

### State Certifications for the Chafee Foster Care Program for Successful Transition to Adulthood

As Chief Executive Officer of the State of Kentucky, I certify that the State has in effect and is operating a Statewide pursuant to section 477(b) and that the following provisions to effectively implement the Chafee Foster Care Program for Successful Transition to Adulthood are in place:

1. [Check one of the following boxes]:

The State will provide assistance and services to youths who have aged out of foster care, and have not attained 21 years of age [Section 477(b)(3)(A)(i)];

OR

The State will provide assistance and services to youths who have aged out of foster care, and have not attained 23 years of age [Section 477(b)(3)(A)(ii)];

AND:

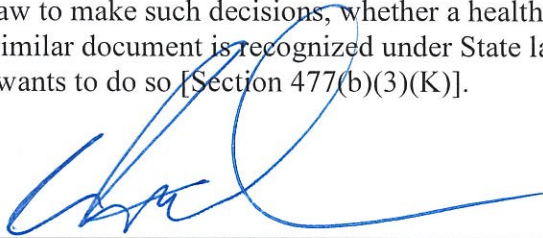
the State has elected under section 475(8)(B) of title IV-E of the Social Security Act to extend eligibility for foster care to all children who have not attained 21 years of age;

OR:

the State agency responsible for administering the State plans under titles IV-B and IV-E of the Social Security Act uses State funds or any other funds not provided under title IV-E to provide services and assistance for youths who have aged out of foster care that are comparable to the services and assistance the youths would receive if the State had elected to extend eligibility for foster care up to age 21 under section 475(8)(B) of title IV-E;

2. Not more than 30 percent of the amounts paid to the State from its allotment for a fiscal year will be expended for room or board for youths who have aged out of foster care and have not attained 21 years of age (or 23 years of age, in the case of a State with a certification under section 477(b)(3)(A)(ii) to provide assistance and services to youths who have aged out of foster care and have not attained age 23) [Section 477(b)(3)(B)];
3. None of the amounts paid to the State from its allotment will be expended or room or board for any child who has not attained 18 years of age [Section 477(b)(3)(C)];
4. The State will use training funds provided under the program of Federal payments for foster care and adoption assistance to provide training including training on youth development to help foster parents, adoptive parents, workers in group homes, and case managers understand and address the issues confronting youth preparing for a successful transition to adulthood and making a permanent connection with a caring adult [Section 477(b)(3)(D)];
5. The State has consulted widely with public and private organizations in developing the plan and has given all interested members of the public at least 30 days to submit comments on the plan [Section 477(b)(3)(E)];
6. The State will make every effort to coordinate the State programs receiving funds provided from an allotment made to the State with other Federal and State programs for youth (especially transitional living youth projects funded under part B of title III of the Juvenile Justice and Delinquency Prevention Act of 1974), abstinence education programs, local housing programs, programs for disabled youth (especially sheltered workshops), and school-to-work programs offered by high schools or local workforce agencies [Section 477(b)(3)(F)];

7. Each Indian tribe in the State has been consulted about the programs to be carried out under the plan; that there have been efforts to coordinate the programs with such tribes; that benefits and services under the programs will be made available to Indian children in the State on the same basis as to other children in the State; and that the State will negotiate in good faith with any Indian tribe, tribal organization, or tribal consortium in the State that does not receive an allotment under subsection (j)(4) for a fiscal year and that requests to develop an agreement with the State to administer, supervise, or oversee the programs to be carried out under the plan with respect to the Indian children who are eligible for such programs and who are under the authority of the tribe, organization, or consortium and to receive from the State an appropriate portion of the State allotment for the cost of such administration, supervision, or oversight [Section 477(b)(3)(G)];
8. The State will ensure that youth participating in the program under this section participate directly in designing their own program activities that prepare them for independent living and that the youth accept personal responsibility for living up to their part of the program [Section 477(b)(3)(H)];
9. The State has established and will enforce standards and procedures to prevent fraud and abuse in the programs carried out under the plan [Section 477(b)(3)(I)]; and
10. The State will ensure that a youth participating in the program under this section is provided with education about the importance of designating another individual to make health care treatment decisions on behalf of the youth if the youth becomes unable to participate in such decisions and the youth does not have, or does not want, a relative who would otherwise be authorized under State law to make such decisions, whether a health care power of attorney, health care proxy, or other similar document is recognized under State law, and how to execute such a document if the youth wants to do so [Section 477(b)(3)(K)].



