Kentucky Medicaid's Section 1115 Waiver Demonstration Project Nos. 11-W-00306/4 and 21-W-00067/4 Request for Extension Public Comments and Responses



Date Received	Name/Title/Agency Submitting	Comment	Response
09/13/2022	Krista Brinly Hensel, Chief Executive Officer for United Healthcare Community Plan of Kentucky and Martin H. Rosenzweig, Chief Medical Office for Optum Behavioral Health Solutions	We write today in strong support of the Section 1115(a) demonstration waiver and expenditure authorities extension request by the Kentucky Cabinet of Health and Family Services (CHFS) to the U.S. Center for Medicare and Medicaid Services (CMS) through September 30, 2028. Specifically, we note the request seeks to extend the existing authorities and waivers related to the expenditures for the use of Institutes of Mental Disease (IMD) to treat substance use disorders (SUD), the administration and use of non-emergency transport for methadone treatment and the provision of medical assistance to provide Medicaid coverage to former foster care youth under the age of 26 who were in foster care under the responsibility of another state. These waivers and authorities are, and continue to be, vitally necessary to address the ongoing public health emergency (PHE) related to opioid use disorder that has been in place nationally since October 2017. The measures contained in the 1115(a) waiver for which the Commonwealth seeks an extension are critical to maintain access to care and treatment at a time when the demand for care and treatment with qualified evidence-based programs is increasing. These waivers support the access of Kentucky beneficiaries to these vital services and the failure to extend these authorities will lead to loss of access to treatment, lapses in recovery and ultimately higher costs for acute treatment that will be necessary due to the loss of access to on-going care. We continue to press CMS and Congress to act to permanently remove barriers such as the IMD restrictions so that such waivers will not be necessary but in the meantime as we grapple with the on-going opioid crisis the waiver and authorities necessary under the 1115(a) must continue. We stand with you and appreciate your support of the efforts of all stakeholders to address the opioid crisis and health of Kentuckians. Thank you for your time, consideration, and efforts to address this critical issue.	Thank you for your comment and support. No change to the 1115 authority required.
09/13/2022	Alicia Whatley, Policy and Advocacy Director	Kentucky Youth Advocates (KYA) supports the intention of the Department for Medicaid Services to submit an extension request for the Kentucky Medicaid Section 1115(a)	Thank you for your comment and support. No change to the 1115 authority required.

	for Kentucky Youth Advocates	Demonstration to Centers for Medicare and Medicaid Services (CMS). The Substance Use Disorder (SUD) Section 1115 Demonstration ensures a broad continuum of care is available across the Commonwealth for individuals with SUD. We strongly support the continuation of offering extended Medicaid eligibility to former foster care youth who are under 26 years of age and were in foster care under the responsibility of another state. Foster children who were not connected to a family and instead transitioned out of foster care into adulthood have disproportionately high rates of serious physical, mental, behavioral, and oral health issues. They are more likely to have health issues that affect their day-to-day functioning and more likely to need psychological and substance use counseling. Many youth formerly in foster care live at or below the poverty line and often experience homelessness within a year of leaving foster care. Young adults who have aged out of foster care often cannot afford to purchase health coverage or incur medical debt – they need to have access to quality services to address chronic health issues. We applaud DMS' efforts to ensure Medicaid coverage to former foster care youth under age 26 who were in foster care under the responsibility of another state. Additionally, we support the continuation of exempting children under age 21 who are subject to Early and Periodic Screening, Diagnostic and Treatment (EPSDT), former foster care youth, and pregnant women from the Non-Emergency Medical Transportation (NEMT) waiver to ensure these groups have access to NEMT for methadone treatment. We support the pending incarceration amendment and inclusion of this amendment in the waiver extension request. We believe this pending amendment would help ensure SUD treatment is accessible to those who are incarcerated and strengthen the continuum of care for justice-involved individuals. KYA strongly supports the 1115(a) Waiver extension request to ensure continued access to critical treatment and support for indi	
09/14/2022	Melissa Anderson, Director of Public Policy and Advocacy for BrightView Health	BrightView Health, LLC appreciates the opportunity to submit comments on the extension of the Kentucky Medicaid 1115 Waiver for substance use disorder treatment. As one of the Commonwealth's largest outpatient substance use disorder treatment providers, we have included some recommendations for program changes that will produce a substantial impact on the success and quality of care for our patients. Substance use disorder and escalating incidence of drug quardose deaths remain a critical public health prices impacting	Thank you for your comments, support, and recommendations. Recommendation (R) 1: DMS currently covers withdrawal management (WDM) to be incorporated into a recipients care at the appropriate level. The Department currently primburges for and has

overdose deaths remain a critical public health crisis impacting

families across the Commonwealth of Kentucky. Apart from an isolated reduction in 2018, the number of overdose deaths has

reimburses for and has established billable procedure

codes for screenings, assessments, evaluation and

grown annually among Kentucky residents, with the following devastating statistics:

- In 2018, 1,247 Kentuckians died secondary to a drug overdose
- In 2020, there were 1,964 overdose deaths
- In 2021, there were 2,251 overdose deaths
 - o 80.4% increase in overdose deaths from 2018 through 2021
- At 49.2 deaths per 100,000 citizens, Kentucky ranks 2nd behind West Virginia, for the highest state drug overdose mortality in the United States.

