necessary, per patient choice, if the medication is not offered on-site;
- Completing post crisis follow-up with the individual within 72 hours of the discharge from the COSSU.

COSS does not include, and federal financial participation (FFP) is not available for, room and board services; educational, vocational and job training services; habilitation services; services to inmates in public institutions as defined in 42 CFR §435.1010; services to individuals residing in institutions for mental diseases as described in 42 CFR§435.1010; recreational and social activities; and services that must be covered elsewhere in the state Medicaid plan.

Authorized Providers

The COSS providers must be a licensed organization and also meet the following:

- 24 hour Nursing services with at a minimum of an RN
- Capacity to employ practitioners and coordinate service provision among rendering providers.
- Capacity to provide the full range of services included in the COSS definition.
- Access to nursing services when providing COSS.
- Access to a prescriber for Medication for Opioid Use Disorder (MOUD) when providing COSS.
- Access to a board certified or board eligible psychiatrist on a 24/7/365 basis
- For more information on rendering providers, see pages 7.6.1.(f-g1).

(f.2) Residential Crisis Stabilization

Residential Crisis Stabilization Services (RCSS) are provided in residential crisis stabilization units (RSCU) that are community based residential programs. These services are used when individuals in a behavioral health crisis cannot be safely accommodated within the community and are not in need of hospitalization but need overnight care. The purpose is to stabilize the individual and reintegrate back into the community in a timely fashion.

RCSS provides assessment and stabilization interventions to individuals experiencing a behavioral health crisis. Services may include crisis screening, assessment and development of a Crisis Education, Intervention and Prevention Plan (CIPP) to determine appropriate level of care, individual, group and family therapy, psychoeducation, stabilization of withdrawal management symptoms and medication management with extended on-site monitoring; care coordination; and peer support (page 7.6.1(m)) in order to stabilize a crisis. In addition, RSCU must have referral relationships to both outpatient and inpatient levels of care as next level of care options.

Services should be person centered, medically necessary, reflect the cultural and linguistic needs of the recipient, evidence based and trauma informed.

Care coordination in mental health and substance use disorder is essential in meeting recipients' needs and treatment goals to improve overall health outcomes, and requires continued follow up, progress monitoring and tracking of patient outcomes to ensure these goals are met. Care coordination shall include at minimum;

- Referring the recipient to appropriate community services through a warm handoff;
- Transferring of medical records;
- Facilitating medical and behavioral health follow-ups;
- Linking to appropriate levels of substance use treatment within the continuum in order to provide on-going support;
- Providing a discharge summary;
- Facilitating medication assisted treatment as necessary, per patient choice, if the medication is not offered on-site;
- Completing post crisis follow-up with the individual within 72 hours of discharge from the RCSU.

For the treatment of substance use disorders, residential crisis stabilization programs should meet the service criteria for medically monitored intensive inpatient services, including medically monitored inpatient withdrawal management services using the current edition of *The American Society of Addiction Medicine's (ASAM) Criteria, Treatment Criteria for Addictive, Substance-Related, and co-Occurring Conditions*.

Residential crisis stabilization does not include, and federal financial participation (FFP) is not available for, room and board services; educational, vocational and job training services; habilitation services; services to inmates in public institutions as defined in 42 CFR §435.1010; services to individuals residing in institutions for mental diseases as described in 42 CFR§435.1010; recreational and social activities; and services that must be covered elsewhere in the state Medicaid plan.

KY Medicaid will not pay for this service in a unit of more than 16 beds or multiple units operating as one unified facility with more than 16 aggregated beds except for services furnished pursuant to the state plan benefit “inpatient psychiatric services for individuals under 21” (section 1905(a)(16) of the Act; 42 CFR 440.160) or pursuant to an exclusion for individuals aged 65 or older who reside in institutions that are IMDs (section 1905(a) of the Act; 42 CFR 440.140.)

Authorized Providers

The Residential Crisis Stabilization providers must be employed by a licensed organization and the following additional criteria:

- Capacity to employ practitioners and coordinate service provision among rendering providers
- Capacity to provide the full range of services included in the Residential Crisis Stabilization service definition
- Ability to provide Residential Crisis Stabilization services on a 24/7/365 basis
- Access to a board-certified or board-eligible psychiatrist on a 24/7/365 basis
- 24 hour Nursing services with at a minimum of an RN
- Access to nursing services when providing RCSS.
- Access to prescriber for Medication for Opioid Use Disorder (MOUD) when providing RCSS.
- For more information on rendering providers, see pages 7.6.1(f-g1)

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Authorized Providers

The Residential Crisis Stabilization providers must be employed by a licensed organization that meets the criteria of a licensed organization and the following additional criteria:

- Capacity to employ practitioners and coordinate service provision among rendering providers
- Capacity to provide the full range of services included in the Residential Crisis Stabilization service definition
- Ability to provide Residential Crisis Stabilization services on a 24/7/365 basis
- Access to a board-certified or board-eligible psychiatrist on a 24/7/365 basis

(g) Day Treatment

Day Treatment is a non-residential, intensive treatment program designed for children/youth under the age of 21 who have a mental health, substance use, or co-occurring mental health and substance use disorder, and who are at high risk of out-of-home placement due to behavioral health issues. Intensive coordination/linkage with schools and or other child serving agencies is included.

Intensive coordination is needed in order to successfully transition youth recipients to a lower level of care. See below for basic components of the required linkage agreement between the provider and the local education authority that specifies the responsibility of the authority and the provider for:

- Appropriately licensed teachers and provisions for their professional development;
- Educational supports including classroom aides and textbooks;
- Educational facilities;
- Physical education and recreational therapies;
- Transportation; and
- Transition planning.

Day treatment services do not include services covered in a child's Individualized Education Plan (IEP).

Day treatment may focus on resolving multiple mental health and/or substance use issues and is typically provided as an alternative to a school or other traditional day time setting for children.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Day treatment services shall be provided:

- In collaboration with the education services of the Local Education Authority (LEA) including those provided through IDEA and/or Section 504;
- On school days and during scheduled breaks;
- In coordination with the recipient's IEP, if the recipient has an IEP;
- With a linkage agreement to other behavioral health services with the LEA that specifies the responsibilities of the LEA and the day treatment provider.

(h) **Peer Support**

Peer Support is social and emotional support that is provided by an individual who is employed by a provider group or licensed organization and who has experienced a mental health disorder, substance use disorder, or co-occurring mental health and substance use disorder to a recipient sharing a similar mental health disorder, substance use disorder, or co-occurring mental health and substance use disorder in order to bring about a desired social or personal change. It is an evidence-based practice. Peer Support Services are structured and scheduled non-clinical therapeutic activities with an individual recipient or a group or recipients and are provided by a self-identified consumer of mental health, substance use, or co-occurring mental health and substance use disorder services who has been trained and certified in accordance with state regulations. Services should promote socialization, recovery, self-advocacy, preservation and enhancement of community living skills for the recipient.

Peer support services must incorporate the following core components:

-
- Supervision – Peer support specialists must be supervised regularly by a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LPA, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a CADC, a LADC, a LADCA a LPAT, or a LPATA.
- Care coordination - Peer support services must be coordinated within the context of a comprehensive, individualized treatment plan which is developed through a person-centered planning process. The peer support services must be identified on each recipient's individual treatment plan, and must be designed to directly contribute to the participant's individualized goals, as specified in the plan.
- Training - Peer Support Specialists have a minimum educational requirement of a high school diploma or a General Educational Development (GED) certificate and must successfully complete training approved by the Kentucky Department for Behavioral Health, Developmental and Intellectual Disabilities (DBHDID).

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Pursuant to KRS 309.0831, Registered Alcohol and Drug Peer Support Specialists are exempt from the DBHDID training required in 908 KAR 2:220, 230 and 240.