\_\_\_\_\_  
Signature of Chief Executive Officer

6/4/2020  
\_\_\_\_\_  
Date

outlined in Attachment B of ACYF-CB-PI-15-06. The method otherwise follows the steps identified for the Children's Bureau (CB) Method. Selection of this method requires a determination that the title IV-E agency's internal systems can be used to extract the data needed to constitute "actual amounts." If this method was not selected for the immediately prior FFY or involves use of revised procedures, attach a summary of how the identification of actual amounts will be performed.

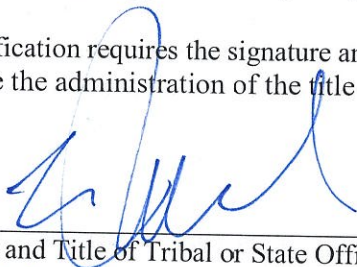
If this method was chosen for the immediately prior FFY, but the identification of actual amounts will differ in any manner from the procedures used in the prior FFY, indicate such by checking the box below:

*Identification of Actual Amounts Will Differ From Prior FFY Procedures.* Check this box only if the Children's Bureau (CB) Method with Actual Amounts method was used in the calculation of adoption savings for the immediately prior FFY *and* the method to be used in the current FFY uses at least some differing procedures. If checked, attach a summary of how the identification of actual amounts will differ.

**Alternate Approved Method.** Includes use of any methodology differing from the CB Method or the CB Method with Actual Amounts as outlined in Attachment B of ACYF-CB-PI-15-06. If this alternate method was not previously approved or differs from the previously approved alternate method, the proposed alternate method must be submitted to the CB for approval. In this instance, submit the complete proposed alternate method as an attachment with this notice. A notice of CB approval is required prior to use of this method to report calculated adoption savings. If this method was chosen for the immediately prior FFY, but the procedures used will differ in any manner from the Alternate Approved Method used in the prior FFY, indicate such by checking the box below:

*Alternate Method Will Differ From Prior FFY Approved Alternate Method.* Check this box only if a new alternate method is being proposed or an Alternate Approved Method was approved and used in the calculation of adoption savings for the immediately prior FFY *and* the method to be used in the current FFY differs in some manner from the one used in the immediately prior FFY. If checked, the proposed alternate method must be submitted to the CB for approval. Submit the complete proposed alternate method (highlighting the changes from the prior FFY method, where appropriate) as an attachment with this notice. A notice of CB approval is required prior to use of this method to report calculated adoption savings.

This notification requires the signature and title of the tribal or state official with authority to administer or supervise the administration of the title IV-E Adoption Assistance program.



\_\_\_\_\_  
Signature and Title of Tribal or State Official

6/4/2020

\_\_\_\_\_  
Date

\_\_\_\_\_  
(Signature, Associate Commissioner, Children's Bureau)

\_\_\_\_\_  
(CB Approval Date)

NOTIFICATION OF ADOPTION SAVINGS CALCULATION METHOD

Instructions:

This notification form is to be completed only by those title IV-E agencies providing updated information for a federal fiscal year (FFY) as follows:

- Title IV-E agency did not submit an Adoption Savings calculation method notification for a prior FFY; or
- Title IV-E agency has decided to change the Adoption Savings calculation method from the one selected on its latest submitted annual notification submission (for FFY 2017 or a subsequent FFY); or
- Title IV-E agency is not changing the Adoption Savings calculation previously selected and was approved to use either the CB Method with Actual Amounts or an Alternate Approved Method, but the procedures to be used for the current FFY calculation will differ from those used in the immediately prior FFY.

A title IV-E agency choosing to use the same Adoption Savings calculation method and procedures for the current FFY as used in its latest FFY reporting period submission need not submit this form.

If required, please complete and submit this Attachment with the CFSP.