Further evaluation into the Commonwealth's 2021 drug overdose deaths has revealed:

- Fentanyl was involved in 1,562 deaths (69.4%), a 15.4% increase from 2020.
- Methamphetamine was involved in 858 deaths (38.1%), a 48.2% increase from 2020.

This tragic trend of increased drug overdose deaths year after year is due to multiple contributing factors including the rise in illicit synthetic opioid availability, the widespread socioeconomic devastation imparted by the coronavirus pandemic, and a sustained unmet need for mental health services and substance use disorder treatment.

As part of the state's comprehensive public health response, the Kentucky Department of Medicaid Services (DMS) within the Cabinet for Health & Family Services (CHFS) proposed a Section 1115 Substance Use Disorder Demonstration Waiver known as the "Kentucky Helping to Engage and Achieve Long Term Health (*Kentucky HEALTH*) *Project No. 11-W-00306/4.*" The Center for Medicare and Medicaid Services (CMS) rendered its initial approval of the Kentucky HEALTH project in October 2018, with an amended implementation plan on November 4, 2019. According to the state's application, the purpose of this demonstration was to ensure a broad continuum of care be made available to Kentuckians with a substance use disorder with a primary objective to reduce overdose injuries and deaths. Specific goals of the Kentucky HEALTH project included:

prove access to critical levels of care for SUD treatment for dicaid beneficiaries

rease utilization of evidence-based SUD patient placement eening criteria

- Establish standards for residential treatment provider qualifications to meet nationally recognized SUD treatment standards
- Increase provider capacity at critical levels of care, including MOUD for OUD
- Implement prescribing guidelines and other treatment and prevention strategies
- Improve care coordination and transitions between levels of SUD treatment

In April 2021, a midpoint evaluation of the Kentucky HEALTH project was conducted by Northern Kentucky University to assess the demonstration's implementation and to establish a foundation for the project's continued evaluation throughout the lifetime of the waiver. Utilizing a cascade of care model

management services, medications, psychoeducation, laboratory testing, therapy and peer supports; all services that may be provided as a part of WDM process.

R2: DMS has received numerous requests from providers to increase rates, including those for behavioral health services. A budget analysis is currently being conducted, and DMS is considering the request to raise behavioral health rates. The Department will keep providers updated about requests as more information becomes available. R3: DMS agrees with the

importance of and access to evidence-based treatment. DMS is actively working with CHFS and other KY entities to expand provider types and number of practitioners willing to practice in our state. DMS has a longstanding requirement, including approval of the 1115 waiver to endorse, fund and approve evidence-based treatment. DMS's commitment to evidence-based practice is vital to our expansion of behavioral health services and addressing the increased treatment challenges within our state. R4: Advanced practice registered nurses (APRNs) are considered approved behavioral health practitioners to provide behavioral health services within the scope of their practice in

programs.
R5: DMS will amend policy and regulations as necessary to include prescribing of buprenorphine for physician assistants (PAs) as permitted pursuant to PA's state statues and licensure board.
R6: DMS does not control facility and provider licensure requirements. The Department will consult and relay this

behavioral health settings,

including narcotic treatment

request to those entities,

framework and subsequent SWOT (Strength, Weakness, Opportunity, Threats) analysis, researchers provided crucial insights into Kentucky's collective approach to its ongoing SUD crisis and how the 1115 demonstration was being utilized in the state's response, relative to the original stated goals of the project. The evaluation identified several issues within common themes, as well as provided accompanying recommendations to DMS for implementation consideration specific to policies and regulation, justice-involved individuals suffering from a SUD, provider education and training, standards of care adoption, and provider reimbursement. Researchers highlighted four primary areas of concern that could be leveraged to sharpen Kentucky's response to its SUD public health crisis:

- Prioritize communication to providers around changes to reimbursement schedules and related activities
- Increase education and training opportunities for providers, especially those in rural regions
- Coordinate DMS accreditation with other accreditation activities
- Investigate the potential impact of small changes to the reimbursement schedule to further incentivize provider participation.

As a community stakeholder, BrightView Health has reviewed the 1115 project extension application as well as the demonstration's midpoint evaluation, with feedback given in relation to the following specific outcomes addressed in the draft.

 Objective 1 - Improve access to critical levels of care for OUD and other SUDs

BrightView applauds the state's commitment to increase Medicaid beneficiary access to SUD treatment services. As an organization, BrightView has demonstrated how medical science can be translated into an integrated, multidisciplinary practice model that can subsequently deliver effective evidencebased SUD treatment. Through the utilization of measurementbased care and clinical outcomes data analytics, BrightView clinicians identified a minimum effective threshold of services as essential to a successful SUD treatment model capable of yielding sustained long-term recovery. One such service key to effective treatment is withdrawal management. However, ambulatory withdrawal management remains unrecognized as an individual reimbursable service for outpatient behavioral health service organization (BHSO) providers other than those affiliated with certified community behavioral health centers (CCBHC). This is contrary to state plan amendment KY20-0004 (Effective Date: 1/1/2020; CMS Approval Date: 6/16/2020).