Authorized Providers

Peer Support – Peer support specialists must be either employed by a provider group or a licensed organization that meet the following additional criteria:

- Must employ qualified peer support specialists who successfully completed the training/exam as outlined in the Kentucky Administrative Regulation;
- Must provide supervision by a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LPA, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a CADC, a LADC, a LADCA a LPAT, or a LPATA;
- Must have the capacity to provide on-going continuing education and technical assistance to peer support specialists; and
- Must have demonstrated experience serving the behavioral health population (mental health, substance use or co-occurring mental health and substance use disorders) and age range served (youth or adult peers or parent-to-parent).
- Registered Alcohol and Drug Peer Support Specialist

(i) Parent/Family Peer Support

Parent/Family Peer Support is emotional support that is provided by a parent or family member, who is employed by a provider group or licensed organization, of a child who has experienced a mental health disorder, substance use disorder, or a co-occurring mental health and substance use disorder to a parent or family member with a child sharing a similar mental health, substance use, or co-occurring mental health and substance use disorder in order to bring about a desired social or personal change. It is an evidence-based practice. Peer Support Services are structured and scheduled non-clinical therapeutic activities with individuals or groups provided by a self-identified parent /family member of a child/youth consumer of mental health, substance use, or co-occurring mental health and substance use disorder services who has been trained and certified in accordance with state regulations. Services should promote socialization, recovery, self-advocacy, preservation and enhancement of community living skills for the recipient. To provide parent or family peer support services a provider shall:

- have demonstrated the capacity to provide the core elements of parent or family peer support services for the behavioral health population being served including the age range of the population being served;
- employ family peer support specialists who are qualified to provide family peer support services in accordance with state regulations; and
- use an approved behavioral health services provider to supervise family peer support specialists.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Peer support services must incorporate the following core components:

- Supervision – Peer support specialists must be supervised regularly by a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LPA, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a CADC, a LADC, a LADCA a LPAT, or a LPATA.
- Care coordination - Peer support services must be coordinated within the context of a comprehensive, individualized treatment plan which is developed through a person-centered planning process. The peer support services must be identified on each recipient's individual treatment plan, and must be designed to directly contribute to the participant's individualized goals, as specified in the plan.
- Training - Peer Support Specialists have a minimum educational requirement of a high school diploma or a General Educational Development (GED) certificate and must successfully complete training approved by DBHDID.

Authorized Providers

Peer Support – Peer support specialists must be either employed by a provider group or a licensed organization that meet the following additional criteria:

- Must employ qualified peer support specialists who successfully completed the training/exam as outlined in the Kentucky Administrative Regulation;
- Must provide supervision by a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LPA, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a CADC, a LADC, a LADCA a LPAT, or a LPATA;
- Must have the capacity to provide on-going continuing education and technical assistance to peer support specialists; and
- Must demonstrate experience serving the behavioral health population (mental health, substance use or co-occurring mental health and substance use disorders) and age range served (youth or adult peers or parent-to-parent).

(j) Intensive Outpatient Program (IOP)

Intensive Outpatient Program (IOP) is an alternative to or transition from inpatient hospitalization or partial hospitalization for mental health or substance use disorders. An IOP must offer a multi-modal, multi-disciplinary structured outpatient treatment program that is significantly more intensive than individual outpatient, group outpatient therapy, and family outpatient therapies.

IOP services must be provided at least three (3) hours per day and at least three (3) days per week.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Programming must include individual outpatient therapy, group outpatient therapy, and family outpatient therapy unless contraindicated, crisis intervention as it would occur in the setting where IOP is being provided, and psycho-education. Psycho-education is one component of outpatient therapy for mental health conditions. During psycho-education, the recipient or their family is provided with knowledge about his diagnosis, the causes of that condition, and the reasons why a particular treatment might be effective for reducing his symptoms. Recipients and their families gain empowerment to understand and accept the diagnosis and learn to cope with it in a successful manner).

All treatment plans must be individualized, focusing on stabilization and transition to a lesser level of care.

The State does not claim IOP that is located in a hospital as a rehabilitative service.

Intensive Outpatient Services - Practitioners must be employed by a provider group or licensed organization that meets the criteria of a provider group or licensed organization and the following additional criteria:

- Access to a board-certified or board-eligible psychiatrist for consultation;
- Access to a psychiatrist, other physician or Advanced Practice Registered Nurse (APRN) for medication management;
- Adequate staffing to assure a minimum recipient-to-program staff ratio of ten (10) recipients to one (1) staff member;
- Capacity to provide services utilizing a recognized intervention protocol based on nationally accepted treatment principles when serving adults with mental health, substance use disorder or co-occurring disorders and the System of Care Principles when serving a recipient under the age of 21 with mental health, substance use disorder or co-occurring disorders;
- Capacity to employ required practitioners and coordinate service provision among rendering practitioners;
- Capacity to provide the full range of services included in the Intensive Outpatient service definition;
- Demonstrated experience in serving individuals with behavioral health disorders;
- The administrative capacity to ensure quality of services;
- A financial management system that provides documentation of services and costs; and
- The capacity to document and maintain individual case records.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(k) **Individual Outpatient Therapy**

Individual Outpatient Therapy shall consist of a face-to-face, one-on-one encounter between the provider and the recipient. The therapy shall be a behavioral health therapeutic intervention provided in accordance with a recipient's identified treatment plan and is aimed at the reduction of adverse symptoms and improved functioning and reducing or eliminating the presenting problem of the recipient. Individual therapy, family therapy and group therapy services shall be limited to a maximum of a combined three (3) hours per day, per recipient, which can be exceeded based on medical necessity.

(l) **Group Outpatient Therapy**

Group therapy shall be therapeutic intervention provided to a group of unrelated persons, with the exception of multi-family group therapy. Group therapy shall consist of a face-to-face behavioral health therapeutic intervention provided in accordance with the recipient's identified treatment plan. Group outpatient therapy shall not solely include physical exercise, a recreational activity or an educational activity, or a social activity. The subject of the group outpatient therapy shall be related to each recipient participating in the group. A group consists of no more than twelve persons. It is usually for a limited time period (generally 1 to 1 ½ hours in duration). Group therapy focuses on psychological needs of the recipients as evidenced in each recipient's plan of treatment. Group therapy centers on goals such as building and maintaining healthy relationships, personal goal setting, and the exercise of personal judgment. The group shall have a deliberate focus and must have a defined course of treatment. Individual notes must be written for each recipient within the group and be kept in that individual's medical record.

Individual therapy, family therapy and group therapy services shall be limited to a maximum of a combined three (3) hours per day, per recipient, which can be exceeded based on medical necessity.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(m) **Family Outpatient Therapy**

Family Therapy shall consist of a face-to-face therapeutic intervention provided through scheduled therapeutic visits between the therapist and the recipient and one or more members of a recipient's family or household member of the recipient to address issues interfering with the relational functioning of the family and improve interpersonal relationships within the home environment.

The need for family therapy shall be so stated in the recipient's plan of treatment. Family therapy services shall be for the benefit of the recipient.

Individual therapy, family therapy and group therapy services shall be limited to a maximum of a combined three (3) hours per day, per recipient, but can be exceeded based on medical necessity.

(n) **Collateral Outpatient Therapy**

Collateral services shall be limited to recipients under the age of twenty-one, who are recipients of the rendering provider. A collateral service shall be a face-to-face encounter with a parent/caregiver, household member of a recipient, legal representative/guardian, school personnel or other person in a position of custodial control or supervision of the recipient, for the purpose of providing counseling or consultation on behalf of a recipient in accordance with an established plan of treatment. The parent or legal representative in a role of supervision of the recipient shall give written approval for this service. This written approval shall be kept in the recipient's medical record. This service is only reimbursable for a recipient under age 21.

