

DEPARTMENT FOR BEHAVIORAL HEALTH, DEVELOPMENTAL AND INTELLECTUAL DISABILITIES

KY-Moms MATR Prevention and Case Management Quarterly Report

Purpose of this Application:

Form 155B serves as the quarterly review for substance use treatment funds allocated to the community mental health center (CMHC) region to support KY-Moms MATR. This application serves as a quarterly review and should provide information on the current status of the organization's progress toward the strategic plan and on delivering approved prevention education curriculum and case management services to pregnant persons and persons up to 12 months postpartum. This application focuses on the region's quarterly progress toward its service approach, goals, and organizational capacity.

While funding is available to eligible organizations, a clear plan for service delivery, measurable goals, and compliance with requirements for this priority population must be demonstrated. We have provided an attached PDF to assist you in organizing your data prior to data entry. Please note that this document is for **guidance only**; all official submissions must be completed via the **REDCap link**.

Note: Additional planning documents include Form 155C - Pregnant and Parenting Women Substance Use Services Project Budget and Financial Report, which is submitted separately. Form 155C focuses on the program's budgeted expenses and organizational capacity.

Submission Process:

For fiscal year 2027, please note that Form 155B – KY-Moms MATR Prevention and Case Management Quarterly Report will be processed through the University of Kentucky's REDCap system. Form 155B can be accessed through this link: [University of Kentucky RedCap System Form 155B Page](#). To support effective monitoring of KY-Moms MATR, the quarterly strategic plan must be updated and submitted no later than 30 days after the end of the quarter.

Form 155B will still be reflected on the Central Login Master List for your region, but the form will be submitted in REDCap. Once Form 155B is completed and submitted, a confirmation email will be forwarded to the email address indicated on the form. Attached to this email is also a PDF containing the questions and their submitted responses.

Once completed online, a PDF copy of the submitted responses must be uploaded to the Central Login System for approval. The Department for Behavioral Health, Developmental, and Intellectual Disabilities (DBHDID) Program Administrator's approval is required following submission.

Purpose:

Through the REDCap platform, longitudinal data will be collected from all 14 CMHC KY-MOMS MATR regional programs. This will allow DBHDID to monitor program-level changes, analyze existing historical data, and track social and behavioral trends for the team, program, providers, and clients. The data collected will enable DBHDID to understand programming trends over time.

KY-Moms
Maternal Assistance Towards Recovery (MATR)
Prevention and Case Management Quarterly Report and
Strategic Plan Review



Date Completed:	Region:
Contact Name & Title:	Contact Email:

Purpose of this Application

Form 155B serves as the quarterly review for substance use treatment funds allocated to the community mental health center (CMHC) region to support KY-Moms MATR. This application serves as a quarterly review and should provide information on the current status of the organization's progress toward the strategic plan and on delivering approved prevention education curriculum and case management services to pregnant persons and persons up to 12 months postpartum.

While funding is available to eligible organizations, a clear service delivery plan, measurable goals, and compliance with requirements for this priority population must be demonstrated.

To support effective monitoring of KY-Moms MATR, the quarterly strategic plan must be updated and submitted no later than 30 days after the end of the quarter. *KY-Moms MATR Prevention and Case Management Quarterly Report and Strategic Plan Review (155B)* is located here:

<https://redcap.uky.edu/redcap/surveys/?s=9WJC344ANKW7D4H3>. This application focuses on the region's quarterly progress toward its service approach, goals, and organizational capacity. The Department for Behavioral Health, Developmental, and Intellectual Disabilities (DBHDID) Program Administrator's approval is required following submission.

Note: Additional planning documents include DPR Form 155C – Pregnant and Parenting Persons Substance Use Services Budget and Financial Report. This budget proposal is submitted separately in the Central Login system.

Please indicate the person completing this form.

Name: _____

Title: _____

Email: _____

Phone Number: _____

Collaborative Agreements

By signing below, I agree that this form was completed by the following individuals collaboratively.

Substance Use Treatment Director _____

	Signature _____	Date _____
Regional Prevention Center Director	_____	_____
	Signature _____	Date _____
Ky-Moms MATR Program Manager	_____	_____
(if applicable)	Signature _____	Date _____

Definitions:

