

### KENTUCKY CABINET FOR HEALTH AND FAMILY SERVICES

### Rate Study Work Group Meeting May 23, 2022



## Agenda

- 1. Recap Key Tasks and Timeframes
- 2. Review Key Updates from Prior Workgroup Meeting
- 3. Provider Cost & Wage Survey Updates
- 4. Wage Data Sources
- 5. Bureau of Labor Statistics (BLS) Occupations
- 6. Benefits Factor Overview
- 7. Next Steps



## Key Tasks and Estimated Timeline

#### **Pre-Planning**

- Conduct background research
- Develop survey approach
- •Determination of services included in rate study
- Updating Appendix K for additional short-term provider relief

#### **April - June**

- Issue provider cost and wage survey
- Analyze and present provider survey results

#### **September - November**

- •Obtain DMS and legislative approval on rates
- Finalize rate documentation
- Public comment process













#### March

- Plan and implement stakeholder engagement activities
- Review draft provider cost and wage survey with workgroup
- Finalize provider cost and wage survey

#### July - August

- •Rate development process
- DMS approval of draft rates

#### **December**

 Submit waiver amendment to CMS (begin CMS 90-day review period)



# Incorporating Workgroup Feedback: Sample Rate Model Components By Service

|                      |                                |                         |  |                                | Service-                      | Related Transp                  | ortation / Mil    | eage                      |
|----------------------|--------------------------------|-------------------------|--|--------------------------------|-------------------------------|---------------------------------|-------------------|---------------------------|
| Service Category     | Baseline<br>Program<br>Support | Occupancy<br>Adjustment | Supplies<br>(including<br>non-R&B<br>food) | Facility<br>Costs<br>(non-R&B) | Participant<br>Transportation | Direct Care<br>Worker<br>Travel | Delivery<br>Costs | Other Trip<br>Adjustments |
| Home-Based Services  | X                              |                         |  |                                |                               | Х                               |                   |                           |
| Day Services         | X                              | X                       | X  | X                              | X                             |                                 |                   |                           |
| Behavioral Services  | X                              |                         |  |                                |                               | X                               |                   |                           |
| Nursing              | X                              |                         |  |                                |                               | X                               |                   |                           |
| Residential Services | X                              | X                       | X  |                                | X                             |                                 |                   |                           |
| Case Management      | X                              |                         |  |                                |                               | X                               |                   |                           |
| Financial Management | X                              |                         |  |                                |                               |                                 |                   |                           |
| Supported Employment | X                              |                         |  |                                |                               | X                               |                   |                           |
| Home-Delivered Meals | X                              |                         | X  | X                              |                               |                                 | X                 |                           |
| Transportation       |                                |                         |  |                                |                               | X                               |                   | X                         |

# PROVIDER COST & WAGE SURVEY UPDATES



## HCBS Provider Survey – Overview

- Survey was available for 4 weeks (April 7 May 6)
- There were **188** survey submissions (representing 305 provider IDs or a 64% response rate)
  - Previous Kentucky rate study had 107 submissions (represents a 75% increase thank you to you all for your efforts!)
- Training & Technical Assistance included:
  - Provider survey training sessions on April 12th and April 13th
  - Held an "office hours" session on May 3rd
  - Posted 4 versions of a weekly Frequently Asked Questions (FAQ)
  - Responded to 31 questions submitted via the survey inbox



# Survey Submissions by Waiver

| Waiver             | Total 1915(c) Waiver<br>Providers | Number of Providers who<br>Submitted Surveys | % of Provider Population |
|--------------------|-----------------------------------|--|--------------------------|
| ABI                | 51                                | 26   | 51%                      |
| ABI-LTC            | 60                                | 29   | 48%                      |
| НСВ                | 135                               | 51   | 38%                      |
| MPW                | 261                               | 137  | 52%                      |
| MIIW               | 9                                 | 1  | 11%                      |
| SCL                | 257                               | 131  | 51%                      |
| Total (unique IDs) | 479                               | 305  | 64%                      |