(o) **Partial Hospitalization**

Partial Hospitalization is a short-term (average of four (4) to six (6) weeks), less than 24-hour, intensive treatment program for individuals experiencing significant impairment to daily functioning due to substance use disorders, mental health disorders, or co-occurring mental health and substance use disorders. Partial Hospitalization may be provided to adults or children. This service is designed for individuals who cannot effectively be served in community-based therapies or IOP.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

The program consists of individual, group, family therapies and medication management. Educational, vocational, or job training services that may be provided as part of Partial Hospitalization are not reimbursed by Medicaid. The program has an agreement with the local educational authority to come into the program to provide all educational components and instruction which are not Medicaid billable or reimbursable. Services in a Medicaid-eligible child's Individual Education Plan (IEP) are coverable under Medicaid.

Partial Hospitalization is typically provided for at least four (4) hours per day. Partial Hospitalization is typically focused on one primary presenting problem (i.e., Substance use, sexual reactivity, etc.).

Authorized Providers

Partial Hospitalization – Practitioners must be employed by a licensed organization that meets the criteria of a licensed organization and the following additional criteria:

- An Advanced Practice Registered Nurse (APRN), Physician Assistant (PA) or Physician available on-site and a board-certified or board-eligible psychiatrist available for consultation;
- Capacity to provide services utilizing a recognized intervention protocol based on Recovery Principles;
- Capacity to employ required practitioners and coordinate service provision among rendering practitioners; and
- Capacity to provide the full range of services included in the Partial Hospitalization definition.

(p) Service Planning TREATMENT OF MENTAL HEALTH ONLY

Service planning involves assisting the recipient in creating an individualized plan for services needed for maximum recovery of symptoms associated with a mental health disorder and restoration of a recipient to his best possible functional level. A person-centered planning process is required. The plan is directed by the recipient and must include practitioners of the recipient's choosing. The providers include more than licensed professionals – it may include the recipient (and his guardian if applicable), care coordinator, other service providers, family members or other individuals that the recipient chooses. Service Planning may include a mental health advance directive being filed with a local hospital; crisis plan or a relapse prevention strategy or plan.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(q) **Residential Services for Substance Use Disorders (SUDS) TREATMENT FOR SUBSTANCE USE ONLY**

Residential services for substance use disorders is residential treatment (24 hour/day) that may be short-term or long-term for the purposes of providing intensive treatment and skills building, in a structured and supportive environment, to assist individuals (children and adults) to enter into alcohol/drug addiction recovery. This service is provided in a 24-hour live-in facility that offers a planned and structured regimen of care that aims to treat persons with addictions or substance use disorders and assists them in making the necessary changes in their lives that will enable them to live drug or alcohol free lives.

Services must:

- 1) Be provided under the medical direction of a physician;
- 2) Provide continuous nursing services in which a registered nurse shall be:
 - a) On-site during traditional first shift hours, Monday through Friday;
 - b) Continuously available by phone after hours; and
 - c) On-site as needed in follow-up to telephone consultation after hours.

Individuals must have been assessed and meet criteria for approval of residential services, utilizing a nationally recognized assessment tool (e.g., American Society of Addiction Medicine (ASAM)) as approved by the Kentucky Department of Behavioral Health, Development and Intellectual Disabilities (DBHDID).

KY Medicaid will not pay for this service in a unit of more than 16 beds or multiple units operating as one unified facility with more than 16 aggregated beds except for services furnished pursuant to the state plan benefit "inpatient psychiatric services for individuals under 21" (section 1905(a)(16) of the Act; 42 CFR 440.160) or pursuant to an exclusion for individuals age 65 or older who reside in institutions that are IMDs (section 1905(a) of the Act; 42 CFR 440.140.)

Residential treatment services shall be based on individual need and may include:

- Screening
- Assessment
- Service Planning
- Individual Therapy
- Group Therapy
- Family Therapy
- Peer Support

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

There are two levels of residential treatment:

- Short term –length of stay less than 30 days
- Long term- length of stay 30- 90 days

Short Term

Short term services should have a duration of less than thirty (30) days, but can be exceeded based on medical necessity. 24 hour staff as required by licensing regulations. Short term services should have planned clinical program activities constituting at least 15 hours per week of structured professionally directed treatment services to stabilize and maintain a person's substance use disorder and to help him to develop and apply recovery skills.

Long Term

Long term services should have 24 hour staff as required by licensing regulations, as well as planned clinical program activities constituting 40 hours per week of structured professionally directed treatment services to stabilize and maintain a person's substance use and or substance use and mental health disorder and to help him or her to develop and apply recovery skills.

Residential SUD treatment programs do not include, and Federal Financial Participation (FFP) is not available for, room and board services; educational, vocational and job training services; habilitation services; services to inmates in public institutions as defined in 42 CFR §435.1010; services to individuals residing in institutions for mental diseases as described in 42 CFR§435.1010; recreational and social activities; and services that must be covered elsewhere in the state Medicaid plan.

KY Medicaid will not pay for this service in a unit of more than 16 beds or multiple units operating as one unified facility with more than 16 aggregated beds except for services furnished pursuant to the state plan benefit "inpatient psychiatric services for individuals under 21" (section 1905(a)(16) of the Act; 42 CFR 440.160) or pursuant to an exclusion for individuals age 65 or older who reside in institutions that are IMDs (section 1905(a) of the Act; 42 CFR 440.140.)

Limitations

To provide residential services for substance use disorders, a behavioral health services organization shall:

- a. Have the capacity to employ staff authorized to provide services in accordance with this section and to coordinate the provision of services among team members; and
- b. Be licensed as a non-medical and non-hospital based alcohol and other drug abuse treatment program in accordance with state licensing regulations

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(r) **Screening, Brief Intervention, and Referral to Treatment (SBIRT) TREATMENT OF SUBSTANCE USE ONLY**

SBIRT is an evidence-based early intervention approach that targets individuals with non-dependent substance use to provide effective strategies for intervention prior to the need for more extensive or specialized treatment. SBIRT consists of three major components:

Screening – Assessing an individual for risky substance use behaviors using standardized screening tools;

Brief Intervention – Engaging a patient showing risky substance use behaviors in a short conversation, providing feedback and advice; and

Referral to Treatment – Provides a referral to additional mental health, substance use, or co-occurring mental health and substance use disorder services to patients who screen in need of additional services to address substance use. The Referral to Treatment is part of the Brief Intervention and thus referral to a behavioral health service.

(s) **Assertive Community Treatment (ACT) TREATMENT OF MENTAL HEALTH ONLY**

Assertive community treatment (ACT) is an evidence-based psychiatric rehabilitation practice which provides a comprehensive approach to service delivery for consumers with serious mental illnesses. ACT uses a multidisciplinary team of professionals including psychiatrists, nurses, case managers, therapists and peer support specialists.

Component services include assessment, person centered treatment planning, case management, individual outpatient therapy, family outpatient therapy, and group outpatient therapy, peer support, mobile crisis intervention, mental health consultation, medication monitoring, family support and basic living skills training. Mental health consultation involves brief, collateral interactions with other treating professionals who may have information for the purposes of treatment planning and service delivery.

Family support involves the ACT team working with the recipient's natural support systems to improve family relations in order to reduce conflict and increase recipient autonomy and independent functioning. Basic living skills training shall be rehabilitative services focused on restoring activities of daily living to reduce disability and improve function (i.e., taking medications, housekeeping, meal preparation, hygiene, interacting with neighbors) necessary to maintain independent functioning and community living.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Services are provided by a multidisciplinary team of providers whose backgrounds and training include social work, rehabilitation, counseling, nursing. Providers of ACT services consist of multidisciplinary staff organized as a team in which members function interchangeably to provide treatment, rehabilitation and support.