- **Goals:** Broad, long-term plans that explain the what and why, providing the vision.
- **Objectives:** Specific, measurable, short-term steps that explain progress toward achieving the goals, using the SMART framework (Specific, Measurable, Achievable, Relevant, Time-bound).
- **Tasks:** Actions that will be taken to accomplish the objectives toward achieving the goals. Includes identification of who is assigned to complete the tasks.
- **Annual KY-Moms MATR Targeted Assessment:** Anticipated program outcomes over the next 12 months and support the strategic plan goals.
- **Barriers Encountered:** Identifies any challenges that affected the current regional team's status any time over the previous 12 months.
- **Barriers Projected:** Identifies any probable challenges for the regional team over the next 12 months.
- **Progress Measured:** Includes clear measures, key performance indicators, and data analysis used to measure progress.
- **Client-focused:** An initiative whose primary objective is where the client's needs, goals, and satisfaction are the central priority and where the client's input is sought regularly.
- **Provider-focused:** An initiative whose primary objective is to engage and influence healthcare professionals and their practices.
- **Team-focused:** An initiative whose primary objective is to engage and influence the KY-Moms MATR team.
- **Community-focused:** An initiative whose primary objective is to engage and influence community members, and where the input of the community is sought regularly.
- **Service Type:** Universal prevention, Selective prevention, Indicated prevention, and Case Management Services that are offered to KY-Moms MATR pregnant and postpartum clients.
- **Unduplicated Client:** A client who is unique to a specific KY-Moms MATR service type and is only counted once for that service category, regardless of how many sessions/units they received or the number of intakes or discharges they experienced during that SFY.
- **Memorandum of Understanding (MOU):** A formal, usually non-binding document between two or more parties that outlines a mutual agreement, common goals, and intended lines of action. It acts as a preliminary step to a formal contract, outlining roles and responsibilities to align expectations before committing to legal obligations.
- **Memorandum of Agreement (MOA):** A written document outlining a cooperative relationship between two or more parties working together to achieve a common project or objective. It defines the specific roles, responsibilities, and terms of the collaboration, often acting as a legally binding document that holds parties accountable.

Section 1: Program and Population Requirements

A. Federal Priority Population Requirements

As a Substance Abuse and Mental Health Services Administration (SAMHSA)-designated priority population, pregnant women and women with dependent children must receive specific considerations in the design of KY-Moms MATR. The application must address how the regional KY-Moms MATR team will:

- Provide or arrange for primary medical care, including prenatal care
- Provide or arrange for childcare while clients participate in services
- Offer gender-responsive, culturally-responsive, and trauma-informed programming
- Ensure services are accessible regardless of ability to pay
- Maintain appropriate confidentiality protections, particularly given the sensitive nature of this population

B. Block Grant Special Population

Confirm the KY-Moms MATR regional team's ability to meet federal and state requirements as it pertains to the requirements outlined by the Substance Use Prevention, Treatment, and Recovery Services Block Grant.

1. Were child care, case management, and/or transportation services provided to ensure access to treatment for KY-Moms MATR clients this quarter?

☐ No

☐ Yes

- If yes, please indicate which services were offered to ensure KY-Moms MATR clients have access to treatment.

2. Have there been any updates to the policy since submission of Form 155A to treat the family as a unit and allow children to attend services with their mothers when appropriate?

☐ Yes

☐ No

- If yes, please explain and upload a copy of the updated policy.

3. If a pregnant person was on a waiting list to begin services at the CMHC this quarter, were interim services provided within 48 hours?

☐ There were no pregnant clients on a waiting list this quarter.

☐ Yes, interim services were offered within 48 hours.

- If yes, please identify the interim services provided to the KY-Moms MATR client(s) on the waitlist.

☐ No, interim services were not provided within 48 hours.

- If no interim services were provided, please explain.

4. On average, how many days did it take after a referral was received for a pregnant person to complete intake and begin KY-Moms MATR case management services? _____
5. Block grant funds support services for pregnant and parenting persons who are underinsured or uninsured. Please identify how many pregnant and parenting persons who have "no other financial means of obtaining such services" have used block grant funds to be able to participate in KY-Moms MATR services? _____

C. KY-Moms MATR Core Components and Requirements

Core components are the essential, active ingredients—functions, principles, and practices—necessary to successfully adopt and sustain KY-Moms MATR as an evidence-informed intervention.

1. Implement strategic marketing and community engagement initiatives to expand the footprint and enrollment of KY-Moms MATR prevention education and SUD case management services.
2. Deliver specialized educational resources and training to community partners and the regional team on the intersection of perinatal health and substance use.
3. Deliver evidence-informed perinatal prevention curricula with integrated community referral pathways for pregnant persons and persons through 12 months postpartum.
4. Coordinate comprehensive treatment and recovery services for pregnant and postpartum clients, bridging gaps between case management and external treatment providers.
5. Execute evidence-informed reinforcement strategies through a structured system of motivational incentives to increase client engagement, positive health behaviors, treatment adherence, and reinforce recovery-oriented milestones.
6. Employ comprehensive health surveillance to ensure equitable resource allocation and improve perinatal outcomes.
7. Coordinate with financial departments to maximize reimbursement accuracy, program viability, and secure long-term fiscal health.