#### Overall response rate of 64% is higher than anticipated

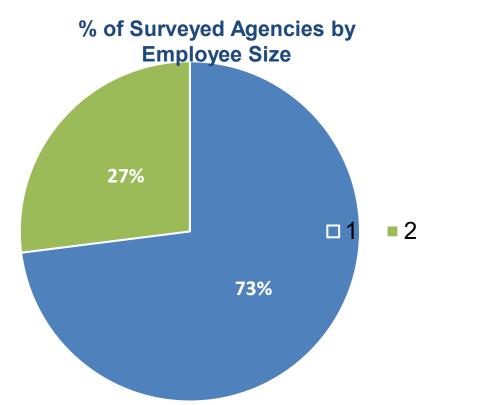
- Guidehouse has observed a response rate of 20-30% as typical for these surveys because of the length and complexity, that response rate has been sufficient to submit rates for CMS approval
- Thank you to the RSWG for encouraging peers to complete and submit the survey

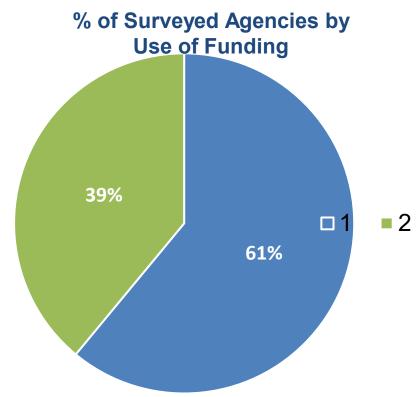
Provider numbers are defined by counts of Provider IDs.

Totals do not align with survey submissions since providers could select multiple waivers, providers could fill out the survey for multiple provider IDs, or providers left items blank.



## Provider Demographics





- Most providers are categorically considered small employers
  - Large employer are defined as providers with 50 or more employees; Small employers are defined as providers with less than 50 employees
- For-profit providers made up the majority of survey respondents



# Top 10 Services Across all Waivers by Number of Surveys

| Service                      |                   |
|------------------------------|-------------------|
| OCI VICE                     | Number of Surveys |
| Case Management              | 119               |
| Respite                      | 103               |
| Supported Employment         | 79                |
| Adult Day Training           | 73                |
| Day Training                 | 73                |
| Community Living Supports    | 70                |
| Residential Support Level I  | 59                |
| Residential Support Level II | 53                |
| Personal Assistance          | 45                |
| Behavior Support Service     | 39                |

Are there any responses that strike you as surprising?

Totals do not align with survey submissions since providers could select multiple services.



# Bottom 10 Services Across all Waivers by Number of Surveys

| Service   | Number of Surveys |
|---|-------------------|
| Specialized Respite Level II                            | 5                 |
| Community Guide   | 4                 |
| Companion   | 4                 |
| Home and Community Supports                             | 3                 |
| Personal Care   | 2                 |
| Supervised Residential Care Level III                   | 2                 |
| Skilled Services Provided by a Registered Nurse         | 2                 |
| Skilled Services Provided by a Licensed Practical Nurse | 1                 |
| Nursing Supports  | 1                 |
| Technology Assisted Residential                         | 1                 |

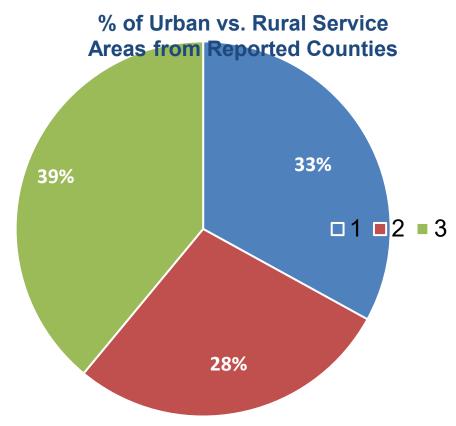
### Are there any responses that strike you as surprising?

Totals do not align with survey submissions since providers could select multiple services. Does not include services with no survey responses.



### **Urban and Rural Providers**

- Most agencies serve a mixture of urban and rural counties.
- Survey responses reflect an even distribution of urban and rural providers.
- For analytical purposes, suburban is based on the "outlying" definition from the Census



#### **Outlying County**

A county qualifies as outlying under the following circumstances: (1) one-quarter or more of the employed residents work in the central counties of the metropolitan or micropolitan statistical area, or (2) one-quarter or more of the employment is composed of workers who live in the central counties. Furthermore, outlying counties also include the counties of any smaller metropolitan or micropolitan statistical area and merge with it.