Authorized Providers

Assertive Community Treatment (ACT) – Team members must be employed by a licensed organization that meets the criteria of a licensed organization and the following additional criteria:

- Must employ one or more teams led by an approved behavioral health services provider and including, at a minimum, four (4) full time equivalents including 1.0 FTE therapist, 1.0 Case Manager, .5 Peer Support Specialist, .5 FTE nurse (medication and health management) and 1.0 of the team's choosing with access to a prescriber.
- Adequate staffing to assure a caseload size no greater than ten (10) participants per team member, not including the prescriber or administrative support; Have the capacity to:
 - (i) Employ staff authorized to provide assertive community treatment services in accordance with this paragraph;
 - (ii) Coordinate the provision of services among team members;
 - (iii) Provide the full range of assertive community treatment services as stated in this paragraph; and
 - (iv) Document and maintain individual case records; and
 - (v) Demonstrate experience in serving individuals with persistent and serious mental illness who have difficulty living independently in the community.

(t) Comprehensive Community Support Services TREATMENT OF MENTAL HEALTH ONLY

Comprehensive Community Support Services covers activities necessary to allow individuals with mental illnesses to live with maximum independence in the community. Activities are intended to assure successful community living through utilization of skills training as identified in the individual treatment plan. Skills training is designed to reduce symptoms associated with a mental health disorder and restore the recipient to his best possible functional level. Comprehensive community support services consists of using a variety of psychiatric rehabilitation techniques to improve daily living skills, self-monitoring of symptoms and side effects, improve emotional regulation skills, crisis coping skills and developing and enhancing interpersonal skills.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

- To provide comprehensive community support services, a licensed organization or provider group shall:
- Have the capacity to employ staff authorized pursuant to 908 KAR 2:250 to provide comprehensive community support services in accordance with subsection (2)(k) of this section and to coordinate the provision of services among team members; and
- Meet the requirements for comprehensive community support services established in 908 KAR 2:250.

(t) **Therapeutic Rehabilitation TREATMENT OF MENTAL HEALTH ONLY**

Therapeutic Rehabilitation is a rehabilitative service for adults with serious mental illnesses and recipients under the age of twenty-one years who have a serious emotional disability designed to maximize reduction of the symptoms associated with a mental health disorder and restoration of the recipient's best possible functional level. Services shall be designed for the reduction in disabilities related to social, personal, and daily living skills, as well as the restoration of these skills. The recipient establishes his own rehabilitation goals within the person centered service plan. Component services are delivered using a variety of psychiatric rehabilitation techniques and focus on improving daily living skills, self-monitoring of symptoms and side effects, emotional regulation skills, crisis coping skills and interpersonal skills. Services may be delivered individually or in a group.

(u) **Withdrawal Management TREATMENT OF SUBSTANCE USE ONLY**

Withdrawal Management is a set of interventions aimed at managing acute intoxication and withdrawal based on the severity of the illness and co-occurring conditions identified through a comprehensive biopsychosocial assessment and with linkage to addiction management services. This service can be offered by a provider group or licensed organization in a variety of settings and levels of intensity along a continuum to include outpatient, residential and inpatient, which can be clinically or medically monitored in accordance with the most current version of *The ASAM Criteria, Treatment Criteria for Addictive, Substance-Related, and Co-Occurring Conditions* for Withdrawal Management levels. All recipients who are appropriately placed in any level of withdrawal management must meet the most current edition of diagnostic criteria for Substance Withdrawal Disorder found in the Diagnostic and Statistical Manual of Mental Disorders of the American Psychiatric Association, as well as the most current edition of the ASAM criteria dimensions of care for admission.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Authorized Providers

Withdrawal management may be provided by an individual, provider group or licensed organization that meets the criteria of a licensed organization and be provided by the following:

- A medical professional such as a physician, psychiatrist, physician assistant or advanced practice registered nurse; or
- Other approved behavioral health practitioner or approved behavioral health practitioner under supervision in accordance with 907 KAR 15:005 with the appropriate clinical or medical oversight according to the most current version of *The ASAM Criteria, Treatment Criteria for Addictive, Substance-Related, and Co-Occurring Conditions*.

- (v) Medication Assisted Treatment (MAT) TREATMENT OF SUBSTANCE USE ONLY
See Supplement 4, Attachment 3.1-A for dates of service between 10/1/20 – 9/30/25.
Medication Assisted Treatment (MAT) is an evidence based practice with the use of FDA approved medications, in combination with counseling, behavioral therapies, and other supports to provide a “whole patient” approach to the treatment of substance use disorder. The duration of treatment should be based on the individual needs of the person served. Prescribing is limited to Kentucky Medicaid enrolled DEA waived practitioners who have experience with addiction medicine. Licensed Credentialed Addiction Treatment professionals and other support services including but not limited to Targeted Case Management, Drug and Alcohol Peer Support Specialists, and Substance Use specific Care Coordination must be co-located or virtually located at the same practice site as the DEA waived practitioner or have agreements in place for linkage to appropriate behavioral health treatment providers. Staff shall be knowledgeable in the assessment, interpretation, and treatment of the biopsychosocial dimensions of alcohol or other substance use disorders. MAT can be provided in primary care settings with the appropriate treatment linkage agreement, outpatient behavioral health settings, licensed organizations, or within SUD residential treatment programs that have care coordination in place.

The program must:

- Assess the need for treatment which includes a full patient history to determine the severity of the recipient’s substance use disorder
- Identify any underlying or co-occurring diseases or conditions and address as needed.
- Educate the recipient about how the medication works and the associated risks and benefits; as well as education on overdose prevention.
- Evaluate the need for medically managed withdrawal from substances.
- Obtain informed consent prior to integrating pharmacologic and non-pharmacologic therapies.
- Refer patients for higher levels of care, if necessary.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

Authorized Prescribing Providers:

Practitioners must be employed by a provider group or licensed organization that meets the criteria of a provider group or licensed organization and the following additional criteria:

- A Physician or Advanced Practice Registered Nurse (APRN) licensed to practice medicine under KRS Chapter 311;
- Shall meet standards in accordance with 201 KAR 9:270 or 201 KAR 20:065; and
- Maintain current waiver under 21 U.S.C. Section 823(g)(2) to prescribe buprenorphine products.

Other Authorized Providers:

An approved behavioral health practitioner or approved behavioral health practitioner under supervision in accordance with 907 KAR 15:005 to provide counseling, behavioral therapies, and other support components with experience and knowledge in addiction medicine.

Narcotic Treatment Programs (NTP):

Narcotic Treatment Programs (NTP) are licensed organizations that provide MAT services for methadone in accordance with 908 KAR 1:370 and 908 KAR 1:374, or in a medication unit affiliated with an NTP. A medication unit affiliated with an NTP includes a Medication Station or Dosing Location that obtains its Methadone drug supply from the main program site and retain all records (except dosing and drug screens) at the main location. The main program of a NTP means the location of the MAT program where all administrative and medical information related to the NTP is retained for the purpose of on-site reviews by federal agencies, the state narcotic authority or state opioid treatment authority designee. NTP for the treatment of substance use disorders must have the capacity to provide the full range of services included in the Medication Assisted Treatment definition and document supporting behavioral health services in addition to medication dosing.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(w) Applied Behavior Analysis (ABA)

In accordance with KRS 319C.010, applied behavior analysis is described as the design, implementation, and evaluation of environmental modifications, using behavioral stimuli and consequences, to produce socially significant improvement in human behavior, including the use of direct observation, measurement, and functional analysis of the relationship between environment and behavior. Applied behavior analysis interventions are based on scientific research and the direct observation and measurement of behavior and environment which utilize contextual factors, establishing operations, antecedent stimuli, positive reinforcement, and other consequences to help people develop new behaviors, increase or decrease existing behaviors, and elicit behaviors under specific environmental conditions.

