

# 1915(i) RISE Initiative

## Provider Agency & Staff Certification Training Outline

### Potential Provider Agency (and General Public) Introduction to 1915(i) RISE Initiative

When a provider agency expresses interest — via [1915iRISEprovider@ky.gov](mailto:1915iRISEprovider@ky.gov) — they will be directed to review the information available at <https://dbhdid.ky.gov/1915iriseinitiative> before beginning the application process.

- ◆ Overview of 1915(i) RISE services
- ◆ List of approved participant services
- ◆ Provider agency qualifications
- ◆ List of prerequisite requirements
- ◆ Kentucky Administrative Regulations (KARs)
- ◆ Summary of the certification steps and process timeline
- ◆ Provider agency Welcome and General instructions Video for enrolling in New Provider Agency Orientation

The text will be followed by a link for potential provider agencies to self-register for an Adobe Learning Manager (ALM) account. The webpage referenced above will also be available to potential participants, families and advocates, and the general public.

Once a provider agency determines they wish to participate in 1915(i) RISE service delivery, the training process begins. Training requirements are based on staff roles and responsibilities in relationship to 1915(i) RISE service delivery. All trainings are provided via the Cabinet for Health and Family Services online, unless otherwise specified.

### New Provider Agency Orientation – Foundation and Regulatory Training

#### *Level I – Provider Agency Orientation*

Agency Executive Director (or equivalent authority) completes before submitting certification application.

- ◆ Introduction to 1915(i) RISE Initiative
- ◆ Provider Agency Eligibility Assessment and Certification/Enrollment Process
- ◆ Specific Roles and Responsibilities

#### *Level II – Provider Agency Orientation*

Agency Executive Director (or equivalent authority) certifies completion via transcript prior to Department certification decision.

- ◆ Regulatory and Statutory Governance and Participants Rights
- ◆ Confidentiality and Health Insurance Portability and Accountability Act (HIPAA) Compliance\*
- ◆ Quality Measures and Reporting Requirements
- ◆ Recognizing and Reporting Abuse, Neglect, and Exploitation

- ◆ Medicaid Enrollment Process\*
- ◆ Claims Billing and Reimbursement\*

## Provider Agency Staff Training

All provider agency staff who will have direct contact with 1915(i) RISE Initiative participants must complete required training specific to their services and demonstrate competence in the topics outlined in each participant's person-centered services plan.

Level III A – Staff Training Requirements for Case Management, In-Home Independent Living, Planned Respite for Caregivers, Supervised Residential Care and Tenancy Supports Provider Agencies:

- ◆ Phase 1 – Provider Agency Staff Core Curriculum (required of all staff before working independently; within six months of hire)
  - Understanding Serious Mental Illness (SMI) and Co-Occurring Substance Use Disorders (SUDs)
  - De-Escalation and Crisis Response for Behavioral Health<sup>✓\*</sup>
  - Recognizing and Reporting Abuse, Neglect, and Exploitation<sup>✓</sup>
  - Participant Rights and Self-Determination
  - Confidentiality and HIPAA Compliance\*
  - Person-Centered Planning and Thinking<sup>✓\*</sup>
  - Trauma-Informed Resilience Building<sup>✓\*</sup>
  - Specific Roles and Responsibilities (including professional boundaries)<sup>✓</sup>
  - Medication Administration for SMI and SUD (including medications for opioid use disorder [MOUD]; residential and medication management provider agency staff receive more extensive content)<sup>✓□</sup>
  - Cardiopulmonary Resuscitation (CPR) Certification – (agency provided)<sup>✓</sup>
  - First Aid Certification – (agency provided)<sup>✓</sup>
- ◆ Phase 2 – Provider Agency Staff Core Competency (required of all staff within six months of hire)
  - Advocacy and Participant Empowerment\*
  - Communication and Active Listening\*
  - Cultural Competency and Diversity Awareness\*
  - Professionalism and Ethics\*
  - Community Access and Integration\*
  - Suicide Prevention and Risk Assessment\*
  - Harm Reduction and Overdose Prevention (Including naloxone training)\*
  - Risk Assessment\*

Level III B (Phase 1 and 2) – Staff Training Requirements for Medication Management, Supported Education, Supported Employment, and Transportation Provider Agencies (required of all staff within six months of hire):

- ◆ First Aid and CPR certification by nationally accredited entity (agency provided) ✓
- ◆ Required Concepts Overview ✓
  - Understanding SMI and co-occurring SUDs
  - Professional boundaries and responsibilities
  - Situational de-escalation
  - Abuse, neglect, and exploitation
  - Incident reporting
  - Medication administration
  - Professional boundaries
  - Trauma-informed care
  - Person-centered principles
  - Supportive employment
  - Risk assessment, suicide prevention, and suicide response
  - Family/peer roles

Level III, Phase 3 – Position-Specific Staff and Optional Training Modules (These modules are in addition to Phases 1 and 2, and may be required for certain positions or topics; additional topics may be added according to need.).

## Fiscal and Quality Assurance Staff

- ◆ Added in Future

## Direct Services Staff

### *Residential and Medication Management Provider Staff*

- ◆ Extended Medication Administration for SMI and SUD (including MOUD) – only staff with related duties ✓ □

### *Case Management Staff*

- ◆ Case Manager Training (ongoing support and education around facilitating needs of participants with SMI) – some in-person opportunities/requirements ✓
- ◆ Case Management Supervisor Training – ongoing, some in-person opportunities/requirements
- ◆ Supported Employment and Education

### *Supported Employment and Education Staff*

- ◆ University of Kentucky, Human Development Institute’s Supported Employment Training Project Core Training Series (supported education and employment staff only) ✓
  - Core Training Series: <https://hdi.uky.edu/setp/training/>
  - Employer Training on SUDs: <https://www.hdilearning.org/product/sud-201/>
  - Leadership Course for Managers/Supervisors over Supported Employment: <https://www.hdilearning.org/courses/leadership/>

#### *Future Training Topics:*

- ◆ Mental Health First Aid for SMI/SUD
- ◆ Advanced Crisis Prevention and Intervention
- ◆ Law Enforcement and Emergency Services Coordination
- ◆ Safety Planning and Relapse Prevention

#### **Notes:**

- Training identified in State Plan Amendment (SPA) or Kentucky Administrative Regulations (KAR):
  - ◆ First Aid and CPR certification by nationally accredited entity (agency provided) (required)
  - ◆ Situational de-escalation (required)
  - ◆ Abuse, neglect, and exploitation (required)
  - ◆ Incident reporting (required)
  - ◆ Medication administration (required)
  - ◆ Professional boundaries (required)
  - ◆ Trauma-informed care (required)
  - ◆ Person-centered principles (required)
  - ◆ Supportive employment (required, only in KAR)
  - ◆ Risk assessment, suicide prevention, and suicide response (noted as optional in SPA)
  - ◆ Family/peer roles (noted as optional SPA)
- ✓ -- required by KAR and/or 1915(i) State Plan Amendment
- \* -- 1915(c) waiver certification requirements or comparable training may substitute with submission of transcript and approval
- □ -- DBHDID/provider agency staff registered nurses will offer “train-the-trainer” (TTT) for appropriate staff