Withdrawal management is a critical service rendered in an extremely vulnerable time during the initial induction phase of treatment for patients with opioid use disorder. Currently, many patients with OUD receiving buprenorphine MAT services undergo buprenorphine induction at home. Numerous studies reveal that in-office MAT inductions facilitate more individualized medication dosing, closely monitored administration, and increased patient education from staff,

however as requested by the commentor, DMS cannot implement any changes. R7: DMS has extended all telehealth flexibilities throughout the Medicaid program via 907 KAR 3:170. The amendments to 907 KAR 3:170 superseded any other established telehealth coverage and reimbursement requirements in existing

Medicaid regulations. Over time, the other superseded DMS regulations will be amended to align with 907 KAR 3:170.

All considerations may be carried out with State Plan Amendments and regulatory changes, no change to the 1115 authority required.

improving patient treatment retention, while simultaneously reducing the risk of diversion, overdose, and death. Following preemptive regulatory changes and a state plan amendment, Kentucky DMS recognizes withdrawal management as an individual service permissible to be rendered in the ambulatory outpatient setting, with reimbursement separate from, and billable in addition to, new patient evaluation and management (E&M) codes - BUT only for those outpatient providers affiliated with facilities licensed as a CCBHC.

On the contrary, for outpatient providers affiliated with a BHSO-licensed facility such as those employed in BrightView 15 treatment centers located across Commonwealth, no corresponding code has been made available on the DMS fee schedule - despite being mentioned in a late 2020 provider notification letter received from the DMS Commissioner. Thus, the majority of outpatient treatment providers are unable to make these services available to patients rendering tens of thousands of Kentuckians unable to access withdrawal management during their most vulnerable first hours of treatment. This inequity becomes more cumbersome and financially impactful to DMS when these patients are forced to seek these services inside high cost, higher-than-medicallynecessary, residential and/or acute care inpatient facilities. In state fiscal year 2019 alone, Kentucky DMS spent \$10.6 million on beneficiary claims for withdrawal management services. For comparison, consider the neighboring state of Ohio. Due to state policy and Department of Medicaid plan design, BrightView providers are capable of offering withdrawal management to every patient suffering presenting with a clinical need for these services. Internal outcomes data analytics has consistently demonstrated, unambiguously, that withdrawal management is an essential part of comprehensive SUD treatment, particularly for patients suffering from OUD. The data also revealed that these services can be safely and effectively delivered to Medicaid beneficiaries receiving SUD treatment inside low-cost, high-yield outpatient programs.

Recommendation:

- Add coverage of Withdrawal Management (WDM) for all providers to be incorporated into a recipient's care at the appropriate level according to the most current version of the ASAM Criteria.
- Objective 4: Assess provider capacity at critical levels of care, including medication-assisted treatment for OUD

As detailed in the extension application, Kentucky DMS has experienced only an 11% increase in the number of enrolled qualified providers from the project's baseline volume measured in 2019 through June 2021. Yet, there is a continual rise in the need for SUD treatment services across the state, particularly among Medicaid beneficiaries. This sustained rise is clearly demonstrated in DMS claims data. In the most recent publicly available data,117,590 Medicaid beneficiaries received services related to an SUD

diagnosis in March 2021. Of those 117,590 beneficiaries with an SUD diagnosis:

- 56,905 had any SUD treatment service, facility, or pharmacy claim processed
- 37,328 received any SUD outpatient treatment services
- 33,118 received MAT services
- 3,765 received intensive outpatient or partial hospitalization treatment services
- 3,684 received residential inpatient treatment services
- 552 received withdrawal management services
- 430 received any early intervention service (SBIRT procedural codes)

BrightView Health recognizes the Commonwealth's substantial (and still growing) need for additional SUD treatment providers, particularly in the rural regions of the state. As an organization, BrightView is actively expanding in facility number and operational capacity to meet this need and serve the citizens of Kentucky.

Recommendation:

Growth in the number of behavioral health treatment providers could be facilitated in a multipronged approach:

- Improved reimbursement landscape across all levels of care
- Increased volume of accessible evidence-based outpatient SUD treatment, including narcotic treatment programs (NTPs) and
- Increased scope of practice for advanced practice registered nurses (APRNs) to include facilities licensed as narcotic treatment programs
- Increased scope of practice to include prescribing of buprenorphine for physician assistants (PAs)
- Amend facility and provider regulations to reflect those enacted by federal regulatory agencies (SAMHSA, DEA)
- Permanently secure and expand telehealth regulatory changes and reimbursement parity

BrightView Health remains committed in partnering with state thought and policymakers, other SUD treatment providers, federal and state regulatory agencies, community leaders, and various other stakeholders, to drive change in the mortality crisis facing communities across the Commonwealth. However, we simultaneously recognize that comprehensive policy amendment, expanded behavioral health workforce initiatives, and reimbursement landscape changes are requisite to witnessing life-saving change come to fruition.