Applied behavioral analysis services should apply principles, methods, and procedures of the experimental analysis of behavior and applied behavior analysis, including but not limited to applications of those principles, methods, and procedures to:

- Design, implement, evaluate, and modify treatment programs to change the behavior of individuals;
- Design, implement, evaluate, and modify treatment programs to change the behavior of individuals that interact with a recipient;
- Design, implement, evaluate, and modify treatment programs to change the behavior of a group or groups that interact with a recipient; and
- Consult with individuals and organizations.

Authorized Providers

Applied Behavioral Analysis practitioners must be employed by an individual provider, provider group or licensed organization that meets the criteria of a licensed organization and be provided by the following:

- A Board Certified Physician or Licensed Psychiatrist with knowledge and experience in applied behavioral analysis.
- Be a Licensed Behavior Analyst or Licensed Assistant Behavioral Analyst pursuant to KRS 319C.080
- Be a Registered Behavioral Technician who meet the requirements provided by the Behavioral Analyst Certification Board (BACB).
- An approved behavioral health practitioner or approved behavioral health practitioner under supervision in accordance with 907 KAR 15:005 with documented training in applied behavior analysis.

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

(x) Inpatient Chemical Dependency Treatment TREATMENT OF SUBSTANCE USE ONLY

Inpatient Chemical Dependency Treatment services are structured inpatient programs to provide medical, social, diagnostic, and treatment services to individuals with substance use disorder. Services may be provided in an inpatient facility 24-hours per day, seven (7) days per week, 365 days a year under the medical direction of a physician with continuous nursing services.

Inpatient chemical dependency treatment programs should meet the service criteria for medically monitored intensive inpatient services using the current edition of *The American Society of Addiction Medicine's (ASAM) Criteria, Treatment Criteria for Addictive, Substance-Related, and co-Occurring Conditions*.

The component services of inpatient chemical dependency treatment units are screening, assessment, service planning, psychiatric services, individual therapy, family therapy, group therapy, peer support, medication assisted treatment and withdrawal management. Withdrawal management services provided in an inpatient chemical dependency treatment program should meet the service criteria for medically monitored inpatient withdrawal management services using the current edition of *The American Society of Addiction Medicine's (ASAM) Criteria, Treatment Criteria for Addictive, Substance-Related, and co-Occurring Conditions*.

Care coordination in substance use treatment is essential in meeting recipients' needs and treatment goals to improve overall health outcomes, and requires continued follow up, progress monitoring and tracking of patient outcomes to ensure these goals are met. For those recipients in inpatient chemical dependency treatment, care coordination should include at minimum referring the recipient to appropriate community services, facilitating medical and behavioral health follow ups and linking to appropriate level of substance use treatment within the continuum in order to provide ongoing support for recipients. Care coordination shall also include facilitating medication assisted treatment for recipients as necessary, per recipient choice.

Inpatient chemical dependency treatment does not include, and federal financial participation (FFP) is not available for, room and board services; educational, vocational and job training services; habilitation services; services to inmates in public institutions as defined in 42 CFR §435.1010; services to individuals residing in institutions for mental diseases as described in 42 CFR§435.1010; recreational and social activities; and services that must be covered elsewhere in the state Medicaid plan.

KY Medicaid will not pay for this service in a unit of more than 16 beds or multiple units operating as one unified facility with more than 16 aggregated beds except for services furnished pursuant to the state plan benefit "inpatient psychiatric services for individuals under 21" (section 1905(a)(16) of the Act; 42 CFR 440.160) or pursuant to an exclusion for individuals age 65 or older who reside in institutions that are IMDs (section 1905(a) of the Act; 42 CFR 440.140.)

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

8. Community Mental Health Centers (CMHC)

CMHCs provide a comprehensive range of coordinated mental health and substance use rehabilitation services. Reimbursement is available for all rehabilitation services described above under covered services subject to the following:

1. Medicaid will reimburse for community mental health center rehabilitation services when provided to persons diagnosed with a mental health, substance use or co-occurring mental health and substance use disorder when provided by qualified mental health professionals listed below. Service limitations applicable to other provider types are also applicable to CMHCs.
2. Professionals qualified to provide mental health or substance use rehabilitation services in the CMHCs include:
 - Licensed Psychologist (LP)
 - Licensed Psychological Practitioner (LPP)
 - Licensed Clinical Social Worker (LCSW)
 - A psychiatric social worker with a master's degree from an accredited school
 - Licensed Professional Clinical Counselor (LPCC)
 - Licensed Marriage and Family Therapist (LMFT)
 - Psychiatrist
 - Physician
 - Licensed Professional Art Therapist (LPAT)
 - Licensed Behavior Analyst (LBA)
 - A psychiatric nurse licensed in the Commonwealth of Kentucky with one of the following combination of education and experience:
 - i. Master of Science in Nursing with a specialty in psychiatric or mental health nursing. No experience required.
 - ii. Bachelor of Science in Nursing and 1 year of experience in a mental health setting.
 - iii. A graduate of a three-year educational program with 2 years of experience in a mental health setting.
 - iv. A graduate of a two-year educational program (Associate degree) with 3 years of experience in a mental health setting.
 - Licensed Alcohol and Drug Counselor (LADC)
 - Licensed Alcohol and Drug Counselor Associates* (LADCA)

* Requires Supervision

State: Kentucky

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

8. Community Mental Health Centers (CMHC) (continued)

- A professional equivalent, employed by a CMHC as of, or prior to January 17, 2018 through education in a behavioral health field and experience in a behavioral health setting, qualified to provide behavioral health services. Professional equivalents may include practitioners obtaining experience to qualify for licensure in their behavioral health profession or individuals with a bachelor's degree or greater, with experience in behavioral health. Education and experience are as follows:
 - i. Bachelor's degree and three (3) years of full-time supervised experience.
 - ii. Master's degree and six (6) months of full-time supervised experience.
 - iii. Doctoral degree. No experience.
- The following professionals may provide services with appropriate supervision:
 - i. A behavioral health associate;
 - ii. A licensed psychological associate;
 - iii. A licensed professional counselor associate;
 - iv. A certified social worker, Master Level;
 - v. A marriage and family therapy associate;
 - vi. A physician assistant working under the supervision of a physician;
 - vii. A certified or registered Peer Support Specialist working under the supervision of a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LCSW, a LMFT, a LPCC, a LCADCA, a LADC (for substance use only), a psychiatric nurse, or a LPAT;
 - viii. A certified alcohol and drug counselor (CADC) working under the supervision of a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LCSW, a LMFT, a LPCC, LCADC, or a LPAT, with three (3) years of inpatient or outpatient clinical experience in psychiatric social work and currently employed by a hospital or forensic psychiatric facility licensed by the Commonwealth or a psychiatric unit of a general hospital or a private agency or company engaged in the provision of mental health services or a regional community mental health center;
 - ix. A community support associate who is working under the supervision of a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LCSW, a LMFT, a LPCC, a psychiatric nurse, or a LPAT;

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

8. Community Mental Health Centers (CMHC) (continued)

- x. A Licensed Professional Art Therapist Associate (LPATA);
- xi. A licensed assistant behavior analyst.
- xii. Licensed Alcohol and Drug Counselor (LADC)
- xiii. Licensed Alcohol and Drug Counselor Associate (LADCA)

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers

Qualified providers are approved practitioners, licensed or certified under state law, operating within the scope of their licensures (or under the appropriate supervision).

a. Advanced Practice Registered Nurse (APRN) – KRS 314.042 states that an applicant for licensure to practice as an advanced practice registered nurse shall:

(1) File with the board a written application for licensure and submit evidence, verified by oath, that the applicant has completed an approved organized post basic program of study and clinical experience; has fulfilled the requirements of KRS 214.615(1); is certified by a nationally established organization or agency recognized by the board to certify registered nurses for advanced practice registered nursing; and is able to understandably speak and write the English language and to read the English language with comprehension.