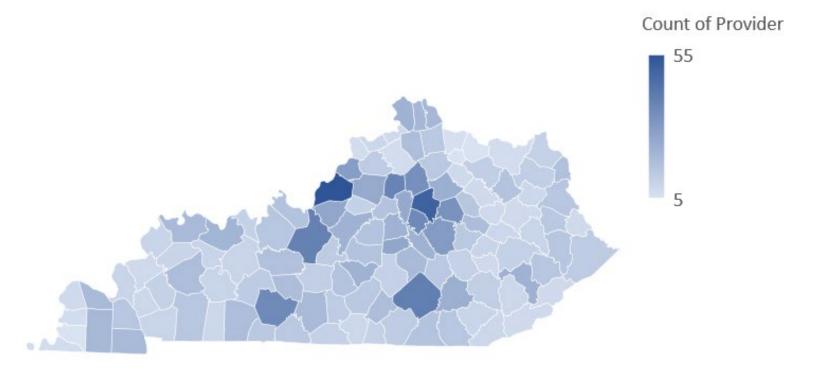
### Geographic Distribution

- All 120 counties in the State are covered by the providers who responded to the survey
  - We have full geographic coverage to account for where regionalized differences in cost may or may not exist
- Providers are concentrated in urban areas and the concentration of providers who responded to the survey aligns with the distribution of the population



# Geographic Distribution (continued)

- Provider survey response patterns largely follow Kentucky's broader population distributions.
- The shading of blue on the map indicates the number of surveyed providers who offer services in each county.\*





<sup>\*</sup>Duplicated providers shown. Providers were included in each county they serve.

### **WAGE DATA SOURCES**



## Wages – Data Sources

Hourly wages for direct care workers and supervisors serve as the baseline for the rate model build-up. DMS will consider wage data from both the provider cost and wage survey and the Bureau of Labor Statistics (BLS).

#### **Advantages**

#### Bureau of Labor Statistics (BLS)

- Uses "gold-standard data" which is objective and accurate
- Uses consistent methodology for data gathering
- Able to serve as a broader benchmark / representative of general population

### Provider Cost & Wage Survey

- Captures total picture of wages, including overtime and supplemental pay
- Data is specific to the state and program
- · Captures close to "real time" data

#### **Disadvantages**

- Not every category in HCBS data is a one-to-one match with HCBS services
- Lag in updated data; requires inflation to make it current

- Reported data has varying reliability / some providers are not sure how to report the information
- Can be a "circular reference" as existing rates impact existing wages



BLS has Kentucky-specific wage data across hundreds of job types. BLS' Standard Occupational Classification (SOC) Manual defines all occupations.

DMS initially selected BLS occupations that have high alignment with the provider types involved in the delivery of HCBS.

Some of those provider types directly align with BLS job classifications. Other provider types may not directly align with BLS job classifications and require input from workgroup members.





| Job Type                             | E       | BLS Occupation                              | Description  | Example<br>Services                                       |
|--------------------------------------|---------|---|--|---|
| Behavioral<br>Support                | 19-3039 | Psychologists, All<br>Other                 | All psychologists not listed separately. Illustrative examples: Forensic Psychologist, Social Psychologist, Sports Psychologist  | Behavior<br>Programming,<br>Behavioral                    |
| Specialist/<br>Behavioral<br>Analyst | 19-3033 | Clinical and<br>Counseling<br>Psychologists | Assess, diagnose, and treat mental and emotional disorders of individuals through observation, interview, and psychological tests. Help individuals with distress or maladjustment understand their problems through their knowledge of case history, interviews with patients, and theory. Provide individual or group counseling services to assist individuals in achieving more effective personal, social, educational, and vocational development and adjustment. May design behavior modification programs and consult with medical personnel regarding the best treatment for patients. Excludes "Psychiatrists" (29-1223).  Illustrative examples: Eating Disorder Psychologists, Geropsychologists | Support Services, Consultative, Clinical, and Therapeutic |



