

**1915(i) RISE Initiative
TRAINING SUBSTITUTION REQUEST FORM**

Provider agency staff may request, on a case-by-case basis, credit for comparable training or coursework completed within the preceding five years from date of hire or program implementation by their agency, whichever is more recent.

To request substitute credit for one or more 1915(i) RISE training modules, a program staff member must: provide a brief description of the requested substitution, attach documentation of completion (e.g., transcript, certificate of completion), sign/date this form, have their supervisor sign/date the form, and submit it to 1915iProvider@ky.gov.

1915(i) RISE staff will review the request and accompanying documentation and advise within 10 business days if substitution credit is approved. 1915(i) RISE staff will return this form for retention in the employee's personnel file, and may request to review it during future on-site program monitoring.

Please contact 1915iProvider@ky.gov, or your program's QA/TA representative for further information.

1915(i) RISE Initiative Training Module Topics	Eligible for Substitution	Brief Description of Requested Substitute Training Course (Attach Documentation of Course Completion)	1915(i) RISE Approve/Deny
			Staff Use Only
Level I – Provider Agency Orientation			
Introduction to 1915(i) RISE Initiative: Module provides a high_level overview of the 7570(i) RISE Initiative and access to Certification. Application materials;	X		
Level II – Provider Agency Orientation			
Confidentiality & HIPAA Compliance: Module provides an overview of the Health Insurance Portability and Accountability Act of 7552	✓ Min. 1 hour		

Quality Measures and Reporting Requirements: Module.outlines.reporting.guidelines;	X		
Regulatory and Statutory Compliance and Participant Rights: Module.is.designed.to.ensure.providers.are.aware.of.governing.statutes.and.regulations?and.that.7571(i).RISE.participants.are.treated.with.dignity?empowered.to.make.their.own.choices?and.protected.from.harm;	X		
Recognizing & Reporting Abuse, Neglect, & Exploitation: Module.is.designed.to.ensure.that.provider.staff.can.recognize.abuse?neglect.and.exploitation.among.the.SMI-SUD.population.and.understand.the.mechanisms.for.reporting;	X		
Medicaid Enrollment Process: Module.offers.providers.assistance.in.completing.the.Medicaid.Enrollment.Process;	✓ Min. 1 hour		
Claims Billing and Reimbursement: Module.offers.providers.assistance.in.completing.the.Medicaid.billing.for.7571(i).RISE.services;	✓ Min. 1 hour		
Level III A Staff Training Requirements			
Phase 1 – Provider Agency Staff (completed prior to independent word, within 6 months of hire date)			
Understanding Serious Mental Illness (SMI) & Co-Occurring Substance Use Disorders (SUD): Module.is.designed.to.equip.staff.with.the.knowledge.and.skills.to.recognize?respect?and.respond.to.the.unique.needs.of.individuals.living.with.SMI?and.deliver.integrated?person_centered?and.nonjudgmental.support.to.individuals.with.complex?co=occurring.needs.(e.g.?SUD);	X		

De-Escalation & Crisis Response for Behavioral Health: Module is designed to equip provider staff with the tools to respond safely? compassionately? and effectively to behavioral health crises? particularly for individuals with Serious Mental Illness (SMI) and/or Co-Occurring Substance Use Disorders (SUD);	✓ Min. 1 hour		
Recognizing & Reporting Abuse, Neglect, & Exploitation: Module is designed to ensure that provider staff can recognize abuse? neglect and exploitation among the SMI-SUD population and understand the mechanisms for reporting;	X		
Participant Rights & Self-Determination: Module is designed to ensure that individuals receiving 7571(i) RISE services are treated with dignity? empowered to make their own choices? and protected from harm;	X		
Confidentiality & HIPAA Compliance: Module provides an overview of the Health Insurance Portability and Accountability Act of 7552	✓ Min. 1 hour		
Person-Centered Planning & Thinking: Module is designed to support 7571(i) RISE providers in facilitating participant-driven planning and navigating complex support needs for individuals with Serious Mental Illness? with or without Co-Occurring Substance Use Disorder;	✓ Min. 1 hour		
Trauma-Informed Resilience Building: Module focuses on practical application of trauma-informed principles and strategies for supporting 7571(i) RISE participant resilience? and supports providers in actively reducing re-traumatization? responding with compassionate presence? and amplifying participant strengths;	✓ Min. 2 hours		