(a) The board may issue a license to practice advanced practice registered nursing to an applicant who holds a current active registered nurse license issued by the board or holds the privilege to practice as a registered nurse in this state and meets the qualifications of subsection (1) of this section. An APRN shall be:

i. Designated by the board as a certified nurse anesthetist, certified nurse midwife, certified nurse practitioner, or clinical nurse specialist; and

ii. Certified in a least one (1) population focus.

(b) An APRN shall maintain a current active registered nurse license issued by the board or hold the privilege to practice as a registered nurse in this state and maintain current certification by the appropriate national organization or agency recognized by the board.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (c) Before an APRN engages in the prescribing or dispensing of nonscheduled legend drugs as authorized by KRS 314.011(8), the APRN shall enter into a written "Collaborative Agreement for the Advanced Practice Registered Nurse's Prescriptive Authority for Nonscheduled Legend Drugs" (CAPA-NS) with a physician that defines the scope of the prescriptive authority for nonscheduled legend drugs.
- (d) Before an APRN engages in the prescribing of Schedules II through V controlled substances as authorized by KRS 314.011(8), the APRN shall enter into a written "Collaborative Agreement for the Advanced Practice Registered Nurse's Prescriptive Authority for Controlled Substances" (CAPA-CS) with a physician that defines the scope of the prescriptive authority for controlled substances.

b. Certified Social Worker, Master Level – KRS 335.08 states the board shall issue a license as "certified social worker" to an applicant who meets the following requirements:

- (1) Is at least eighteen years of age;
- (2) Is a person of good moral character;
- (3) Has received a master's degree or doctorate degree in social work from an educational institution approved by the board;
- (4) Has passed an examination prepared by the board; and
- (5) Has not, within the preceding three months failed to pass an examination given by the board.

A Certified Social Worker, Master Level may engage in the practice of clinical social work by contracting, in writing, with a licensed behavioral health professional who meets the requirements of the respective KY Board of Licensure for supervision of the Certified Social Worker, Masters Level. The supervising licensed behavioral health professional shall assume responsibility for and supervise the CSW's practice.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- c. Licensed Clinical Social Worker (LCSW) – KRS 335.100 states that the LCSW must:
- (1) Have received a master's degree or doctoral degree in social work from an educational institution approved by the board;
 - (2) Have had a minimum of two (2) years of full time post-master's experience, consisting of at least thirty (30) hours per week, or three (3) years of part time, consisting of at least twenty (20) hours per week, post-master's degree experience acceptable to the board in the use of specialty methods and measures to be employed in clinical social work practice, the experience having been acquired under appropriate supervision as established by the board by promulgation of an administrative regulation;
 - (3) Have paid to the board an examination fee established by the board by promulgation of an administrative regulation;
 - (4) Have passed an examination prepared by the board for this purpose; and
 - (5) Have not, within the preceding three (3) months, failed to pass an examination given by the board.
- d. Licensed Marriage and Family Therapist (LMFT) – KRS 335.330 states the LMFT has:
- (1) Completed a master's or doctoral degree program in marriage and family therapy, from a regionally-accredited educational institution, or a master's, post-master's, or doctoral program approved by the Commission on Accreditation for Marriage and Family Therapy Education or any of its successor organizations, or an equivalent course of study as defined by the board by promulgation of administrative regulations.
 - (a) The degree or equivalent course of study shall contain specific coursework on psychopathology and the Diagnostic and Statistical Manual; and
 - (b) In determining equivalency, the board shall use the criteria for marriage and family therapy education and clinical training approved by the United States Department of Education.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (2) Completed each of the following:
 - (a) At least two (2) years' experience in the practice of marriage and family therapy, acceptable to the board and subsequent to being granted a master's degree; and
 - (b) A minimum of two hundred (200) hours of clinical supervision acceptable to the board and subsequent to being granted a master's degree; and
 - (3) Passed a written examination prescribed by the board by promulgation of administrative regulations.
- e. Marriage and Family Therapist Associate (MFTA) – KRS 335.332 states the LMFT has
- (1) Completed a master's or doctoral degree program in marriage and family therapy, from a regionally-accredited educational institution, or a master's, post-master's, or doctoral program approved by the Commission on Accreditation for Marriage and Family Therapy Education or any of its successor organizations, or an equivalent course of study as defined by the board by promulgation of administrative regulations.
 - (a) The degree or equivalent course of study shall contain specific coursework on psychopathology and the Diagnostic and Statistical Manual; and
 - (b) In determining equivalency, the board shall use the criteria for marriage and family therapy education and clinical training approved by the United States Department of Education; and
 - (2) A MFTA shall engage in the practice of marriage and family therapy while receiving qualifying experience by contracting, in writing, with a licensed behavioral health professional who meets the requirements of the respective KY Board of Licensure for supervision of the MFTA. The supervising licensed behavioral health professional shall assume responsibility for and supervise the MFTA's practice.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- f. Licensed Professional Clinical Counselor – KRS 335.525 states that the board shall issue a "professional clinical counselor" license to an applicant who:
- (1) Has paid the application fee and the appropriate examination fee to the board;
 - ii. Is of good moral character;
 - (2) Has received a master's, specialist, or doctoral degree in counseling or a related field from a regionally accredited institution;
 - (3) Has completed a minimum of sixty (60) graduate semester hours in the following:
 - (a) The helping relationship, including counseling theory and practice;
 - (b) Human growth and development;
 - (c) Lifestyle and career development;
 - (d) Group dynamics, process, counseling, and consulting;
 - (e) Assessment, appraisal, and testing of individuals;
 - (f) Social and cultural foundations, including multicultural issues;
 - (g) Principles of etiology, diagnosis, treatment planning, and prevention of mental and emotional disorders and dysfunctional behavior;
 - (h) Research and evaluation; and
 - (i) Professional orientation and ethics;
 - (4) Has completed a minimum of four thousand (4,000) hours of experience in the practice of counseling, all of which must have been obtained since obtaining the master's degree and must be under approved supervision and shall include but not be limited to a minimum of one thousand six hundred (1,600) hours of direct counseling with individuals, couples, families, or groups and a minimum of one hundred (100) hours of individual, face-to-face clinical supervision with an approved supervisor.

Each applicant is encouraged to include as part of the total hours of experience a minimum of ten (10) hours of direct counseling with individuals in a jail or corrections setting. All applicants shall complete an organized practicum or internship consisting of at least four hundred (400) hours; and
 - (5) Has achieved passing scores on all portions of the examinations required by the board.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- g. Licensed Professional Counselor Associate – KRS 335.525 states that the board shall issue a "professional clinical counselor" license to an applicant who:
- (1) Has completed all requirements under paragraphs (a) to (d) as outlined under Licensed Professional Counselor above;
 - (2) Has not met the requirements of paragraphs (e) or (f) as outlined under Licensed Professional Counselor above; and
 - (3) Has obtained a board-approved supervisor of record.

A licensed professional counselor associate shall maintain ongoing supervision with a licensed behavioral health professional who meets the requirements of the respective KY Board of Licensure for supervision of the Licensed Professional Counselor Associate. The supervising licensed behavioral health professional shall assume responsibility for and supervise the LPCA's practice.