| Job Type             | BLS Occupation |   | BLS Occupation Description  |                    |
|----------------------|----------------|---|---|--------------------|
| Case<br>Manager<br>/ | 21-1099        | Community and Social<br>Service Specialists, All<br>Other | All community and social service specialists not listed separately. Illustrative examples: Community Organization Worker, Veterans Service Officer  | Case<br>Management |
| Care<br>Coordinator  | 31-9099        | Healthcare Support<br>Workers, All Other                  | All healthcare support workers not listed separately. Illustrative examples: Ortho/Prosthetic Aide  |                    |
| Support<br>Broker    | 21-0000        | Community and Social<br>Services Occupations              | Community and Social Service Occupations comprises the following occupations: Counselors, All Other; Marriage and Family Therapists; Rehabilitation Counselors; Educational, Guidance, and Career Counselors and Advisors; Substance Abuse, Behavioral Disorder, and Mental Health Counselors; Social Workers, All Other; Mental Health and Substance Abuse Social Workers; Healthcare Social Workers; Child, Family, and School Social Workers; Community and Social Service Specialists, All Other; Health Education Specialists; Community Health Workers; Probation Officers and Correctional Treatment Specialists; Social and Human Service Assistants; Clergy; Directors, Religious Activities and Education; Religious Workers, All Other |                    |
|                      | 21-1022        | Healthcare Social<br>Workers                              | Provide individuals, families, and groups with the psychosocial support needed to cope with chronic, acute, or terminal illnesses. Services include advising family caregivers. Provide patients with information and counseling, and make referrals for other services. May also provide case and care management or interventions designed to promote health, prevent disease, and address barriers to access to healthcare.  Illustrative examples: Hospice Social Worker, Oncology Social Worker, Public Health Social Worker   |                    |



| Job Type                                   | E       | BLS Occupation                         | Description   | Example<br>Services  |
|--|---------|--|---|--|
| Direct<br>Support<br>Professional<br>(DSP) | 31-1120 | Home Health and<br>Personal Care Aides | This occupation includes the 2018 SOC occupations 31-1121 Home Health Aides and 31-1122 Personal Care Aides.  31-1121 Home Health Aides  Monitor the health status of an individual with disabilities or illness, and address their health related needs, such as changing bandages, dressing wounds, or administering medication. Work is performed under the direction of offsite or intermittent onsite licensed nursing staff. Provide assistance with routine healthcare tasks or activities of daily living, such as feeding, bathing, toileting, or ambulation. May also help with tasks such as preparing meals, doing light housekeeping, and doing laundry depending on the patient's abilities. Illustrative examples: Home Health Attendant, Home Hospice Aide  31-1122 Personal Care Aides  Provide personalized assistance to individuals with disabilities or illness who require help with personal care and activities of daily living support (e.g., feeding, bathing, dressing, grooming, toileting, and ambulation). May also provide help with tasks such as preparing meals, doing light housekeeping, and doing laundry. Work is performed in various settings depending on the needs of the care recipient and may include locations such as their home, place of work, out in the community, or at a daytime nonresidential facility. Illustrative examples: Blind Escort, Elderly Companion, Geriatric Personal Care Aide | Community Living Supports, Companion, Homemaker, Personal Care, Respite and Non- Specialized Respite, Adult Day Health, Adult Day Training, Residential Support, Supervised Residential Care |
|  | 31-1131 | Nursing Assistants                     | Provide or assist with basic care or support under the direction of onsite licensed nursing staff. Perform duties such as monitoring of health status, feeding, bathing, dressing, grooming, toileting, or ambulation of patients in a health or nursing facility. May include medication administration and other health-related tasks. Includes nursing care attendants, nursing aides, and nursing attendants. Excludes "Home Health Aides" (31-1121), "Personal Care Aides" (31-1122), "Orderlies" (31-1132), and "Psychiatric Aides" (31-1133). Illustrative examples: Certified Nurse Aide, Certified Nursing Assistant, Nursing Care Attendant   |  |



| Job Type             | E       | BLS Occupation                    | Description   | Example<br>Services                 |
|----------------------|---------|-----------------------------------|---|-------------------------------------|
| Financial<br>Manager | 43-3051 | Payroll and<br>Timekeeping Clerks | Compile and record employee time and payroll data. May compute employees' time worked, production, and commission. May compute and post wages and deductions, or prepare paychecks. Excludes "Bookkeeping, Accounting, and Auditing Clerks" (43-3031).  Illustrative examples: Time and Attendance Clerk, Timekeeper    | Financial<br>Management<br>Services |
|                      | 43-3021 | Billing and Posting<br>Clerk      | Compile, compute, and record billing, accounting, statistical, and other numerical data for billing purposes. Prepare billing invoices for services rendered or for delivery or shipment of goods. Excludes "Medical Records Specialists" (29-2072).  Illustrative examples: Invoice Control Clerk, Statement Processor |                                     |