☐ By checking this box, it is acknowledged that all KY-Moms MATR core components must be implemented.

Section 2: Organizational Capacity

Organizational capacity is the ability of an organization to use resources effectively and efficiently to achieve its goals.

1. Were gaps in capacity identified during the completion of the KY-Moms MATR Capacity Assessment?

☐ No gaps in capacity were identified.

☐ Yes, gaps in capacity were identified.

☐ If gaps in capacity were identified, please indicate how those gaps were addressed this quarter.

2. Please identify which maternal health providers, hospitals, social services, recovery programs, community partners, etc., were actively involved with the KY-Moms MATR regional program this quarter.

Partner Agency/Organization	Is there an active MOU/MOA with this agency?	Is this a new formal written MOU/MOA this quarter?

3. Were there trainings with community partners and/or referral sources conducted by the KY-Moms MATR team this quarter?

☐ No

☐ Yes

- i. If yes, please identify the types of training conducted for community partners/referral sources this quarter.

Name of Training	Who Trained?	Date Trained

Section 3: Service Delivery

Service delivery is the process of providing KY-Moms MATR services to clients, focusing on meeting their needs, managing service quality, and ensuring satisfaction, often through defined, repeatable, and efficient methods. It encompasses the entire client interaction, from planning and strategy to execution and performance measurement, aiming to meet or exceed expectations while optimizing efficiency.

1. Identify the unduplicated number of pregnant persons and persons up to 12 months postpartum who have received KY-Moms MATR prevention education services in this quarter:

	Pregnant Persons	Persons up to 12 months postpartum
Universal Prevention		
Selective Prevention		
Indicated Prevention		

2. Identify the unduplicated number of pregnant persons and persons up to 12 months postpartum who have received KY-Moms MATR case management services (not including targeted case management) for this quarter:

Pregnant Persons	Postpartum Persons

3. Did KY-Moms MATR use barrier relief funds to assist pregnant and/or postpartum clients in removing or reducing obstacles that prevent them from accessing services, achieving goals, achieving recovery, or complying with treatment-related requirements? (previously known as wrap-around funding)

☐ Yes

- If yes, please indicate the number of clients that received barrier relief funds.

--

☐ No

4. How many **paper versions** of the pre- and post-questionnaire pairs were submitted following prevention education curriculum delivery this quarter? _____
5. How many **electronic versions** of the pre- and post-questionnaire pairs were submitted following prevention education curriculum delivery this quarter? _____

Section 4: Goals and Measurable Objectives

Provide quarterly updates on the five regional team goals with SMART objectives. Goals and objectives should relate directly to the KY-Moms MATR core components identified above.

For each goal, identify:

- a clear statement of the intended outcome.
- at least one measurable objective with a target number or percentage.
- the intended outcome.
- at least one task to be completed toward goal achievement.
- how progress will be measured and monitored.
- who is responsible for task completion.

Example:

Goal: Increase early engagement in substance use case management among KY-Moms MATR participants.

Objective: 75% of clients enrolled during pregnancy will have three documented case management sessions within 30 days of enrollment.

Goal #1:	
Objective #1:	
Tasks	Please identify the progress made this quarter toward completion.
Potential Barriers:	
Progress toward addressing goal barriers:	

Goal #2:	
Objective #2:	
Tasks	Please identify the progress made this quarter toward completion.
Potential Barriers:	
Progress toward addressing goal barriers:	

Goal #3:	
Objective #3:	
Tasks	Please identify the progress made this quarter toward completion.
Potential Barriers:	
Progress toward addressing goal barriers:	

Goal #4:	
Objective #4:	
Tasks	Please identify the progress made this quarter toward completion.
Potential Barriers:	
Progress toward addressing goal barriers:	

Goal #5:	
Objective #5:	
Tasks	Please identify the progress made this quarter toward completion.
Potential Barriers:	
Progress toward addressing goal barriers:	

Section 5: Data Collection, Fidelity Monitoring, and Reporting

Describe your plan for collecting and reporting required data, fidelity measurements, and reporting.

A. Data Collection

1. Event data for pregnant and parenting persons, as it relates to DBHDID service code 105 (Behavioral Health Prevention Education for Pregnant and Postpartum Individuals), has been reported for this quarter.

☐ Yes

☐ No

- If no, please explain.

2. Event data for pregnant and parenting persons, as it relates to DBHDID service code 265 (Case Management for Pregnant and Postpartum Individuals with any Substance Use Disorder), has been reported for this quarter.

☐ Yes

☐ No

- If no, please explain.

3. What steps were taken to ensure that KY-Moms MATR data was submitted correctly this quarter?

4. What tools were used this quarter to collect and/or monitor KY-Moms MATR data metrics (EHR/EMR, spreadsheets, internal forms, management software, etc.)?