- h. Licensed Psychological Associate – KRS 319.064 states a licensed psychological associate shall:
- (1) Have received a master's degree in psychology from a regionally accredited educational institution;
 - (2) Have passed an examination procedure in psychology; and
 - (3) A licensed psychological associate shall not practice independently, except under the employment and supervision of any board-approved licensed psychologist.
- i. Licensed Psychological Practitioner – KRS 319.053 states a person holding a credential as a certified psychologist or as a licensed psychological associate may apply for a license to perform certain functions within the practice of psychology without supervision and use the title of "licensed psychological practitioner" when all of the following conditions are met:
- (1) Submission of three (3) letters of endorsement to the board to sit for the examination:
 - (a) One of the letters shall be from the applicant's current board approved supervisor of record and shall include a statement describing the scope of practice demonstrated in the clinical experience of the applicant; and
 - (b) Two letters shall be from licensed mental health professionals who are acceptable to the board and who are familiar with the clinical work of the applicant.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (2) Documentation of at least sixty (60) semester hours of graduate study in psychology or a related field or its equivalent acceptable to the board; and
 - (3) Completion, after credentialing by the board as a certified psychologist, psychological associate, or licensed psychological associate, of the equivalent of five full-time years of professional experience under the supervision of a board-approved licensed psychologist.
 - (4) An applicant for licensure shall be required by the board to pass the national objective examination known as the EPPP, with an equal to or exceeding the score required for the passage for a licensed psychologist candidate at the doctoral level at the time the examination is taken.
 - (5) The board shall require an applicant for licensure under this section to pass an examination of psychological practice, ethical principles and the law.
- j. Licensed Psychologist – Per KRS 319.050, a licensed psychologist shall pass an examination in psychology and fulfill all requirements for supervised experience.
- (1) The psychologist shall:
 - (a) Have received a doctoral degree in psychology that is acceptable to the board from a regionally accredited educational institution; provided, however, the board may grant a license to an individual otherwise qualified under this chapter who has received a doctoral degree in psychology that is acceptable to the board from an educational institution outside the United States, if the educational institution would otherwise be accredited by a regional accrediting body if located in the United States;
 - (b) Have passed the national EPPP examination at the doctoral level; and
 - (c) Have had at least two (2) years of supervised professional experience satisfactory to the board, one (1) year of which shall be an internship.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (2) Upon acceptance of the application to sit for the examination in psychology, the applicant may practice psychology under the supervision of a licensed psychologist under conditions of supervision and temporary licensure established by the board. The board shall establish a grace period not to exceed sixty (60) days to allow for the employment and supervision of the applicant by an agency from the time the applicant's degree requirements are completed to the submission of the complete application. During this period of supervision, the applicant for licensure may not supervise certified psychologists, licensed psychological associates, other applicants for licensure, or temporarily licensed persons, nor shall he engage in an independent practice, except under the employment of his supervising psychologist. Upon certification to the board of completion of the two (2) years of supervision satisfactory to the board, the applicant shall be examined on psychological practice, ethical principles, and the law.
 - (3) Licensed psychologists may function independently without supervision. Licensed psychologists who have the designation "health service provider" may retain that designation and may employ and supervise certified psychologists and licensed psychological associates. Licensed psychologists who have the designation "health service provider" may supervise no more than a total of six (6) certified psychologists, licensed psychological associates, or applicants for licensure at one (1) time.
- k. Physician – KRS 311.571 states that any applicant who is a graduate of a medical or osteopathic school shall be eligible for a regular license to practice medicine in the Commonwealth if they:
- (1) Are able to understandably speak, read, and write the English language;
 - (2) Has graduated from an accredited college or university or has satisfactorily completed a collegiate course of study necessary for entry into an approved medical or osteopathic school or college;
 - (3) Has graduated from a prescribed course of instruction in a medical or osteopathic school or college situated in the United States or Canada and approved by the board;
 - (4) Has satisfactorily completed a prescribed course of postgraduate training of a duration to be established by the board in an administrative regulation; and
 - (5) Has successfully completed an examination prescribed by the board.

State: Kentucky

13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- l. Physician Assistant – Has graduated from a physician assistant or surgeon assistant program accredited by the Accreditation Review Commission on Education for Physician Assistants or its predecessor or successor agencies and has passed the certifying examination administered by the National Commission on Certification of Physician Assistants or its predecessor or successor agencies; or Possesses a current physician assistant certificate issued by the board prior to July 15, 2002.
- m. Psychiatrist – Licensed under the laws of Kentucky to practice medicine or osteopathy, or a medical officer of the government of the United States while engaged in the performance of official duties, who is certified or eligible to apply for certification by the American Board of Psychiatry and Neurology, Inc.
- n. KY Credentialed Peer Support Specialist – Kentucky regulation states that an applicant shall:
 - (1) Be eighteen (18) years of age or older;
 - (2) Have personal or family lived experience with mental health diagnosis and treatment (as specified by relevant peer support regulation);
 - (3) Have attained a high school diploma or general equivalency diploma (GED) certificate;
 - (3) Complete the relevant DBHDID peer specialist training program(s);
 - (4) Successfully complete the DBHDID peer specialist examination(s);
 - (5) Complete and maintain documentation of a minimum of six (6) hours of job related training or education in each subsequent year of employment; and
 - (6) Deliver services working under the supervision of a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LPA, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a CADC, a LPAT, or a LPATA.
 - (7) Demonstrated the capacity to provide the core elements of peer support services for the behavioral health population being served, including the age range of the population being served.
- o. Licensed Alcohol and Drug Counselor (LADC): KRS 309.830 states that a Licensed Alcohol and Drug Counselor shall:
 - (1) Be at least eighteen (18) years of age.
 - (2) Have obtained from a regionally accredited college or university, or a college or university accredited by an agency recognized by the United States Department of Education, a thirty (30) hour master's degree in a human services field with clinical application.
 - (3) Meet all education, work experience, and supervision requirements of the International Certification and Reciprocity Consortium for the Alcohol and Drug Counselor, with the required supervision hours being under the direct supervision of: (a) A licensed alcohol and drug counselor who has at least two (2) years of post-licensure experience and has attended the board-sponsored supervision training; or (b) A licensed clinical alcohol and drug counselor who has at least twelve (12) months of post-licensure experience or who has attended the board-sponsored supervision training.
 - (4) Have passed a written examination that has been approved by the International Certification and Reciprocity Consortium.
 - (5) Live or work at least a majority of the time in Kentucky.
- p. Certified Alcohol and Drug Counselor (CADC): KRS 309.083 states that a Certified Alcohol and Drug Counselor shall:
 - (1) Be at least eighteen (18) years of age;
 - (2) Have obtained a baccalaureate degree;
 - (3) Have completed six thousand (6,000) hours of board-approved experience working with alcohol or drug dependent persons, three hundred (300) hours of which shall have been under the direct supervision of a certified alcohol and drug counselor who has at least two (2) years of post-certification experience; (4) Have completed at least two hundred seventy (270) classroom hours of board-approved curriculum;
 - (4) Have passed a written examination that has been approved by the International Certification Reciprocity Consortium on Alcoholism and Drug Abuse and an oral examination approved by the board;