| Job Type                        | BLS Occupation |  | Description   | Example<br>Services                           |
|---------------------------------|----------------|--|---|---|
| Chef/Cook                       | 35-2012        | Cooks, Institution and Cafeteria                       | Prepare and cook large quantities of food for institutions, such as schools, hospitals, or cafeterias. Illustrative examples: Camp Cook, Galley Cook, Mess Cook, School Cook  | Home-Delivered<br>Meals                       |
| Dietician                       | 29-1031        | Dietitians and<br>Nutritionists                        | Plan and conduct food service or nutritional programs to assist in the promotion of health and control of disease. May supervise activities of a department providing quantity food services, counsel individuals, or conduct nutritional research.  Illustrative examples: Clinical Dietitian, Pediatric Dietician, Public Health Nutritionist   | Consultative,<br>Clinical, and<br>Therapeutic |
| Driver                          | 53-3053        | Shuttle Drivers and<br>Chauffeurs                      | Drive a motor vehicle to transport passengers on a planned or scheduled basis. May collect a fare. Includes nonemergency medical transporters and hearse drivers. Excludes "Ambulance Drivers and Attendants, Except Emergency Medical Technicians" (53-3011) and "Taxi Drivers" (53-3054). Illustrative examples: Airport Shuttle Driver, Courtesy Van Driver, Hotel Shuttle Driver, Nonemergency Medical Transporter  | Transportation                                |
| Food Prep<br>Worker /<br>Server | 35-0000        | Food Preparation and<br>Serving Related<br>Occupations | Food Preparation and Serving Related Occupations comprises the following occupations: Chefs and Head Cooks; First-Line Supervisors of Food Preparation and Serving Workers; Cooks, All Other; Cooks, Private Household; Cooks, Short Order; Cooks, Institution and Cafeteria; Cooks, Fast Food; Cooks, Restaurant; Food Preparation Workers; Bartenders; Fast Food and Counter Workers; Waiters and Waitresses; Food Servers, Nonrestaurant; Dining Room and Cafeteria Attendants and Bartender Helpers; Dishwashers; Hosts and Hostesses, Restaurant, Lounge, and Coffee Shop; Food Preparation and Serving Related Workers, All Other | Home-Delivered<br>Meals                       |



| Job Type                                   | BLS Occupation |   | Description   | Example<br>Services     |
|--|----------------|---|---|-------------------------|
| Certified<br>Nursing<br>Assistant<br>(CNA) | 31-1131        | Nursing Assistants                                      | Provide or assist with basic care or support under the direction of onsite licensed nursing staff. Perform duties such as monitoring of health status, feeding, bathing, dressing, grooming, toileting, or ambulation of patients in a health or nursing facility. May include medication administration and other health-related tasks. Includes nursing care attendants, nursing aides, and nursing attendants. Excludes "Home Health Aides" (31-1121), "Personal Care Aides" (31-1122), "Orderlies" (31-1132), and "Psychiatric Aides" (31-1133). Illustrative examples: Certified Nurse Aide, Certified Nursing Assistant, Nursing Care Attendant | N/A                     |
| Licensed<br>Practical<br>Nurse<br>(LPN)    | 29-2061        | Licensed Practical and<br>Licensed Vocational<br>Nurses | Care for ill, injured, or convalescing patients or persons with disabilities in hospitals, nursing homes, clinics, private homes, group homes, and similar institutions. May work under the supervision of a registered nurse. Licensing required.  Illustrative examples: LPN, LVN, Pediatric Licensed Practical Nurse   | Skilled Services<br>LPN |
| Registered<br>Nurse (RN)                   | 29-1141        | Registered Nurses                                       | Assess patient health problems and needs, develop and implement nursing care plans, and maintain medical records. Administer nursing care to ill, injured, convalescent, or disabled patients. May advise patients on health maintenance and disease prevention or provide case management. Licensing or registration required. Includes Clinical Nurse Specialists. Excludes "Nurse Anesthetists" (29-1151), "Nurse Midwives" (29-1161), and "Nurse Practitioners" (29-1171). Illustrative examples: Coronary Care Unit Nurse, Hospice Registered Nurse, Psychiatric Nurse   | Skilled Services<br>RN  |