5. How many pre- and post-questionnaire pairs were submitted following prevention education curriculum delivery this quarter? paper versions: _____ electronic versions: _____

B. Fidelity Monitoring

1. How many Client Satisfaction Surveys were distributed to and returned by selective prevention, indicated prevention, and/or case management clients at discharge?

a. Distributed: _____

b. Returned: _____

C. Reporting

1. What is the percentage of completed KY-Moms MATR University of Kentucky- Center for Drug and Alcohol Research (UK-CDAR) Baseline Surveys that were submitted by the required timeframe this quarter?

2. How many discharge summaries for pregnant and/or postpartum clients were entered into the Client Information System by KY-Moms MATR case managers this quarter? _____

3. How many prevention activities were entered into the Prevention Data System (PDS) during this quarter?

- a. Of those, what percentage of activities performed by KY-Moms MATR Prevention Specialists was dedicated to the Center for Substance Abuse Prevention (CSAP) Strategy, education, and activity type curriculum delivery? _____
4. What is the percentage of available time of the expected standard of performance that has been recorded by prevention and case management team members in the PDS (prevention) or the UK-CDAR Custom Activities Tracker (CAT) (case management)? This is measured by combining activity entries from the PDS and CAT systems and comparing them with the employment time percentages identified on Plan and Budget Document 155A. _____
-

Section 6: Contingency Management and Motivational Incentives

Contingency Management is used with KY-Moms MATR selective prevention, indicated prevention, and case management clients with a focus on the targeted behavior of attendance at KY-Moms MATR prevention and case management appointments.

Client motivational incentives are used with KY-Moms MATR universal prevention education clients to encourage participation in the prevention education curriculum.

Provider motivational incentives are used with KY-Moms MATR community partners and referral sources to encourage behavioral changes associated with referrals and collaboration.

A. Contingency Management

1. How many KY-Moms MATR clients are participating in the KY-Moms MATR contingency management program during their selective prevention, indicated prevention, or case management appointments in this quarter? _____
2. What was the average number of consecutive KY-Moms MATR case management appointments attended by KY-Moms MATR case management clients during this quarter? _____
3. What was the average number of consecutive KY-Moms MATR selective prevention and indicated prevention appointments attended by KY-Moms MATR selective or indicated prevention clients during this quarter? _____
4. What was the average number of consecutive weeks during this quarter that KY-Moms MATR clients attended all scheduled KY-Moms MATR case management appointments without missing any?

5. What was the average number of consecutive weeks KY-Moms MATR clients attended all scheduled KY-Moms MATR selective and indicated prevention appointments without a miss during this quarter?

B. Motivational Incentives

1. Were motivational incentives used with universal prevention education clients this quarter?
☐ Yes
☐ No
2. Were motivational incentives used to motivate provider involvement this quarter?
☐ Yes
☐ No
 - i. If yes, please explain how motivational incentives were used to motivate provider involvement this quarter?

7. Was inventory on the Incentives Blessings Closet, and the associated documentation with the KY-Moms MATR Team reviewed this quarter?

- ☐ Yes
☐ No

Section 7: Special Program Project

A unique regional team project that addresses unique organizational, client, provider, and/or community needs, requiring supervisor approval and structured outcomes that are either client-focused, provider-focused, community-focused, or team-focused.

1. Did this CMHC KY-Moms MATR program conduct a program or a community special project this quarter?

- ☐ No
☐ Yes

■ If yes, were there any changes this quarter in the regional team's special project?

- ☐ No, there were no changes from what was proposed on Form 155A.
☐ Yes, there are updates/changes from what was presented on Form 155A.
○ If yes, please indicate those changes.

2. Is technical assistance needed to continue to develop and/or implement the special project?

- ☐ No technical assistance needed.
☐ Yes, technical assistance is needed.

■ If yes, please provide more information on the technical assistance that may be needed.

Section 8: KY-Moms MATR Regional Team

1. Were there any changes to the KY-Moms MATR regional team?

- ☐ No, there are no changes to the team or its members.
☐ Yes, there have been changes to the team and its members.
■ If yes, please indicate those changes.

Submission Checklist

Before submitting, confirm you have completed the following activities:

- ☐ Completed all sections of this quarterly report.
- ☐ Submitted the quarterly financial budget document Form 155C separately through the DBHDID Department Periodic Report electronic system.
- ☐ Submitted any required attachments (MOUs, Policies, and Procedures)
- ☐ Reviewed for completeness and clarity

Please provide any additional information here.

Questions?

Contact Katie Stratton at katie.stratton@ky.gov or 502-782-6192 for assistance with this application.