Report:

The Kentucky ~~Click here to enter text.~~ (tribal or state name) title IV-E agency in accordance with section 473(a)(8) of the Social Security Act (Act) and Program Instruction (PI) [ACYF-CB-PI-15-06](#), dated May 22, 2015, has determined to use a method to calculate adoption savings for federal fiscal year (FFY) ~~2017~~ 2017 here to enter text. (FFY when method will first be applied) as specified below:

Selected Adoption Savings Calculation Method (choose only one Method, but check any applicable box under the selected Method):

**Children’s Bureau (CB) Method.** This method, outlined in Attachment B to ACYF-CB-PI-15-06, uses random sampling to identify the extent of applicable child only status cases receiving title IV-E adoption assistance payments. The title IV-E agency’s per child per month title IV-E adoption assistance average claims and federal share rates are then used to calculate the savings in the adoption assistance payments and agency administration title IV-E funding categories. Title IV-E agencies choosing this method must submit an electronic file containing the appropriate universe of children. If this method is checked, please separately submit an electronic file containing an unduplicated list of all children in the tribe or state counted as in receipt of title IV-E adoption assistance payments on form CB-496 reports for the current quarters ended December 31<sup>st</sup> and March 31<sup>st</sup> of the current FFY. (This information is reported in aggregate as the average monthly number of children receiving adoption assistance payments on the CB-496, Part 1, line 45 current quarter count column.) The file must use encrypted record numbers and no other personal identifying information to protect the identity of the listed children. Please provide this file no later than **July 31<sup>st</sup>** of each FFY as an email attachment directly to Dr. Tammy White in the Administration on Children, Youth and Families Office of Data, Analysis, Research, and Evaluation at [tammy.white@acf.hhs.gov](mailto:tammy.white@acf.hhs.gov). Please also copy the CB Regional Program Manager in your region. Further instructions on the procedures for conducting the case sample (in addition to those delineated in ACYF-CB-PI-15-06) will be provided to those title IV-E agencies providing such a file.

**Children’s Bureau (CB) Method with Actual Amounts.** Includes use of the Actual Amounts in lieu of sampling or application of statistical results. This includes the use of an actual applicable child only count and, optionally, actual assistance payments in concert with the Children’s Bureau (CB) Method as

6. The State will furnish reports to the Secretary, at such times, in such format, and containing such information as the Secretary may require, that demonstrate the State's compliance with the prohibition contained in 432(a)(7)(A) of the Act [Section 432(a)(7)(B)].
7. The State assures that in administering and conducting service programs under the plan, the safety of the children to be served shall be of paramount concern [Section 432(a)(9)].
8. The State assures that it will participate in any evaluations the Secretary of HHS may require [45CFR 1357.15(c)].
9. The State assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient [45CFR 1357.15(c)].
10. The State assures that not more than 10 percent of expenditures under the plan for any fiscal year with respect to which the State is eligible for payment under section 434 of the Act for the fiscal year shall be for administrative costs, and that the remaining expenditures shall be for programs of family preservation services, community based support services, family reunification services, and adoption promotion and support services, with significant portions of such expenditures for each such program [Section 432(a)(4)].

Effective Date and Official Signature

I hereby certify that the State complies with the requirements of the above assurances.

Certified by:  \_\_\_\_\_

Title: **Secretary** \_\_\_\_\_

Agency: **Cabinet for Health and Family Services** \_\_\_\_\_

Dated: **6/4/2020** \_\_\_\_\_

### **Title IV-B, subpart 2 Assurances for States**

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 2, sections 432(a)(2)(C), 432(a)(4), 432(a)(5), 432(a)(7) and 432(a)(9) of the Social Security Act (the Act). These assurances will remain in effect during the period of the current five-year CFSP.