| Job Type                              |             | BLS Occupation               | Description   | Example<br>Services  |
|---------------------------------------|-------------|------------------------------|---|--|
| Employment<br>Specialist              | 21-<br>1015 | Rehabilitation<br>Counselors | Counsel individuals to maximize the independence and employability of persons coping with personal, social, and vocational difficulties that result from birth defects, illness, disease, accidents, aging, or the stress of daily life. Coordinate activities for residents of care and treatment facilities. Assess client needs and design and implement rehabilitation programs that may include personal and vocational counseling, training, and job placement. Excludes "Occupational Therapists" (29-1122).  Illustrative examples: Psychosocial Rehabilitation Counselor, Veterans Rehabilitation Counselor, Vocational Rehabilitation Job Coach | Supported<br>Employment  |
| Licensed<br>Clinical Social<br>Worker | 19-<br>3039 | Psychologists, All<br>Other  | All psychologists not listed separately. Illustrative examples: Forensic Psychologist, Social Psychologist, Sports Psychologist   | Counseling -<br>Individual/Group,<br>Positive Behavior<br>Supports |
| Psychiatrist                          | 29-<br>1223 | Psychiatrists                | Diagnose, treat, and help prevent mental disorders. Excludes "Clinical and Counseling Psychologists" (19-3033) and "School Psychologists" (19-3034). Illustrative examples: Addiction Psychiatrist, Forensic Psychiatrist, Geriatric Psychiatrist, Neuropsychiatrist  | N/A  |
| Psychologist                          | 19-<br>3039 | Psychologists, All<br>Other  | All psychologists not listed separately. Illustrative examples: Forensic Psychologist, Social Psychologist, Sports Psychologist   | Counseling -<br>Individual/Group,<br>Positive Behavior<br>Supports |



| Job Type                    | BLS Occupation |                              | Description   | Example<br>Services    |
|-----------------------------|----------------|------------------------------|---|------------------------|
| Respiratory<br>Therapist    | 29-1126        | Respiratory Therapists       | Assess, treat, and care for patients with breathing disorders. Assume primary responsibility for all respiratory care modalities, including the supervision of respiratory therapy technicians. Initiate and conduct therapeutic procedures; maintain patient records; and select, assemble, check, and operate equipment.  Illustrative examples: Inhalation Therapist, Oxygen Therapist, Registered Respiratory Therapist | Skilled Services<br>RT |
| Social<br>Worker<br>(Other) | 21-1029        | Social Workers, All<br>Other | All social workers not listed separately. Illustrative examples: Adult Protective Service Social Worker, Criminal Justice Social Worker, Forensic Social Worker, Sexual Assault Social Worker   | N/A                    |



| Job Type                     | BLS Occ | upation                      | Description   | Example<br>Services |
|------------------------------|---------|------------------------------|---|---------------------|
| Home<br>Health<br>Aide (HHA) | -       | Health and<br>nal Care Aides | This occupation includes the 2018 SOC occupations 31-1121 Home Health Aides and 31-1122 Personal Care Aides.  31-1121 Home Health Aides  Monitor the health status of an individual with disabilities or illness, and address their health related needs, such as changing bandages, dressing wounds, or administering medication. Work is performed under the direction of offsite or intermittent onsite licensed nursing staff. Provide assistance with routine healthcare tasks or activities of daily living, such as feeding, bathing, toileting, or ambulation. May also help with tasks such as preparing meals, doing light housekeeping, and doing laundry depending on the patient's abilities.  Illustrative examples: Home Health Attendant, Home Hospice Aide  31-1122 Personal Care Aides  Provide personalized assistance to individuals with disabilities or illness who require help with personal care and activities of daily living support (e.g., feeding, bathing, dressing, grooming, toileting, and ambulation). May also provide help with tasks such as preparing meals, doing light housekeeping, and doing laundry. Work is performed in various settings depending on the needs of the care recipient and may include locations such as their home, place of work, out in the community, or at a daytime nonresidential facility.  Illustrative examples: Blind Escort, Elderly Companion, Geriatric Personal Care Aide | Attendant Care      |



# MODEL COMPONENTS: BENEFITS FACTOR OVERVIEW



# Setting a Competitive Benefits Package

Our assumptions for employee-related expenses (ERE) look at what a provider should be able to offer as a competitive benefits package.