Specific Roles & Responsibilities (including Professional Boundaries): Module focuses on ensuring that provider staff clearly understand the structure, expectations, and compliance requirements of their roles in delivering 7571(i).RISE program services;	X		
Medication Administration for SMI & SUD (including MOUD): Module is designed to ensure 7571(i).RISE providers have foundational knowledge to support adherence, understand medication effects, recognize red flags, and coordinate with clinical providers; Residential and Medication Management provider agency staff receive more extensive content;	X		
CPR Certification (In-Person, Agency Provided)	X		
First Aid Certification (In-Person, Agency Provided)	X		
Phase 2 – Provider Agency Staff (within 6 months)			
Advocacy & Participant Empowerment: Module is designed to support 7571(i).RISE providers' ability to amplify participant voice, promote self-advocacy, and navigate systemic barriers while staying person-centered;	✓ Min. 1 hour		
Communication & Active Listening: Module is designed to support 7571(i).RISE providers in developing intentional, responsive, and person-centered communication, especially in situations involving distress, ambivalence, or complex behavioral health needs; It is intended to sharpen skills in building trust, de-escalating tension, and reinforcing participant voice in respectful and collaborative ways;	✓ Min. 2 hours		

Cultural Competency & Diversity Awareness: Module focuses on applied skills and attitudes needed to respectfully engage with diverse individuals? reduce disparities? and support equitable? person_centered care;	✓ Min. 1 hour		
Professionalism & Ethics: Module focuses on strengthening provider staff's decision_making? boundary_setting and ethical behavior skills by offering guidance on how to act in challenging situations and how to represent themselves in participant relationships? interdisciplinary teams and community settings while respecting ethical standards and person_centered values;	✓ Min. 1 hour		
Community Access & Integration: Module focuses on developing applied skills to help 7571(i). RISE participants build meaningful connections? access public resources and increase their presence and participation in community settings; .It goes beyond what community integration means to address how to support participants in ways that align with their goals? reduces isolation and addresses barriers related to SMI and SUD;	✓ Min. 2 hours		
Suicide Prevention & Risk Assessment: Module prepares staff to identify participants with elevated risk for suicidal ideation and behavior and to intervene? create safety plans? and coordinate with crisis systems;	✓ Min. 1.5 hours		

Harm Reduction & Overdose Prevention: Module.supports.7570(i). RISE.provider.staff.in.understanding.the.evidence_base?participant_centered?and.recovery_oriented.substance.use.harm.reduction.practices.available.in.local.communities;	✓ Min. 1 hour		
Risk Assessment: Module.focuses.on.applied.skills.for.identifying?evaluating?and.responding.to.potential.risks.that.may.impact.participant.safety?service.quality?and.regulatory.compliance?including.how.provider.staff.can.proactively.assess.clinical?environmental?behavioral?and.systemic.risks.in.community_based?person_centered.care.for.individuals.with.Serious.Mental.Illness.(SMI).and.Substance.Use.Disorders.(SUD);	✓ Min. 1 hour		
Level III B Staff Training Requirements (Supported Education/Employment, Medication Management, Transportation Providers Only)			
Consolidated Phases 1 & 2	X		
CPR Certification (In-Person, Agency Provided)	X		
First Aid Certification (In-Person, Agency Provided)	X		
Level III – Phase 3, Continuing Education Opportunities	X		

Printed Name:	Signature:
Title:	Agency:
Date:	
Supervisor Printed Name:	Supervisor Signature:
Title:	Agency:
Date:	
1915(i) RISE Approval:	
Printed Name:	Signature:
Title:	Date:
Notes:	