1. The State assures that after the end of each of the first four fiscal years covered by a set of goals, it will perform an interim review of progress toward accomplishment of the goals, and on the basis of the interim review will revise the statement of goals in the plan, if necessary, to reflect changed circumstances [Section 432(a)(2)(C)(i)].
2. That State assures that after the end of the last fiscal year covered by a set of goals, it will perform a final review of progress toward accomplishment of the goals, and on the basis of the final review:
  - a. Will prepare, transmit to the Secretary, and make available to the public a final report on progress toward accomplishment of the goals; and
  - b. Will develop (in consultation with the entities required to be consulted pursuant to subsection 432(b) of the Act) and add to the plan a statement of the goals intended to be accomplished by the end of the 5th succeeding fiscal year [Section 432(a)(2)(C)(ii)].
3. The State assures that it will annually prepare, furnish to the Secretary, and make available to the public a description (including separate descriptions with respect to family preservation services, community-based family support services, family reunification services, and adoption promotion and support services) of:
  - a. The service programs to be made available under the plan in the immediately succeeding fiscal year;
  - b. The populations which the programs will serve; and
  - c. The geographic areas in the State in which the services will be available [Section 432(a)(5)(A)].
4. The State assures that it will perform the annual activities described in section 432(a)(5)(A) in the first fiscal year under the plan, at the time the State submits its initial plan, and in each succeeding fiscal year, by the end of the third quarter of the immediately preceding fiscal year.
5. The State assures that Federal funds provided to the State under this subpart will not be used to supplant Federal or non-Federal funds for existing services and activities which promote the purposes of this subpart [Section 432(a)(7)(A)].

### **Title IV-B, subpart 1 Assurances for States**

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 1, sections 422(b)(8), 422(b)(10), and 422 (b)(14) of the Social Security Act (the Act). These assurances will remain in effect during the period of the current five-year Child and Family Services Plan (CFSP).

1. The State assures that it is operating, to the satisfaction of the Secretary:
  - a. A statewide information system from which can be readily determined the status, demographic characteristics, location, and goals for the placement of every child who is (or, within the immediately preceding 12 months, has been) in foster care;
  - b. A case review system (as defined in section 475(5) and in accordance with the requirements of section 475A) for each child receiving foster care under the supervision of the State/Tribe;
  - c. A service program designed to help children:
    - i. Where safe and appropriate, return to families from which they have been removed; or
    - ii. Be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be appropriate for a child, in some other planned, permanent living arrangement subject to the requirements of sections 475(5)(C) and 475A(a) of the Act which may include a residential educational program; and
  - d. A preplacement preventive services program designed to help children at risk of foster care placement remain safely with their families [Section 422(b)(8)(A)].
2. The State assures that it has in effect policies and administrative and judicial procedures for children abandoned at or shortly after birth (including policies and procedures providing for legal representation of the children) which enable permanent decisions to be made expeditiously with respect to the placement of the children [Section 422(b)(8)(B)].
3. The State assures that it shall make effective use of cross-jurisdictional resources (including through contracts for the purchase of services), and shall eliminate legal barriers, to facilitate timely adoptive or permanent placements for waiting children [Section 422(b)(10)].
4. That State assures that not more than 10 percent of the expenditures of the State with respect to activities funded from amounts provided under this subpart will be for administrative costs [Section 422(b)(14)].
5. The State assures that it will participate in any evaluations the Secretary of HHS may require [45CFR 1357.15(c)].

6. The State assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient [45CFR 1357.15(c)].

Effective Date and Official Signature

I hereby certify that the State complies with the requirements of the above assurances.

Certified by:  \_\_\_\_\_

Title: **Secretary** \_\_\_\_\_

Agency: **Cabinet for Health and Family Services** \_\_\_\_\_

Dated: **6/4/2020** \_\_\_\_\_





**State Chief Executive Officer's Certification  
for the  
Education and Training Voucher Program  
Chafee Foster Care Program for Successful Transition to Adulthood**

As Chief Executive Officer of the State of Kentucky, I certify that the State has in effect and is operating a Statewide program relating to the Chafee Foster Care Program:

1. The State will comply with the conditions specified in subsection 477(i).
2. The State has described methods it will use to:
  - ensure that the total amount of educational assistance to a youth under this and any other Federal assistance program does not exceed the total cost of attendance; and
  - avoid duplication of benefits under this and any other Federal assistance program, as defined in section 477(b)(3)(J).

  
\_\_\_\_\_  
Signature of Chief Executive Officer

6/4/2020  
\_\_\_\_\_  
Date