- ERE, or fringe benefits, are costs to the provider beyond wages and salaries, such as unemployment taxes, health insurance, and paid time off (PTO). These fall into three distinct categories of benefits:
  - Legally Required Benefits including federal and state unemployment taxes, federal insurance contributions to Social Security and Medicare, and workers' compensation.
  - Paid Time Off including holidays, sick days, vacation days, and personal days.
  - Other Components of ERE including health, dental, and vision insurance and retirement.
- Not all providers who responded to the provider cost & wage survey have historically offered a
  "full" or competitive benefits package. However, our preliminary data includes information as to
  what benefits providers are currently offering.

Goal: Understand which benefits providers are offering and contributing to for their staff.



### **ERE Calculations**

 Calculating ERE requires analysis of the various benefits available to employees in the state based on cost survey, provider survey, and market data.

Inputs include the average cost of benefits, adjusted using take-up rate and part-time adjustment factor as appropriate:

### **Example calculation for health, dental, and vision insurance ERE components:**

Average Cost of Insurance

X

Insurance Take-Up Rate X

Part-Time Adjustment Factor

Annual Wage

## Example calculation for the retirement ERE component:<sup>1</sup>

Annual X Percent of X Up Rate X Adjustment

Wage Wages Y Part-Time

Annual X Percent of X Up Rate Factor

**Annual Wage** 

1. Legally required benefits are calculated similarly, but the benefit take-up rate is excluded since these benefits must be offered to employees per state and federal statutes.



# Employee-Related Expenses (ERE)

ERE is calculated as a percentage of wages

 The three components of ERE (Legally Required Benefits, Paid Time Off Benefits, and Other Benefits) are added together to determine total ERE.

| Calculation Components    |               | SAMPLE<br>Data  |                               |  |
|---------------------------|---------------|-----------------|-------------------------------|--|
| Hourly Wage               | Α             | \$12.00         |                               |  |
| Annual Wages – FY2022     | B = A * 2080  | \$24,960        |                               |  |
| Legally Required Benefits | С             | \$2,945 (11.8%) | Shown as                      |  |
| Paid Time Off Benefits    | D             | \$1,922 (7.7%)  | percentage and                |  |
| Other Benefits            | E             | \$3,145 (12.6%) | dollar portion of annual wage |  |
| Total ERE per DSP         | F = C + D + E | \$8,012 (32.1%) |                               |  |
| Hourly Wage with ERE      | G = (1+F) * A | \$15.85         | Using Total ERE Percentage    |  |



# Topics for Upcoming Meetings

#### MAR

#### Survey Review and Provider Communication Approach

- Review draft rate surves and provide input
- Additional education on external data use vs. new data needs
- Communication approach

#### APR

#### **Discuss Model Assumptions**

- Review and update key model assumptions from previous rate study
- Model assumptions may include program subject contractors, administrative out head productivity and staffing patterns atc.

#### MAY

#### Review Survey Results

- Initial key takeaways from survey
- Review wages and beneat model

#### JUN

#### Discuss Model Assumptions (continued)

- Review and update key model assumptions from previous rate study
- Model assumptions may include program support cost factors, administrative overhead, productivity and staffing patterns, etc.

#### JUL

#### Discuss Model Assumptions (continued)

- Review and update key model assumptions from previous rate study
- Model assumptions may include program support cost factors, administrative overhead, productivity and staffing patterns, etc.

#### AUG

### Share Proposed Rate Models and Fiscal Impact

- Final model results
- Overall fiscal impact and key takeaways
- Next steps for 1915(c) waiver amendments and public comment

Note: Meeting topics are tentative and subject to change at the discretion of CHFS.



# Changes for Future Meetings

- We are looking for alternate times for the next meetings to accommodate calendars going forward
- The rate study team has discussed your requests for increased transparency into the rate calculation process and are strategizing on how to leverage your time wisely but offer needed and important details
  - Additional transparency may require more time in the existing workgroup meetings and/or additional meetings with subgroups of stakeholder representatives to focus on specific services



### **Questions or Comments?**



Please contact CHFS.RateStudyWorkGroup@ky.gov or KYHCBSRateStudy@guidehouse.com with any questions or additional feedback from today's discussion.