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (5) Have signed an agreement to abide by the standards of practice and code of ethics approved by the board;
 - (6) Have completed at least six (6) hours of ethics training and two (2) hours of training in the transmission, control, treatment, and prevention of the human immunodeficiency virus;
 - (7) Have completed three (3) hours of domestic violence training;
 - (8) Have submitted two (2) letters of reference from certified alcohol and drug counselors; and
 - (9) Work under the supervision of a physician, a psychiatrist, a LP, a LPP, a LPA working under the supervision of a LP, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a LPAT, or a LPATA with three (3) years of inpatient or outpatient clinical experience in psychiatric social work and currently employed by a hospital or forensic psychiatric facility licensed by the Commonwealth or a psychiatric unit of a general hospital or a private agency or company engaged in the provision of mental health services or a regional community mental health center.
- p. Licensed clinical alcohol and drug counselor – KRS 309.0832 states that a licensed clinical alcohol and drug counselor shall:
- (1) Be at least eighteen (18) years of age;
 - (2) Have obtained from a regionally accredited college or university or a college or university accredited by an agency recognized by the United States Department of Education:
 - (a) A sixty (60) hour master's degree in a behavioral science with clinical application;
 - (b) A thirty (30) hour advanced placement master's degree in a behavioral science with clinical application; or
 - (c) A doctoral degree in a behavioral science with clinical application;
 - (3) Have completed at least one hundred eighty (180) classroom hours of alcohol and drug counselor specific board-approved curriculum;
 - (4) Have passed a written examination as specified by the board in administrative regulation;
 - (5) Have signed an agreement to abide by the standards of practice and code of ethics approved by the board;

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (6) Have completed at least six (6) hours of ethics training; three (3) hours of domestic violence training; and two (2) hours training in the transmission, control, treatment, and prevention of the human immunodeficiency virus, in addition to the educational requirements in subsection (2) of this section;
 - (7) Have submitted two (2) letters of reference from certified alcohol and drug counselors or licensed clinical alcohol and drug counselors;
 - (8) Live or work at least a majority of the time in Kentucky;
 - (9) Have complied with the requirements for the training program in suicide assessment, treatment, and management in KRS 210.366 and any administrative regulations promulgated thereunder; and
 - (10) Have completed two thousand (2,000) hours of board-approved experience working with persons having a substance use disorder, three hundred (300) hours of which shall have been under the direct supervision of a licensed clinical alcohol and drug counselor.
- q. Licensed clinical alcohol and drug counselor associate – KRS 309.0833 states that a licensed clinical alcohol and drug counselor associate shall:
- (1) An applicant for licensure as a licensed clinical alcohol and drug counselor associate shall:
 - (a) Pay the board the initial fee for licensure;
 - (b) Complete the requirements under KRS 309.0832(1) to (9) [as indicated above for the Licensed clinical alcohol and drug counselor]; and
 - (c) Obtain a board-approved supervisor of record.
 - (2) Upon completion of the hours of board-approved experience specified in KRS 309.0832(10), a licensed clinical alcohol and drug counselor associate may apply to the board for licensure as a licensed clinical alcohol and drug counselor.
- r. Community Support Associate: 908 KAR 2:250 states that a Community Support Associate shall:
- (1) Be eighteen (18) years of age or older;
 - (2) Have a high school diploma, a general equivalency diploma (GED), or qualifying documentation from a comparable educational entity;
 - (3) Have one (1) year of full-time experience working with individuals who receive services for treatment of a mental health disorder; and

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- (4) Successfully complete within six (6) months of hire a training program approved by the Department for Behavioral Health, Developmental and Intellectual Disabilities (BHDID) described in Section 3 of 908 KAR 2:250 and
 - (5) Work under the supervision of a physician, a psychiatrist, an APRN, a PA, a LP, a LPP, a LPA working under the supervision of a LP, a LCSW, a LMFT, a LPCC, a CSW, a MFTA, a LPCA, a CADC, a LPAT, a LPATA, or a LBA; and
 - (6) Meet face-to-face no less than one (1) time every two (2) weeks with his or her supervisor as described in paragraph (e) of this subsection.
- s. Licensed Professional Art Therapist: KRS 309.130 states that a Licensed Professional Art Therapist shall:
- (1) Have completed a master's or doctoral degree program in art therapy, or an equivalent course of study, from an accredited educational institution; and
 - (2) Have been issued a license by the board for the independent practice of art therapy.
- t. Licensed Professional Art Therapist Associate: KRS 309.130 states that a Licensed Professional Art Therapist Associate shall:
- (1) Have completed a master's or doctoral degree program in art therapy, or an equivalent course of study, from an accredited educational institution; and
 - (2) Have been issued a license by the board to practice art therapy under an approved clinical supervisor authorized by the board.
- u. Licensed Behavior Analyst: KRS 319C.080 states that a Licensed Behavior Analyst shall:
- (1) Have met the education requirements of the Board Certified Behavior Analyst (BCBA) standards, has passed the BCBA examination, and is credentialed as a behavior analyst by the certification board;
 - (2) Maintain active status and fulfill all requirements for renewal and recertification with the certification board as a Board Certified Behavior Analyst (BCBA);
 - (3) Conduct his or her professional activities in accordance with accepted standards as required by administrative regulations promulgated by the board in accordance with KRS 319C.050(1); and
 - (4) Comply with all applicable administrative regulations promulgated by the board.

13. Other diagnostic, screening, preventive and rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- v. Licensed Assistant Behavior Analyst: KRS 319C.080 states that a Licensed Assistant Behavior Analyst shall:
- (1) Have met the education requirements of the Board Certified Assistant Behavior Analyst (BCaBA) standards, has passed the BCaBA examination, and is credentialed as an assistant behavior analyst by the certification board;
 - (2) Maintain active status and fulfills all requirements for renewal and recertification with the certification board as a Board Certified Assistant Behavior Analyst;
 - (3) Conduct his professional activities in accordance with accepted standards as required by administrative regulations promulgated by the board in accordance with KRS 319C.050(1);
 - (4) Comply with all applicable administrative regulations promulgated by the board; and
 - (5) Be supervised by a certified behavior analyst in a manner consistent with the certification board requirements for supervision of Board Certified Assistant Behavior Analysts.

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13. Other diagnostic, screening, preventive and, rehabilitative services, i.e., other than those provided elsewhere in this plan.

13d. Rehabilitative Services

A. Treatment Services for Substance Use Disorders and Mental Health Disorders

9. Qualifications of Providers (continued)

- w. Licensed Assistant Behavior Analyst: KRS 319C.080 states that a Licensed Assistant Behavior Analyst shall:
- (1) Have met the education requirements of the Board Certified Assistant Behavior Analyst (BCaBA) standards, has passed the BCaBA examination, and is credentialed as an assistant behavior analyst by the certification board;
 - (2) Maintain active status and fulfills all requirements for renewal and recertification with the certification board as a Board Certified Assistant Behavior Analyst;
 - (3) Conduct his professional activities in accordance with accepted standards as required by administrative regulations promulgated by the board in accordance with KRS 319C.050(1);
 - (4) Comply with all applicable administrative regulations promulgated by the board; and
 - (5) Be supervised by a certified behavior analyst in a manner consistent with the certification board requirements for supervision of Board Certified Assistant Behavior Analysts.
- x. Registered Behavioral Technician (RBT) shall meet the following requirements provided by the Behavior Analyst Certification Board (BACB):
- (1) Be at least 18 years of age
 - (2) Have a minimum educational requirement of a high school diploma or the equivalent
 - (3) Complete a 40-hour training program approved by the Behavior Analyst Certification Board conducted by BACB certificants.
 - (4) Pass the Registered Behavioral Technician Competency Assessment administered by a BACB certificant.
 - (5) Pass the Registered Behavioral Technician exam provided by the Behavior Analyst Certification Board
- y. Certified Psychologist with autonomous functioning: KRS 319.056 states a Certified Psychologist with autonomous functioning shall be:
- (1) A person currently authorized to use the title "certified psychologist with autonomous functioning" may continue to function with that title or may choose to permanently change this title to "licensed psychological practitioner" and notify the board of this choice. The board shall issue a license at the time of renewal to the credential holder with the title of choice.
- z. Behavioral Health Associate shall meet the following requirements:
An individual with a minimum of a Bachelor of Arts or Sciences degree in a human service field who is matriculating towards a master's degree or working toward a specialized credential in the field of mental health or substance use disorder.