### Contact



KY Rate Study Work Group Mailbox For general rate study questions CHFS.RateStudyWorkGroup@ky.gov

Guidehouse Rate Study Mailbox
For survey-specific for technical rate study questions
KYHCBSRateStudy@guidehouse.com

#### **Pam Smith**

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### **APPENDIX**



### Number of Survey Submissions by Waiver Service

| Acquired Brain Injury (ABI) Waiver    | # Surveys |
|---------------------------------------|-----------|
| Adult Day Training                    | 7         |
| Assessment/Reassessment               | 9         |
| Behavioral Programming Services       | 6         |
| Case Management                       | 12        |
| Companion                             | 4         |
| Financial Management Services         | 8         |
| Group Counseling                      | 3         |
| Individual Counseling                 | 6         |
| Personal Care                         | 2         |
| Respite                               | 5         |
| Supervised Residential Care Level I   | 8         |
| Supervised Residential Care Level II  | 4         |
| Supervised Residential Care Level III | 1         |
| Support Broker                        | 5         |
| Supported Employment                  | 3         |

| Home and Community Based (HCB)    |           |
|-----------------------------------|-----------|
| Waiver                            | # Surveys |
| Adult Day Health Care Level I     | 19        |
| Adult Day Health Care Level II    | 12        |
| Attendant Care                    | 15        |
| Conflict Free Case Management     | 18        |
| Home and Community Supports       | 3         |
| Home Delivered Meals              | Ş         |
| Non-Specialized Respite           | 6         |
| Participant Directed Coordination | 14        |
| Specialized Respite Level I       | 7         |
| Specialized Respite Level II      | 5         |
|                                   |           |

| Michelle P. (MPW) Waiver  | # Surveys |
|---------------------------|-----------|
| Adult Day Health Care     | 1         |
| Adult Day Training        | 5         |
| Attendant Care            |           |
| Behavior Support Service  | 3         |
| Case Management           | 4         |
| Community Living Supports | 6         |
| Financial Management      | 2         |
| Homemaker                 | 1         |
| Personal Care             | 3         |
| Respite                   | 4         |
| Support Broker            | 1         |
| Supported Employment      | 3         |
|                           |           |

| Supports for Community Living Waiver           | # Surveys |
|--|-----------|
| Case Management                                | 50        |
| Community Guide                                | 4         |
| Consultative Clinical and Therapeutic Services | 30        |
| Day Training                                   | 73        |
| Financial Management Services                  | 15        |
| Group Community Access                         | Ę         |
| Individual Community Access                    | 30        |
| Person Centered Coach                          | 14        |
| Personal Assistance                            | 45        |
| Positive Behavior Support Plan                 | 33        |
| Residential Support Level I                    | 59        |
| Residential Support Level II                   | 53        |
| Respite  | 47        |
| Shared Living                                  | (         |
| Supported Employment                           | 39        |
| Technology Assisted Residential                | •         |
| Transportation                                 | 10        |
|  |           |

| Model II Waiver                            | # Surveys |   |
|--|-----------|---|
| Skilled Services Provided by a Licensed    |           |   |
| Practical Nurse                            |           | 1 |
| Skilled Services Provided by a Registered  |           |   |
| Nurse                                      |           | 2 |
| Skilled Services Provided by a Respiratory |           |   |
| Therapist                                  |           | 0 |
|  |           |   |

| <b>Acquired Brain Injury Long Term Care</b> |           |
|---|-----------|
| (ABI LTC) Waiver                            | # Surveys |
| Adult Day Health                            | (         |
| Adult Day Training                          | 7         |
| Assessment/Reassessment                     | 3         |
| Behavioral Programming Services             | 5         |
| Case Management                             | Ş         |
| Community Day Supports                      | (         |
| Community Living Supports                   | 3         |
| Financial Management Services               | 6         |
| Group Counseling                            | 3         |
| Individual Counseling                       | 6         |
| Nursing Supports                            | 1         |
| Respite                                     | 4         |
| Supervised Residential Care Level I         | 10        |
| Supervised Residential Care Level II        | 3         |
| Supervised Residential Care Level III       | 1         |
| Support Broker                              | 4         |
| Supported Employment                        | 4         |